

1 **Can a resting-state functional connectivity index identify patients with Alzheimer's**
2 **disease and mild cognitive impairment across multiple sites?**

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4 Keiichi Onoda¹⁾, Nobuhiro Yada²⁾, Kentaro Ozasa²⁾, Shinji Hara²⁾, Yasushi Yamamoto²⁾,
5 Hajime Kitagaki²⁾, Shuhei Yamaguchi¹⁾, for the Alzheimer's Disease Neuroimaging
6 Initiative*¹

7
8 1) Department of Neurology, Shimane University, 89-1, Enya-cho, Izumo, Shimane,
9 Japan. onodak1@med.shimane-u.ac.jp, yamagu3n@med.shimane-u.ac.jp

10 2) Department of Radiology, Shimane University, 89-1, Enya-cho, Izumo, Shimane,
11 Japan. yata@med.shimane-u.ac.jp, shinjih@med.shimane-u.ac.jp,
12 yasushi@med.shimane-u.ac.jp, kitagaki@med.shimane-u.ac.jp

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14 **Running head:** Alzheimer's disease identification across sites

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16 **Corresponding author:** Keiichi Onoda, E-mail: onodak1@med.shimane-u.ac.jp,
17 Department of Neurology, Shimane University, 89-1, Enya-cho, Izumo, Shimane, Japan,
18 693-8501, Tel: +81-853-20-2198

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24 **Acronyms**

25 AD: Alzheimer's disease

26 MCI: mild cognitive impairment

27 ADNI: the Alzheimer's Disease Neuroimaging Initiative

28 DMN: default mode network

29 MVPA: multi-variate pattern analysis

30 MMSE: mini-mental state examination

31 CDR: clinical dementia rating,

32 (C)ICA: (constrained) independent component analysis

33 MTL: medial temporal lobe

34

35

36 **Abstract**

37 Resting-state functional connectivity is one promising biomarker for Alzheimer's disease
38 (AD) and mild cognitive impairment (MCI). However, it is still not known how accurately
39 network analysis identifies AD and MCI across multiple sites. In this study, we examined
40 whether resting-state functional connectivity data from the Alzheimer's Disease
41 Neuroimaging Initiative (ADNI) could identify patients with AD and MCI at our site. We
42 implemented an index based on the functional connectivity frequency distribution, and
43 compared performance for AD and MCI identification with multi-voxel pattern analysis.
44 The multi-voxel pattern analysis using a connectivity map of the default mode network
45 showed good performance, with an accuracy of 81.9% for AD and MCI identification
46 within the ADNI, but the classification model obtained from the ADNI failed to classify
47 AD, MCI, and healthy elderly adults from our site, with an accuracy of only 43.1%. In
48 contrast, a functional connectivity index of the medial temporal lobe based on the
49 frequency distribution showed moderate performance, with an accuracy of 76.5 - 80.3%
50 for AD identification within the ADNI. The performance of this index was similar for our
51 data, with an accuracy of 73.9 - 82.6%. The frequency distribution-based index of
52 functional connectivity could be a good biomarker for AD across multiple sites.

53

54

55 Introduction

56 Resting-state functional connectivity is a promising biomarker for Alzheimer's
57 disease (AD). In 2004, Greicius et al. reported for the first time that AD patients showed
58 decreased resting-state functional connectivity in the default mode network (DMN), and
59 this connectivity may ultimately prove to be a sensitive and specific biomarker for
60 incipient AD (Greicius et al. 2004). Later, Jin et al. revealed that mild cognitive
61 impairment (MCI), which is the prodromal stage of AD, showed decreased functional
62 connectivity of the medial temporal lobe (MTL), a DMN region, despite an absence of
63 atrophy (Jin et al. 2012). Many resting-state functional magnetic resonance imaging
64 (fMRI) studies have addressed issues pertaining to early detection, classification, and
65 prediction of AD.

