

A phase II study of palonosetron, aprepitant, dexamethasone, and olanzapine for the prevention of cisplatin-based chemotherapy-induced nausea and vomiting in patients with thoracic malignancy



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1 **A phase II study of palonosetron, aprepitant, dexamethasone, and**
2 **olanzapine for the prevention of cisplatin-based chemotherapy-induced**
3 **nausea and vomiting in patients with thoracic malignancy**

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21 **Running head:** Olanzapine for the prevention of CINV

22

For Peer Review

23 Abstract

24 Background: The three-drug combination of a 5-hydroxytryptamine type 3
25 receptor antagonist, a neurokinin 1 receptor antagonist, and dexamethasone is
26 recommended for patients receiving highly emetogenic chemotherapy. However,
27 standard antiemetic therapy is not completely effective in all patients.

28 Methods: We conducted an open-label, single-center, single-arm phase II study
29 to evaluate the efficacy of olanzapine in combination with standard antiemetic
30 therapy in preventing chemotherapy-induced nausea and vomiting in patients
31 with thoracic malignancy receiving their first cycle of cisplatin-based
32 chemotherapy. Patients received 5 mg oral olanzapine on days 1–5 in
33 combination with standard antiemetic therapy. The primary endpoint was
34 complete response (no vomiting and no use of rescue therapy) during the overall
35 phase (0–120 h post-chemotherapy).

36 Results: Twenty-three men and seven women were enrolled between May and
37 October 2015. The median age was 64 years (range: 36–75 years). The most
38 common chemotherapy regimen was 75 mg/m² cisplatin and 500 mg/m²
39 pemetrexed, which was administered to 14 patients. Complete response rates in
40 acute (0–24 h post-chemotherapy), delayed (24–120 h post-chemotherapy), and

41 overall phases were 100%, 83%, and 83% (90% confidence interval: 70–92%;
42 95% confidence interval: 66–93%), respectively. There were no grade 3 or grade
43 4 adverse events. Although four patients (13%) experienced grade 1
44 somnolence, no patients discontinued olanzapine.

45 **Conclusions:** The addition of 5 mg oral olanzapine to standard antiemetic
46 therapy demonstrates promising efficacy in preventing cisplatin-based
47 chemotherapy-induced nausea and vomiting and an acceptable safety profile in
48 patients with thoracic malignancy.

49
50 **A mini-abstract:** The addition of 5 mg oral olanzapine to standard antiemetic
51 therapy demonstrates promising efficacy in preventing cisplatin-based
52 chemotherapy-induced nausea and vomiting in patients with thoracic
53 malignancy.

54
55 **Keywords:** Chemotherapy-induced nausea and vomiting, Highly emetogenic
56 chemotherapy, Cisplatin, Olanzapine

57

58

59 **Introduction**

60 Chemotherapy-induced nausea and vomiting (CINV) is a distressing symptom
61 that reduces patient quality of life [1]. Cisplatin combination therapy, which is
62 classified as a highly emetogenic chemotherapy (HEC), is a standard treatment
63 for advanced lung cancer. Prophylactic antiemetic therapy is important for HEC.

64 The three-drug combination of a 5-hydroxytryptamine type 3 receptor antagonist,
65 a neurokinin 1 receptor antagonist, and dexamethasone is recommended for
66 patients receiving HEC [2, 3]. Previous phase III studies have reported that the
67 complete response (CR; no vomiting and no rescue therapy) rate with this
68 three-drug therapy in patients receiving HEC is approximately 60–70% in the
69 overall phase (0–120 h post-chemotherapy) [4–7], suggesting that there is room
70 for improvement with standard antiemetic therapy.

