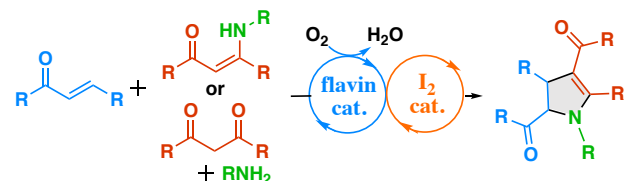


# Atom-Economical Syntheses of Dihydropyrroles Using Flavin-Iodine-Catalyzed Aerobic Multi-Step and -Component Reactions

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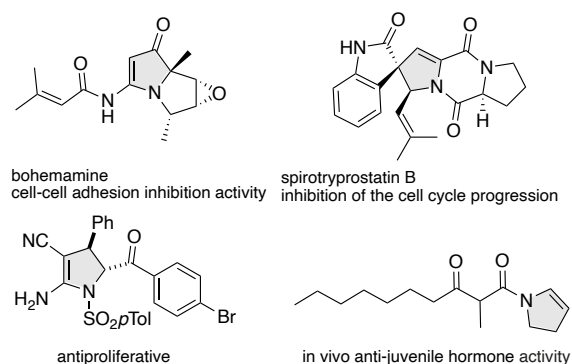
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**ABSTRACT:** Herein, we report facile, atom-economical syntheses of multi-substituted 2,3-dihydropyrroles using flavin-iodine-catalyzed aerobic oxidative multi-step transformations of chalcones with  $\beta$ -enamine ketones or 1,3-dicarbonyl compounds and amines. Exploiting coupled flavin-iodine catalysis, the multi-step reaction, including C–C and C–N bond formation, is promoted only by the consumption of O<sub>2</sub> (1 atm), thus allowing aerobic oxidative synthesis that generates green H<sub>2</sub>O as the only waste.

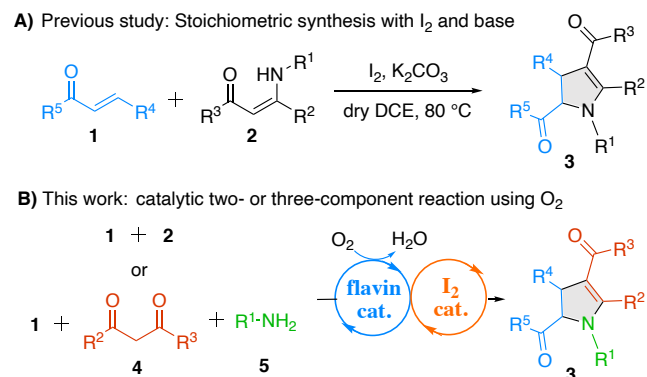
Dihydropyrroles are a vital class of five-membered N-containing heterocyclic moieties that occur in numerous biologically active and natural products, such as bohemamine<sup>1</sup> and spirotryprostatin B (Fig. 1).<sup>2,3</sup> Various methods of synthesizing multi-substituted 2,3-dihydropyrroles, which generally involve cycloaddition<sup>4</sup> and metal-mediated reactions<sup>5</sup>, have been developed.<sup>6</sup> This is because of their importance not only in medicinal chemistry, but also as useful synthetic intermediates in producing diverse *N*-heterocyclic compounds using highly functionalized pyrrolidines and pyrroles.<sup>7</sup> The development of a novel approach to enable atom- and step-economical synthesis using readily accessible starting materials is required. In 2015, Li et al. reported the oxidative syntheses of 2,3-dihydropyrroles, which are promoted by the oxidative tandem reaction between chalcones and  $\beta$ -enamine ketones using stoichiometric amounts of I<sub>2</sub> and K<sub>2</sub>CO<sub>3</sub> (Scheme 1A).<sup>8</sup> Changing the stoichiometric synthesis method to an eco-friendly catalytic process that proceeds under gentle oxidative conditions may lead to the most facile and straightforward routes to access multi-substituted 2,3-dihydropyrroles among the synthetic methods reported to date. Furthermore, we anticipated that the catalytic system is favored when using three-component reactions that afford readily accessible chalcones, 1,3-dicarbonyl compounds, and amines. The application to the multi-component reactions that provide high yields, atom/step economy, and shorter reaction times is recognized as a useful approach for developing eco-friendly processes.<sup>9</sup>