66 Previous resting-state fMRI studies seem to provide optimistic rates for the
67 classification of AD, MCI, and healthy elderly individuals. A number of different
68 approaches, such as region of interest (ROI) (Balthazar et al. 2014; Challis et al. 2015;
69 Chen et al. 2011; Wang et al. 2006), graph theory (Li et al. 2013; Supekar et al. 2008),
70 regional homogeneity (Zhang et al. 2012), and multi-modal analysis (Dai et al. 2012;
71 Dyrba et al. 2015; Koch et al. 2012), have showed very high performance (72-94%
72 accuracy) for identification of AD patients. However, most previous evidence has
73 demonstrated their usability based on analysis of just one site or dataset, except for a
74 recent study by Teipel et al (2017). Their ROI-based approach achieved 74% and 72 %
75 accuracy for AD and MCI classification respectively, using data from five sites with
76 different scanners and measurement parameters (Teipel et al. 2017). Significant and
77 quantitatively important inter-site differences remained in the temporal signal-to-noise
78 ratio of resting-state fMRI data, and these were plausibly driven by hardware and pulse
79 sequence differences across scanners which could not be harmonized (Jovicich et al.
80 2016). An AD identification model or index should be robust across these differences.
81 Such robustness necessitates that the model or index obtained from a given database can
82 identify AD in an individual from another site, given that all sites cannot necessarily
83 prepare their own healthy control data.

84 Recently, multi-variate pattern analysis (MVPA) using machine learning
85 (Mahmoudi et al. 2012) has been frequently used for AD identification. However, it is not
86 clear whether the MVPA identification model at a given site or dataset can accurately

87 classify AD, MCI, and healthy elderly adults from other sites. One of the aims of our
88 study was to undertake a performance evaluation of AD and MCI identification based on
89 MVPA across databases. In addition, we propose a simpler index based on the functional
90 connectivity frequency distribution. Decreased functional connectivity of the DMN has
91 been repeatedly reported in AD (Joo et al. 2016; Krajcovicova et al. 2014; Sheline and
92 Raichle 2013), and is expected to be a good marker for AD identification across databases.
93 More voxels within the DMN are presumed to show decreased functional connectivity in
94 AD, but the spatial distribution differs according to individuals or databases. To cancel
95 out spatial differences between connectivity changes within the DMN, we applied an
96 analysis based on the functional connectivity frequency distribution. This analysis
97 depends on the notion that the mean connectivity of lower-ranked voxels in the frequency
98 distribution would be smaller for AD than for healthy elderly adults, and might be more
99 sensitive compared to the overall mean of the voxels within the local regions of the DMN.
100 Our second aim for this study was to evaluate the performance of frequency distribution-
101 based analysis for AD and MCI identification across different databases.

102

103 **Materials and Methods**

104

105 **Subjects**

106 **ADNI (Patients and Controls):** The first dataset used in this study was obtained
107 from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database
108 (adni.loni.usc.edu). The ADNI was launched in 2003, and the primary goal has been to
109 test whether imaging, other biological markers, and clinical and neuropsychological
110 assessment can be combined to measure the progression of early dementia (see
111 www.adni-info.org for up-to-date information). Detailed inclusion criteria for the
112 diagnostic categories can be found at the ADNI website
113 (<http://adni.loni.usc.edu/methods/>). Thirty-three patients with AD (mean age = 72.5 years
114 old, 16 females), 46 patients with amnesic MCI (late MCI in ADNI data, 72.9 y. o., 17
115 female), and 48 healthy controls (HC: 74.7 y. o., 28 female) from the database were
116 analyzed in this study. Data were selected based on the availability of resting-state fMRI
117 datasets for patients with AD, MCI, and age-matched healthy subjects. AD patients with

118 CDR ≥ 2 were not included in our analysis. One additional AD patient was excluded
119 because of excessive head movement during resting-state fMRI measurement (see
120 preprocessing section). The demographic information for the ADNI subjects is
121 summarized in Table 1. There were no significant age and sex differences between the
122 groups.

123 **SHIMANE (Patients, Controls, and Young):** We recruited patients at Shimane
124 University hospital to provide test data for AD and MCI identification. The inclusion
125 criteria were defined as follows: 1) age > 60 , 2) no signs of depression, 3) no presence or
126 history of neurological or psychiatric disorders except for MCI or AD, 4) no presence or
127 history of alcohol or drug abuse. Twenty-six patients with AD (mean age = 73.4 y. o., 12
128 females), 19 patients with MCI (73.4 y. o., 9 females), and 20 HCs (71.3 y. o., 8 females)
129 provided data for this study. The AD patients met the National Institute of Neurological
130 and Communicative Disorders and Stroke and the Alzheimer's Disease and Related
131 Disorders Association criteria for probable AD. The MCI patients fulfilled the criteria
132 developed at a workshop convened by the National Institute on Aging and the
133 Alzheimer's Association (Albert et al. 2011). During the selection of age-matched control
134 subjects, we recruited from local communities and excluded subjects with a MMSE score
135 of ≤ 26 , CDR of ≥ 0.5 , and a history of neurological and/or psychiatric disease. Many
136 additional subjects were tested but excluded because of missing data (1) or CDR = 2 (7),
137 or excessive head movements during fMRI (3). The demographic information for these
138 subjects are also summarized in Table 1. There were again no significant group
139 differences for age or sex.