71 Olanzapine is an atypical antipsychotic drug. It inhibits neurotransmitter
72 pathways known to be involved in nausea and vomiting, including serotonergic,
73 dopaminergic, alpha-1 adrenergic, histaminic, and muscarinic receptors. Several
74 studies have reported the efficacy of olanzapine for CINV. Phase III trials
75 demonstrated that the antiemetic efficacy of olanzapine in patients treated with

76 HEC was higher than that of dexamethasone and equal to that of aprepitant [8,
77 9]. Navari et al. [10] reported that the efficacy of olanzapine was higher than that
78 of metoclopramide as a rescue therapy for standard antiemetic
79 therapy–refractory CINV. Abe et al. [11] administered 5 mg olanzapine in
80 combination with standard antiemetic therapy as a preventive therapy to patients
81 treated with cisplatin who experienced grade 3 nausea (Common Terminology
82 Criteria for Adverse Events ver. 4.0) despite receiving standard antiemetic
83 therapy. The researchers retrospectively evaluated control of nausea and found
84 that olanzapine improved the nausea control rate from 0% to 90% in the overall
85 phase. Previous studies reported no grade 3 or grade 4 adverse events related
86 to olanzapine.

87 To evaluate the efficacy of olanzapine in combination with standard antiemetic
88 therapy for the prevention of CINV, we conducted an open-label, single-center,
89 single-arm phase II study in patients with thoracic malignancy receiving
90 cisplatin-based chemotherapy.

91

92 **Patients and methods**

93 **Patient selection**

94 Eligible patients were 20 years of age or older with histologically or cytologically
95 confirmed thoracic malignant disease who were scheduled to receive
96 first-course cisplatin ($\geq 60 \text{ mg/m}^2$) combination therapy. For inclusion in the study,
97 patients were required to have an Eastern Cooperative Oncology Group (ECOG)
98 performance status ≤ 1 and adequate organ function (alanine aminotransferase
99 $< 100 \text{ IU/L}$, aspartate aminotransferase $< 100 \text{ IU/L}$, total bilirubin concentration $<$
100 2.0 mg/dL , and creatinine clearance $\geq 60 \text{ mL/min}$).

101 Patients were excluded if they had a history of severe hypersensitivity to
102 aprepitant, palonosetron, corticosteroids, or olanzapine; had severe
103 complications; were pregnant or breastfeeding; were receiving abdominal or
104 pelvic radiation therapy during the period between 6 days before and 6 days
105 after the date of first chemotherapy; had diabetes mellitus or a history of
106 diabetes mellitus; had abnormal glucose tolerance (hemoglobin A1c ≥ 6.5 and
107 fasting blood glucose $\geq 126 \text{ mg/dL}$ or non-fasting blood glucose $\geq 200 \text{ mg/dL}$);
108 had emetic episodes requiring administration of antiemetics prior to
109 chemotherapy; had a personal or familial history of malignant syndrome; had
110 creatine phosphokinase levels greater than 2.5 times the institutional upper
111 normal limit; had active infection; could not stop smoking during this study; had a

112 body mass index ≥ 35 ; or took an antiemetic medicine regularly.

113

114 **Study treatment**

115 Enrolled patients received standard antiemetic therapy and olanzapine.

116 Palonosetron was intravenously administered at a dose of 0.75 mg 30–60 min

117 prior to chemotherapy administration on day 1. Aprepitant was orally

118 administered at a dose of 125 mg 60–90 min prior to chemotherapy

119 administration on day 1 and at a dose of 80 mg on days 2 and 3.

120 Dexamethasone was intravenously administered at a dose of 9.9 mg 30–60 min

121 prior to chemotherapy administration on day 1 and was then orally administered

122 at a dose of 8 mg on days 2–4. Olanzapine was orally administered at a dose of

123 5 mg once per day at night on days 1–5. Patients were permitted to receive a

124 rescue therapy of the treating investigator's choice for nausea or emesis based

125 on clinical circumstances. Patients were not allowed to take prophylactic

126 antiemetic therapy other than the study treatment before breakthrough emesis.

127

128 **Outcome measures**

129 The enrolled patients were hospitalised for treatment from the day prior to and up

130 to day 6 of chemotherapy. Episodes of nausea and vomiting were recorded in a
131 patient diary for the acute phase (0–24 h post-chemotherapy) and the delayed
132 phase (24–120 h post-chemotherapy). The degree of nausea was evaluated by
133 each patient using an 11-point (0–10) numeric rating scale (NRS).

134 The primary endpoint was the CR (no vomiting and no use of rescue therapy)
135 rate during the overall phase. Secondary endpoints were CR rates in the acute
136 and delayed phases and rates of complete control (CC; no vomiting, no rescue,
137 no significant nausea [NRS score of 0–2]), total control (TC: no vomiting, no
138 rescue, no nausea [NRS score of 0]), and adverse events in the acute, delayed,
139 and overall phases.