C–X (X = C, O, N, S...) bond formation via catalytic cross-dehydrogenative coupling (CDC) between the C–H and X–H bonds of substrates is a key, attractive strategy to enable step- and atom-economical syntheses because pre-activation of the substrates in CDC is unnecessary.<sup>10</sup> Although oxidative CDC requires stoichiometric amounts of oxidants, catalytic aerobic CDC, with O<sub>2</sub> as the terminal oxidant, is an ideal green method. The use of O<sub>2</sub> exhibits apparent economic and environmental



**Figure 1.** Biologically active dihydropyrroles.

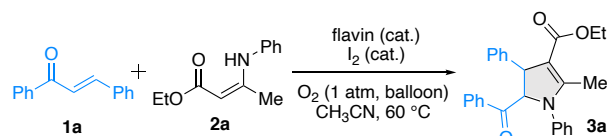
**Scheme 1.** Synthetic strategy in preparing pentasubstituted 2,3-dihydropyrroles **3** via the (A) previously reported stoichiometric reactions of **1** and **2**, and (B) flavin-iodine-catalyzed aerobic two-component reactions of **1** and **2** or three-component reactions of **1**, **4**, and **5**.



advantages, such as sustainable abundance, safety, cost-effectiveness, atom economy, and minimal pollution,<sup>11</sup> and catalytic aerobic CDC generates green H<sub>2</sub>O as the only waste.<sup>12</sup> Recently, our group developed a metal-free dual catalytic system, using a biomimetic flavin organocatalyst<sup>13,14</sup> and an iodine catalyst, for use in aerobic oxidative transformations.<sup>15</sup> The flavin-iodine catalytic system was applied in aerobic CDC to form C–S<sup>16</sup> and C–N bonds<sup>17</sup>, enabling the atom-economical syntheses of imidazo[1,2-a]pyridines<sup>17b</sup> and imidazoles.<sup>17c</sup> Herein, as an attractive application of the flavin-iodine catalytic system, we report the first catalytic syntheses of pentasubstituted 2,3-dihydropyrroles **3** using chalcones **1** and  $\beta$ -enamine ketones **2** (Scheme 1B). This dual catalytic system is further applied in three-component syntheses, thus providing the first three-component reactions of readily accessible **1**, 1,3-dicarbonyl compounds **4**, and amines **5**. In these systems, multiple flavin- and iodine-catalyzed processes, including aerobic CDC in ring-closing C–N bond formation, lead to atom-economical O<sub>2</sub>-mediated syntheses.

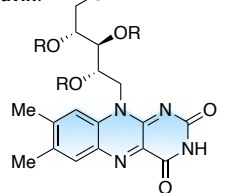
We investigated the effects of various flavins, *e.g.* neutral riboflavins **6**, 5-ethyl isoalloxaziniums **7**, 1,10-ethylene-bridged alloxaziniums **8**, and 5-ethyl alloxaziniums **9**, on the CDC reactions of **1a** and **2a** (1.2 equiv) under O<sub>2</sub> (1 atm, balloon) in CH<sub>3</sub>CN at 60 °C, with I<sub>2</sub> as the co-catalyst (Table 1). The flavin-iodine-catalyzed system was successful, and the desired product

**Table 1.** Effects of flavin catalysts on the aerobic oxidative reaction between **1a** and **2a**.

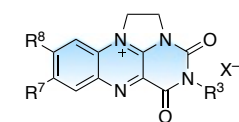


entry	flavin	yield <sup>b</sup> (%)	entry	flavin	yield <sup>b</sup> (%)
1	<b>6a</b>	21	6	<b>8b-TfO</b>	31
2	<b>6b</b>	22	7	<b>8c-Cl</b>	13
3	<b>7a-TfO</b>	28	8	<b>9a-TfO</b>	30
4	<b>7b-TfO</b>	22	9	<b>9b-TfO</b>	39
5	<b>8a-Cl</b>	21	10 <sup>c</sup>	<b>9b-TfO</b>	86