140 In addition to the patients and healthy elderly controls, we used resting-state
141 fMRI data from 44 healthy young subjects to make a template image of the DMN (see
142 below). These individuals were 25.7 ± 3.1 years old, and the gender ratio was 22 / 22. All
143 of the young subjects had no neurological or psychiatric disease. The Shimane University
144 medical ethics committee approved this study, and all subjects gave their written informed
145 consent to participate.

146

147 **Image Acquisition**

148 Functional MRI data were acquired using Philips 3T scanners for the ADNI, a
149 GE 3T scanner for the patients and elderly controls from SHIMANE, and a Siemens 1.5T

150 scanner for the young subjects from SHIMANE. Measurement parameter details are
151 summarized in supplemental table 1.

152

153 **Overview of Analysis**

154 First, we performed preprocessing for all resting-state fMRI data. We used an
155 independent component analysis (ICA) approach to evaluate functional connectivity
156 because ICA yields more reliable DMN connectivity measurements relative to seed-based
157 analysis (Jovicich et al. 2016). A multicentric resting-state fMRI study by Jovicich et al.
158 (2016) revealed that test-retest reproducibility error for DMN connectivity in the elderly
159 was lower for ICA than seed-based analysis. Moreover, ICA is relatively unaffected by
160 different temporal sampling rates (De Luca et al. 2006). To make a DMN template, an
161 independent component analysis (ICA) was applied to the preprocessed data from the
162 SHIMANE young subjects group. Then, a constrained ICA (CICA) using a mask image
163 of the DMN extracted by the first ICA was performed for each individual (except the
164 young group). Using the DMN functional connectivity map, we examined whether AD
165 and MCI identification models based on the ADNI data could identify the AD and MCI
166 patients from SHIMANE. The identification methods in this study were multi-voxel
167 pattern analysis and frequency distribution analysis of functional connectivity.

168

169 **Preprocessing of Functional Images**

170 Statistical Parametric Mapping (SPM12) was used for preprocessing. The
171 functional images were realigned to remove any artifacts from head movement. Subjects
172 who moved their head excessively (over 2 mm) were excluded from the following
173 analysis. There were no head movement differences between the three groups for both
174 ADNI and SHIMANE datasets (ADNI: AD, 0.58 ± 0.43 mm, MCI, 0.45 ± 0.27 mm, HC,
175 0.47 ± 0.35 mm; SHIMANE: AD, 0.59 ± 0.43 mm, MCI, 0.45 ± 0.31 mm, HC, $0.41 \pm$
176 0.27 mm). The images were corrected for differences in image acquisition time between
177 slices, and were normalized to a Montreal Neurological Institute (MNI) template space
178 by using DARTEL method. The effect of head movement parameters (12) and mean
179 BOLD signals from whole brain, white matter and cerebrospinal fluids were removed at
180 each voxel. Spatial smoothing was applied with full-wide half maxima equal to 6 mm.

181

182 DMN template

183 In order to develop templates of resting-state networks, datasets were used from
184 younger individuals. This technique was used because many previous studies have
185 reported aging effects on DMN (Biswal et al. 2010), suggesting that not only AD but also
186 healthy elderly exhibit altered DMN. We performed a spatial ICA for the SHIMANE
187 young group data using the Group ICA of the fMRI toolbox (GIFT). ICA is a data-driven
188 multivariate signal-processing approach. In ICA, the signal observed at a given voxel is
189 assumed to be the sum of the contributions of all the independent components (ICs). The
190 spatial distributions of the IC voxel values are statistically independent from each other;
191 the degree of contribution reflects the functional connectivity of the IC network. GIFT
192 can confirm the contributions of all voxels to each IC as whole-brain images of z -scores.
193 The maps were averaged to produce a component map, and a one-sample t -test was
194 performed. An IC map including the medial prefrontal cortex, posterior cingulate cortex,
195 precuneus, and inferior parietal lobe was selected as the DMN, and was binarized with
196 the criteria of $p < .05$ with family-wise error (FWE) correction and voxel size > 200 .
197 Because the CICA requires at least two templates, we made a frontoparietal network
198 (FPN) map in the same way. The DMN and FPN are task-negative and task-positive
199 networks, respectively, and they are basically in an exclusive relationship. The binarized
200 DMN and FPN images were used as templates for the CICA.