140

141 **Statistical methods**

142 In a phase III trial, the overall phase CR rate for the three-drug combination of
143 palonosetron, aprepitant, and dexamethasone was 65.7% [7]. Therefore, we set
144 the threshold overall CR rate at 65% and the expected CR rate at 85% for the
145 present study. To reach 5% (one-sided) significance and 80% statistical power,
146 we calculated that a minimum sample size of 28 patients was required [12].
147 Assuming a 10% exclusion rate, the planned sample size was 30 patients.

148

149 **Ethics**

150 Our institutional review board approved the design of this study. All enrolled
151 patients provided written informed consent.

152

153 **Results**

154 **Patient characteristics**

155 Thirty patients with thoracic malignancy were enrolled from May 2015 through
156 October 2015. Patient characteristics are listed in Table 1. The most common
157 type of thoracic malignancy in this study was non-small cell lung cancer.
158 Nineteen patients received systemic chemotherapy, with the rest receiving
159 chemoradiation therapy or postoperative adjuvant therapy. Cisplatin was
160 administered at a dose of 60–80 mg/m², and pemetrexed (14 patients),
161 etoposide (seven patients), vinorelbine (four patients), irinotecan (two patients),
162 S-1 (two patients), or gemcitabine (one patient) were administered as the
163 combination anticancer drug.

164

165 **Efficacy**

166 Antiemetic effects are shown in Table 2. Although outcome measures were
167 evaluated based on the diary submitted by each patient, there were no missing
168 data. The overall phase CR rate (primary endpoint) was 83% (90% confidence
169 interval: 70–92%; 95% confidence interval: 66–93%). CR rates for the acute and
170 delayed phases were 100% and 83%, respectively. In the acute, delayed, and
171 overall phases, CC rates were 93%, 73%, and 70%, respectively, and TC rates
172 were 77%, 70%, and 63%, respectively. No vomiting was reported in 100% of
173 patients in the acute phase and in 90% of patients in both the delayed and
174 overall phases. Likewise, rates of no rescue therapy were 100%, 90%, and 90%
175 for the acute, delayed, and overall phases, respectively. In the acute, delayed,
176 and overall phases, no significant nausea was reported in 93%, 77%, and 73%
177 of patients, respectively, while no nausea was reported in 77%, 70%, and 63% of
178 patients, respectively.

179

180 **Safety**

181 There were no grade 3 or grade 4 adverse events during treatment. Grade 1
182 constipation was observed in 20 patients (67%). Grade 1 hiccupping was
183 observed in 16 patients (53%), and grade 2 hiccupping was observed in one

184 patient (3%). Although four patients (13%) experienced grade 1 somnolence,
185 which is an adverse event thought to be caused by olanzapine, no patients
186 discontinued olanzapine. We conducted blood tests on days 6–8. Grade 1
187 elevated levels of alanine aminotransferase were observed in 11 patients (37%).
188 There was no incidence of hyperglycemia or increase in creatine
189 phosphokinase.

190

191 **Discussion**

192 The 83% CR rate observed during the overall phase met the primary endpoint,
193 and the lower limit of the 90% confidence interval for the overall phase CR rate
194 was 70%, suggesting that the addition of 5 mg oral olanzapine to standard
195 antiemetics may reduce CINV in patients with thoracic malignancy receiving
196 cisplatin-based chemotherapy. The secondary endpoints and safety profiles
197 were also favorable in this study. The results of the present study are consistent
198 with a recently published phase II study that investigated the efficacy and safety
199 of the addition of 5 mg oral olanzapine to standard antiemetics for the prevention
200 of CINV in patients with gynecological cancer (n = 40) receiving HEC [13]. CR
201 rates during the overall phase were reported in 37 (92.5%) of the 40 patients with

202 gynecological cancer. Although all patients were female and the cisplatin dose
203 was 50 mg/m² in most of the patients included in the previous study, our study
204 demonstrated the efficacy of this treatment in a patient group that was mostly
205 male and receiving a higher cisplatin dose (60–80 mg/m²).