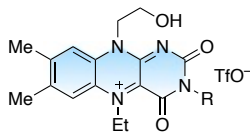
flavin:



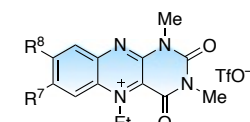
R = H **6a**  
R = Ac **6b**



R<sup>3</sup>=H, R<sup>7,8</sup>=Me, X=Cl<sup>-</sup> **8a-Cl**  
R<sup>3</sup>=Me, R<sup>7,8</sup>=Me, X=TfO<sup>-</sup> **8b-TfO**  
R<sup>3</sup>=Me, R<sup>7</sup>=CF<sub>3</sub>, R<sup>8</sup>=H, X=Cl<sup>-</sup> **8c-Cl**



R = H **7a-TfO**  
R = Me **7b-TfO**



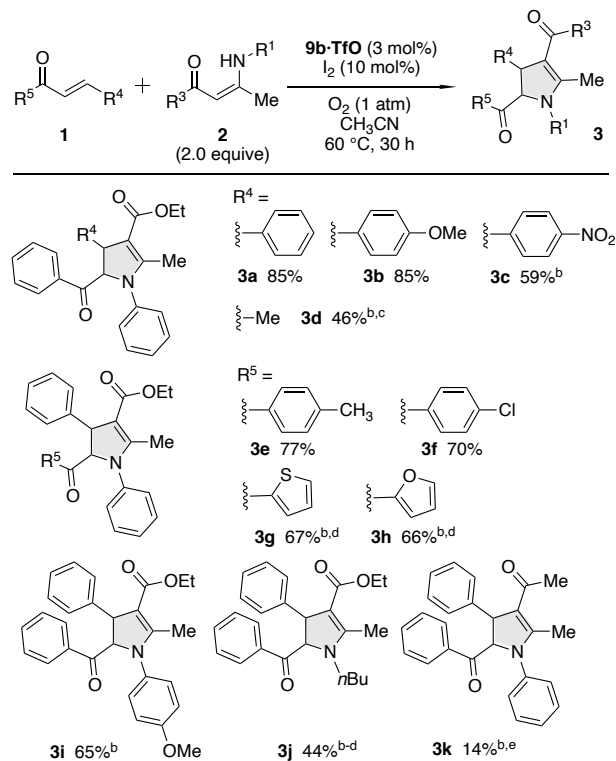
R<sup>7,8</sup>=Me **9a-TfO**  
R<sup>7</sup>=H, R<sup>8</sup>=CF<sub>3</sub> **9b-TfO**

<sup>a</sup> Conditions: **1a** (0.13 M), **2a** (1.2 equiv), flavin (10 mol%), I<sub>2</sub> (10 mol%), and CH<sub>3</sub>CN under O<sub>2</sub> (1 atm, balloon) at 60 °C for 18 h. <sup>b</sup> Yield determined via <sup>1</sup>H nuclear magnetic resonance (NMR) spectroscopy, with 1,3,5-trimethoxybenzene as the internal standard. <sup>c</sup> **1a** (0.50 M), **2a** (2 equiv), and **9b-TfO** (3 mol%) for 30 h.