201

202 Constrained Independent Component Analysis (CICA)

203 CICA helps to eliminate order ambiguity in the standard ICA. CICA is capable
204 of extracting the desired independent components by incorporating prior information into
205 the ICA contrast function when rough templates are available (Lu and Rajapakse 2005).
206 We performed CICA using the DMN and FPN templates for each individual. In this case,
207 CICA allowed us to detect ICs for each individual in the same manner, and to obtain stable
208 DMN and FPN as first and second ICs with fixed order. This is an advantage considering
209 actual AD identification in clinical contexts, because it avoids manual IC selection. The
210 z -values of the DMN map were used for AD identification in this study. First, we
211 compared the DMN map of the three groups in both datasets using a whole-brain ANOVA
212 in SPM. The statistical criteria were set to uncorrected $p < 0.001$ at the voxel level and
213 FDR-corrected $p < 0.05$ at the cluster level.

214

215 Multi-voxel pattern analysis

216 The Pattern Recognition for Neuroimaging Toolbox (PRoNTo) was used for
217 MVPA. An MVPA flow chart is depicted in Figure 1. The z-value maps of the DMN were
218 treated as spatial patterns, and statistical learning models were used to identify statistical
219 properties of the data that could discriminate AD, MCI, and HC.

220 First, we examined whether the DMN map could identify patients within the
221 ADNI database. The learning and classification process involves four steps: (i) dividing
222 the subjects into training and test sets, (ii) selecting discriminative regions, (iii) training
223 the classifier model using the training data, and (iv) evaluating the performance of the
224 model using the test data (see Figure 1, left). To examine the performance of the classifier,
225 a leave-one-out cross-validation approach was taken, and every subject was selected once
226 as the test data, with the remaining subjects forming the training data. AD, MCI, and HC
227 in the training data were compared using ANOVA. Binary mask images which had
228 regions showing significant decreased functional connectivity ($p < 0.05$ at voxel level)
229 were created. The voxel values of the DMN map masked by the binary image were used
230 as features. The support vector machine classifier (binary) and Gaussian process classifier
231 model (multiclass) were trained by using the features of the training data. The default
232 setting of PRoNTo was used as the parameter of the machine learning. The classifier
233 models were applied to the test data to evaluate AD and MCI identification performance.

234 Next, we examined whether the classifier models based on the ADNI data could
235 identify AD and MCI on the basis of the SHIMANE data. This analysis was similar to the
236 above-described one, but the ADNI dataset was used as the training data. A whole brain
237 ANOVA was applied to the training data (all subjects of ADNI) for feature selection, and
238 all the voxels that showed a significant group difference ($p < 0.05$ at the voxel level) were
239 included as input features in the machine learning. The classifier models calculated from
240 the training data were applied to each individual's data from SHIMANE to evaluate the
241 performance of AD and MCI identification across databases (see Figure, 1 right).

242

243 Frequency distribution-based analysis of functional connectivity

244 We propose a new simple index to classify AD and MCI. Because the medial
245 temporal lobe (MTL) of AD patients commonly showed decreased functional