206 Navari et al. [14] reported the results of a phase III trial that evaluated the
207 additional efficacy of 10 mg oral olanzapine for the prevention of CINV in patients
208 receiving their first course of HEC. In that study, 380 patients were randomised
209 at a 1:1 ratio for treatment with either olanzapine and standard triplet antiemetic
210 therapy (n = 192) or placebo and standard triplet antiemetic therapy (n = 188).

211 The proportion of patients who reported no nausea and the CR rates were
212 significantly higher in the olanzapine arm compared with the placebo arm.

213 However, sedation was observed more frequently in patients receiving
214 olanzapine compared with those receiving placebo. Hashimoto et al. conducted
215 a randomised phase II study to compare the efficacy and safety of administering
216 10 mg versus 5 mg oral olanzapine for the prevention of CINV in patients
217 receiving HEC [15]. Somnolence was higher in the 10 mg arm than in the 5 mg
218 arm. In our study, only four patients (13%) experienced grade 1 somnolence.
219 Five milligrams of olanzapine may result in less somnolence than 10 mg.

220 Thus, three phase II studies, including the present study, have shown the
221 efficacy of adding 5 mg olanzapine to standard antiemetic therapy for HEC [13,
222 15]. Although Navari et al. demonstrated the efficacy of 10 mg oral olanzapine
223 plus standard antiemetics in a phase III study [14], the optimal dose of
224 olanzapine for CINV may be 5 mg, considering efficacy and safety. In addition,
225 Navari et al.'s phase III study had some limitations. First, the majority of subjects
226 were female (72%), had breast cancer (63%), and received anthracycline and
227 cyclophosphamide therapy as chemotherapy (63%). The findings cannot be
228 generalised to all patients who receive HEC. Second, the CR rate in the placebo
229 arm (41%) was lower than that in standard three-drug therapy in other previous
230 phase III studies [4–7]. This is also open to interpretation. The efficacy of
231 additional olanzapine in standard antiemetic therapy for CINV should be
232 investigated further.

233 The present study has several limitations. First, it was a small single-arm study
234 (n = 30) conducted at a single institution. Second, this study was conducted only
235 in subjects with thoracic malignancy. **Third, the majority of subjects were male;
236 olanzapine clearance is known to be higher in men than in women [16].**
237 Therefore, a phase III study to verify the efficacy and safety of 5 mg oral

238 olanzapine with standard triplet antiemetic therapy is under contemplation
239 (UMIN000024676).

240 In conclusion, the addition of 5 mg oral olanzapine to standard antiemetic
241 therapy demonstrates promising efficacy for the prevention of CINV and
242 provides an acceptable safety profile in patients with thoracic malignancy.

243

244 **Conflict of interest**

245 This research did not receive any specific grant from funding agencies in the
246 public, commercial, or not-for-profit sectors.

247 Haruyasu Murakami and Hirotugu Kenmotsu received remuneration from Eli
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250 interest.

251

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309

310 **Appendix**

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1 **Table 1.** Patient characteristics.

		n = 30
		64 years
Median age (range)		(36–75 years)
Sex	Male	23
	Female	7
ECOG Performance Status	0	22
	1	8
Thoracic malignancy	Non-small cell lung cancer	19
	Small cell lung cancer	8
	Malignant pleural mesothelioma	2
	Thymoma	1
Purpose of chemotherapy	Systemic chemotherapy	19
	Chemoradiation therapy	9
Combination anticancer drug	Postoperative adjuvant therapy	2
	Pemetrexed	14
	Etoposide	7

	Vinorelbine	4
	Irinotecan	2
	S-1	2
	Gemcitabine	1
	60 mg/m ²	4
Cisplatin dose	75 mg/m ²	14
	80 mg/m ²	12

2 ECOG, Eastern Cooperative Oncology Group.

3 **Table 2.** Antiemetic effects.

	Study phase	Rate (%)	90% (%)	CI 95% (%)	CI
Complete response	Acute	100	92–100	89–100	
	Delayed	83	70–92	66–93	
	Overall	83	70–92	66–93	
Complete control	Acute	93	82–98	79–98	
	Delayed	73	59–84	56–86	
	Overall	70	55–82	52–83	
Total control	Acute	77	62–87	59–88	
	Delayed	70	55–82	52–83	
	Overall	63	48–76	46–78	

4 CI, confidence interval.