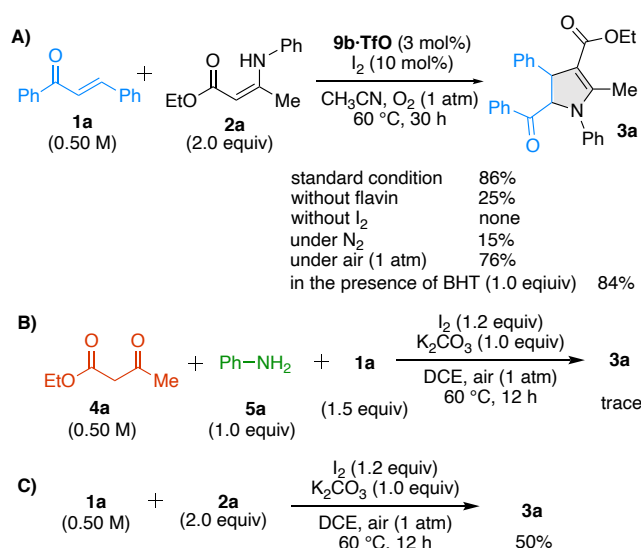
**3a** was produced via the aerobic oxidative tandem reactions (entries 1–9). Among the flavin catalysts evaluated, the use of electron-deficient 8-trifluoromethyl-substituted alloxazinium salt **9b-TfO**<sup>18</sup> (TfO = triflate) resulted in the optimal yield (entry 9). Further optimization of the reaction conditions revealed that **3a** was obtained in an 86 % yield when 3 mol% **9b-TfO** and 2 equiv of **2a** were used in CH<sub>3</sub>CN (entry 10). Under the present condition, the further dehydrogenative oxidation of 2,3-dihydropyrroles to pyrroles hardly occurred. Although investigation of the solvent effect revealed that 1,2-dichloroethane (DCE) accelerated this reaction in comparison to that in CH<sub>3</sub>CN (Table S1), we used non-halogenated CH<sub>3</sub>CN as the solvent in the two-component reaction.<sup>19</sup>

After optimizing the reaction conditions, we studied the substrate scope and limitations of the two-component reactions between **1** and **2** (Scheme 2). **1** bearing electron-donating or -withdrawing substituents, such as methoxy, nitro, methyl, and chloro groups, efficiently reacted with **2** to generate the desired products **3a–3f** in moderate to good yields. Even when R<sup>4</sup> of **1** was a methyl group, the reaction proceeded and yielded the product **3d** in 46% yield. Dihydropyrrole formation also proceeded using thiophenyl and furyl propenones, producing the desired products **3g** and **3h** in 67 and 66% yields, respectively. The use of **2** bearing an *N*-methoxyphenyl or a butyl group at the N-position furnished the corresponding 2,3-dihydropyrrole **3i** or **3j** in a 65% or 44% yield, respectively. Conversely, when

**Scheme 2.** Substrate scope of dihydropyrrole synthesis via the flavin-iodine-catalyzed oxidative reaction of **1** and **2**. <sup>a</sup> Conditions: **1** (0.50 M), **2** (1.0 M), **9b-TfO** (3 mol%), I<sub>2</sub> (10 mol%), and CH<sub>3</sub>CN under O<sub>2</sub> (1 atm, balloon) at 60 °C for 30 h. <sup>b</sup> I<sub>2</sub> (20 mol%) was used. <sup>c</sup> In 1,2-DCE. <sup>d</sup> **1** (1.0 M) and **2** (0.50 M). <sup>e</sup> At 80 °C for 18 h.



### Scheme 3. Control experiments.



the ester functionality was changed to an acyl unit, the yield of **3k** decreased to 14%.

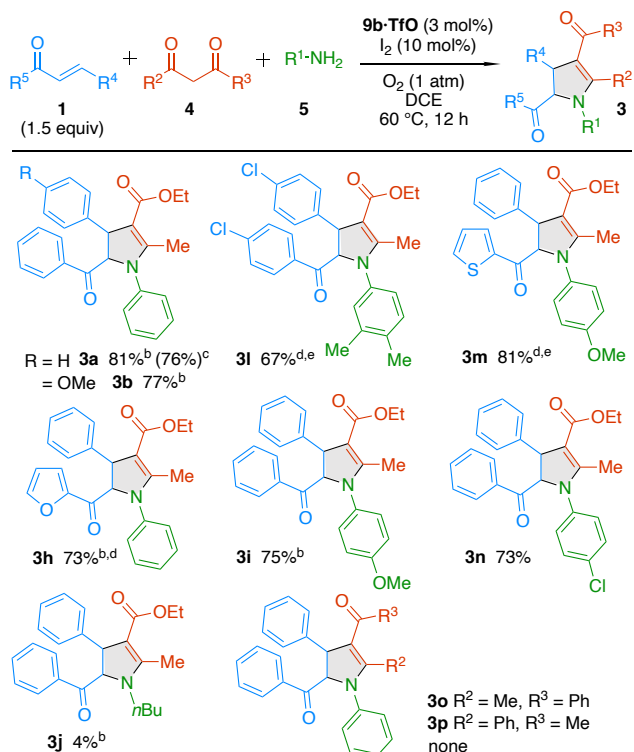
Following the assessment of the scope and limitations of the two-component reaction, we conducted control experiments to elucidate the reaction mechanism of the flavin-iodine catalytic system. The reaction of **1a** with **2a** did not proceed efficiently in the absence of flavin, I<sub>2</sub>, or O<sub>2</sub> (Scheme 3A). Notably, **3a** was catalytically synthesized when atmospheric air was used instead of O<sub>2</sub>. The addition of the radical scavenger 2,6-di-*tert*-butyl-4-hydroxytoluene (BHT, 1.0 equiv) did not influence the yield of **3a**, suggesting that no radical process occurred in this reaction.