246 connectivity in both databases (see results), we focused on the MTL in this analysis.
247 Based on the notion that more voxels in the MTL of AD patients show decreased
248 functional connectivity compared to HCs, we carried out a frequency distribution-based
249 analysis. A flow of this analysis is depicted in Figure 2. In this approach, the z -value of
250 voxel i of the DMN obtained via the CICA was normalized by using the mean (m) and
251 standard deviation (σ) of the ADNI control group as follows: $z_i' = (z_i - m_i) / \sigma_i$. When
252 normalizing individual data for the ADNI control group, the m and σ did not include data
253 from the present individual. The normalized DMN map was masked to extract the
254 functional connectivity change (z') of voxels within the MTL. The MTL was defined
255 using Automated Anatomical Labeling (AAL), and consisted of the hippocampus,
256 parahippocampal gyrus, and amygdala (1295 voxels in this study). The z' within MTL
257 was reshaped to a one-dimensional array and were sorted in ascending order. The
258 distribution of z' is depicted in the bottom right of Figure 2. Each voxel was ranked based
259 on the order of sorted z' . We predicted that a distribution (histogram) of z' within the
260 MTL would shift to a negative value in AD patients. To confirm the prediction, we
261 calculated mean z' scores for the lower-ranked voxels (range: 10 - 100 % for MTL voxels,
262 step: 10%), for each individual. The mean score for all voxels (100%) in the MTL
263 corresponds with the normal ROI analysis. We performed receiver operated characteristic
264 (ROC) analysis for mean z' scores of lower-ranked voxels for each range to assess AD
265 and MCI identification performance in each dataset. Similarly, we performed this analysis
266 for core regions of DMN including the posterior cingulate cortex/precuneus, medial
267 prefrontal cortex, and inferior parietal cortex. These ROIs were defined by the DMN mask
268 obtained by data from young individuals (see above).

269

270 **Results**

271

272 **Constrained Independent Component Analysis**

273 Figure 3 shows the group differences for DMN connectivity among AD, MCI,
274 and HC subjects. A whole brain ANOVA revealed a significant main effect of group for
275 the bilateral hippocampus, and the functional connectivity of the regions were decreased
276 in AD compared with MCI and HC (Figure 3 and supplemental Table 2). MCI did not
277 show decrements of functional connectivity in the region in both datasets. To test effects

278 of brain atrophy, we conducted re-analyses after adding the voxel-wise gray matter
279 density map as a covariate using Biological Parametric Mapping (Casanova et al. 2007).
280 The method permits solving a general linear model by incorporating information obtained
281 from other modalities, such that we could investigate group differences after excluding
282 the effect of brain atrophy. The differences among the groups were still significant even
283 after controlling for the effects of brain atrophy (see supplemental table 3), which means
284 that the decreased functional connectivity of the hippocampus is independent of any
285 effects of regional atrophy.

286

287 **Multi-voxel-pattern analysis**

288 Figure 4 shows confusion matrixes and ROC curves obtained via MVPA. In the
289 multiclass case, the model obtained by Gaussian process classifier identified patients with
290 AD and MCI with high accuracy for the ADNI data (accuracy: 81.9%, Figure 4A).
291 However, when applying the classifier model from the ADNI data to the SHIMANE data,
292 AD and MCI identification accuracy decreased markedly (accuracy: 43.1%, Figure 4C).
293 Similarly, the binary classification models between each group were able to precisely
294 identify patients with AD or MCI in the ADNI data (accuracy of AD/HC: 91.4%,
295 AD/MCI: 79.8%, MCI/HC: 90.4%), whereas the models failed to classify the SHIMANE
296 data (accuracy of AD/HC: 58.7%, AD/MCI: 60.0%, MCI/HC: 51.3%).

297

298 **Frequency-distribution-based analysis of functional connectivity**

299 The DMN functional connectivity map (z) was normalized for the ADNI healthy
300 subjects, for both ADNI and SHIMANE data. Normalized functional connectivity (z')
301 within the MTL was extracted, and the frequency distribution of z' was examined (Figure
302 5A&E). The distributions for the AD group shifted to the negative, which means that
303 more voxels in the MTL tended to show decreased functional connectivity in AD
304 compared to MCI and HC individuals. This tendency was same for ADNI and SHIMANE
305 data. We calculated the z' averages within voxels, which showed stronger declines of
306 functional connectivity among all voxels in the MTL, and compared the mean
307 connectivity between the groups (Figure 5B&F). Mean connectivity was lower for AD
308 than MCI and HC in each dataset ($ps < 0.001$). We conducted ROC analyses of mean
309 connectivity (Figure 5C), and accuracy performance for the ADNI data was 76.5 - 80.3%

310 across the voxel ratio for the classification of AD and HC. The classifications of AD/MCI
311 and MCI/HC showed lower performances (under 70%). Similarly, the accuracy
312 performance for mean connectivity in the SHIMANE data was 73.9 - 82.6% for
313 classification of AD and HC (Figure 5G). Figure 5 D&H shows the ROC analysis result
314 for mean functional connectivity of the lower-ranked 50% voxels: There was no
315 decrement in AD identification performance from the ADNI data to the SHIMANE data.