The flavin-iodine-catalyzed system provided an efficient two-component synthesis of **3**, but **2** should be prepared via the dehydrogenative condensation of **4** and **5** prior to use. As the pre-functionalization of **2** should be reduced using this catalytic system, we attempted three-component syntheses of **3** via multi-step reactions using the readily accessible starting materials **1**, **4**, and **5**. The three-component reaction of **1a**, **4a**, and **5a** successfully produced **3a** in an 81% yield within 12 h when DCE was used as the solvent (Scheme 4). The reaction was successfully scaled up to gram-scale, resulting in **3a** with 76% yield. Gratifyingly, the substrate scope of the three-component reaction was almost equivalent to that of the two-component reaction with **2** as the starting material. Via reactions between **1**, **4**, and **5**, diverse pentasubstituted 2,3-dihydropyrroles could be readily prepared. Compounds **1**, **4**, and **5** were amenable to these dihydropyrrole syntheses, generating products **3b**, **3i**, **3m**, **3h**, **3j**, and **3n** in 67–81% yields. However, the three-component reaction using butyl amine or 1-phenyl-1,3-butanedione hardly gave the desired products **3j**, **3o**, or **3p**.

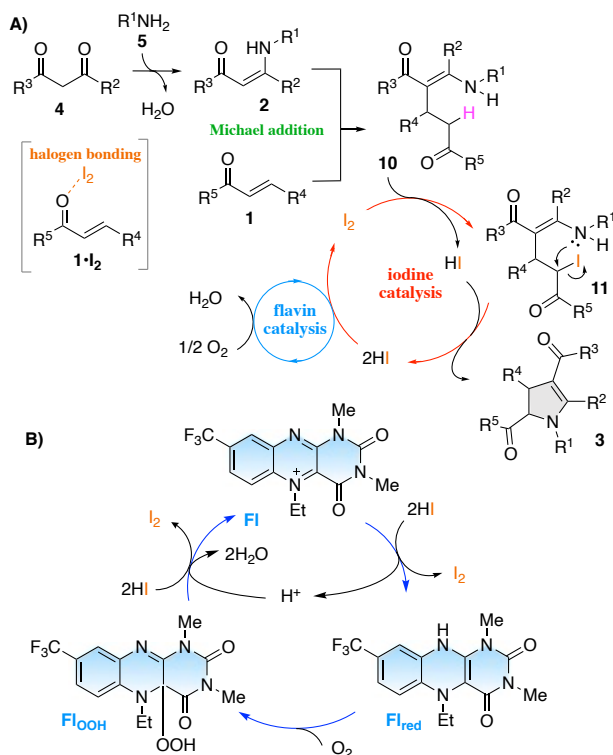
Based on the experimental results and reported literature<sup>8</sup>, we propose the mechanism of this flavin-iodine-catalyzed three-component reaction (Scheme 5).  $\beta$ -Enamine ketone **2** is initially formed via dehydrative condensation between **4** and **5** (Scheme 5A). I<sub>2</sub> acts as a good halogen bond catalyst in Michael addition at the carbonyl oxygen of **1**.<sup>20</sup> In this system, **1** is activated via the formation of **1•I<sub>2</sub>** that undergoes Michael addition with **2**, affording intermediate **10**. The C–H bond of **10** is activated via electrophilic substitution with I<sub>2</sub> to yield the iodo adduct **11**, which undergoes intramolecular nucleophilic

substitution. This might be one of the examples where in-situ umpolung of carbonyl compounds is generated by the flavin-catalyzed system.<sup>21</sup> Thus, the I<sub>2</sub>-mediated intramolecular CDC of **10** produces the desired 2,3-dihydropyrrole **3**, along with HI. The flavin catalyst **FI** may regenerate I<sub>2</sub> via aerobic oxidation of the *in situ*-generated HI (Scheme 5B). In the flavin catalytic cycle, HI oxidation is efficiently promoted by the reaction not only with **FI** but also the flavin hydroperoxide **FI<sub>OOH</sub>**, which is formed by the reaction of O<sub>2</sub> and **FI<sub>red</sub>** that is formed from **FI**.<sup>15</sup> Therefore, flavin-iodine catalysis enables the atom-economical syntheses of **3** via two- or three-component reactions that consume only O<sub>2</sub> and generate green H<sub>2</sub>O as the only waste. Flavin catalysis contributes to the regeneration of I<sub>2</sub> from I<sup>-</sup>, but it notably consumes the H<sup>+</sup> generated by the intramolecular CDC of **10**. Due to flavin catalysis, this reaction system does not require the addition of a stoichiometric amount of base to trap H<sup>+</sup>. Remarkably, the three-component reaction of **1a**, **4a**, and **5a** hardly occurred under the reaction conditions using stoichiometric amounts of I<sub>2</sub> and K<sub>2</sub>CO<sub>3</sub> (Scheme 3B), whereas the stoichiometric reaction between **1a** and **2a** afforded **3a** in a 50% yield under these conditions (Scheme 3C). This clearly revealed the advantage of this flavin-iodine catalytic system, with the successful facile three-component syntheses of **3** using readily available **1**, **4**, and **5**.

**Scheme 4.** Facile three-component syntheses of **3** via the flavin-iodine-catalyzed oxidative reactions of **1**, **4**, and **5**.<sup>a</sup> Conditions: **1** (0.75 M), **4** (0.50 M), **5** (0.50 M), **9b-TfO** (3 mol%), I<sub>2</sub> (10 mol%), and DCE under O<sub>2</sub> (1 atm, balloon) at 60 °C for 12 h. <sup>b</sup> Determined via <sup>1</sup>H NMR spectroscopy, using 1,3,5-trimethoxybenzene as the internal standard. <sup>c</sup> Gram-scale reaction using 1.0 g of **1a**. <sup>d</sup> **1** (1.0 M). <sup>e</sup> For 18 h.



**Scheme 5.** Plausible mechanisms of the (A) aerobic oxidative three-component reactions of **1**, **4**, and **5** catalyzed by flavin and iodine and (B) flavin catalysis.



We demonstrated the first atom-economical catalytic syntheses of pentasubstituted **3** using **1** and **2**. Furthermore, we performed facile three-component syntheses of **3** using readily available **1**, **4**, and **5** for the first time. In the multi-step synthesis, coupled flavin-iodine catalysis efficiently promoted the aerobic intramolecular CDC of intermediate **10** to form the new C–N bond of **3**. Therefore, it enables atom-economical multi-step transformations under metal-free conditions, consuming only O<sub>2</sub> and generating only H<sub>2</sub>O as a by-product. Our findings demonstrated that the coupled redox organocatalysis system enhances the electron transfer from the substrates to O<sub>2</sub>, which is an interesting and powerful tool for the design of eco-friendly synthesis protocols using aerobic oxidative multi-step processes.

## ASSOCIATED CONTENT

### Data Availability Statement

The data underlying this study are available in the published article and its Supporting Information.

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website.

Experimental procedures and characterization data for known and new compounds (PDF)

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### Notes

There are no conflicts to declare.

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