316 Moreover, to compare the performance between MTL and other DMN core
317 regions, we applied our approach to posterior cingulate cortex, medial temporal cortex,
318 and inferior parietal lobe. Figure 6 shows the identification accuracies using normalized
319 functional connectivity of each region in ADNI and SHIMANE datasets. In both datasets,
320 the AD classification accuracy based MTL were higher than those of each DMN core
321 region and the entire DMN.

322

323 **Discussion**

324 The aim of this study was to evaluate the AD and MCI identification
325 performances of MVPA and frequency-distribution-based analysis across two distinct
326 databases. Whereas MVPA failed to produce consistent identification across the databases,
327 the frequency-distribution-based analysis maintained satisfactory AD identification
328 performance. Our results suggest that AD and MCI identification using MVPA was
329 overlearned for the test dataset (at least in a study with a small sample size), and a simpler
330 index of functional connectivity distribution could yield a relatively robust identification
331 index.

332 There is no doubt about the usability of MVPA in a database with abundant
333 patients when healthy controls are available. For example, MVPA using the support vector
334 machine indicated that network topology parameters (clustering coefficients, etc.) can
335 classify AD patients and healthy elderly subjects with an accuracy of 63-93% (Li et al.
336 2013). In addition, multi-modal approaches have been proposed. Dai et al. (2012)
337 demonstrated that a combined model of gray matter volume and resting-state fMRI
338 achieved an accuracy of 89%. Dyrba et al. (2015) also reported that a multi-kernel support
339 vector machine using resting-state fMRI, diffusion tensor imaging, and gray matter
340 volume showed excellent performance, with a classification accuracy of 82%. Challis et
341 al. (2015) reported that a Bayesian Gaussian process logistic regression model including

342 age, MMSE, and the functional connectivity matrix achieved 97% accuracy for
343 distinguishing AD patients from amnesic mild cognitive impairment subjects, and the
344 performance of this model was better than that of the support vector machine. Although
345 such results are very promising, all of these reports were based on just one site or one
346 database. To the best of our knowledge, no previous study has examined MVPA
347 performance for AD classification across multiple databases or sites. Similar to previous
348 studies, MVPA for the DMN in the present study demonstrated high performance for AD
349 identification within the ADNI database. However, when we applied that model to our
350 database, AD identification performance remained at a chance level. This suggests that
351 the AD identification model in question was overlearned and confined to the ADNI
352 database. Such decreased performance of identifications across databases has also been
353 found for other diseases. In a multi-site autism study using resting-state fMRI, some sites
354 showed high accuracy (over 80%) in the leave-one-out cross validation of classification,
355 but lower accuracy (60-65%) in the leave-one-site-out cross validation (Chen et al. 2016).
356 Considering this evidence, MVPA might be useful only when a given site can prepare its
357 own dataset.

358 One of our ultimate goal was to establish a robust index for AD identification
359 useful across multiple databases. Regarding this, MVPA might be an inadequate candidate
360 due to the small size of available data pool. We proposed a simpler index based on the
361 frequency distribution of functional connectivity as a candidate. We focused on the MTL,
362 including the hippocampus. Many resting-state fMRI studies report altered functional
363 connectivity of the MTL (Joo et al. 2016; Krajcovicova et al. 2014; Sheline and Raichle
364 2013). We replicated the finding of altered functional connectivity of the MTL in the
365 direct comparison of the DMN using CICA in both databases, which means that the MTL
366 connectivity can provide important information to classify AD and healthy elderly
367 subjects. Using the normalized functional connectivity of the MTL, the means of lower-
368 ranked voxels in the distribution were calculated. We found that the means of functional
369 connectivity were lower for AD patients than healthy elderly subjects, in both datasets.
370 ROC analysis revealed that the mean connectivity can distinguish AD patients and
371 healthy elderly subjects with good performance for both database. AD identification for
372 the SHIMANE database maintained moderately accurate despite initial development
373 using a different database, which means that the frequency distribution-based analysis for

374 functional connectivity of the MTL could be a good tool in actual clinical settings.

375 With regard to MCI, we found that MVPA succeeded in identifying ADNI data
376 (accuracy: 90.4%). Such high accuracy over 90% within a dataset has been reported in
377 some rsfMRI studies, including those using an ROI-based approach (Article et al. 2014;
378 Suk et al. 2015), multi-resting networks detected by ICA (Jiang et al. 2014), and a graph
379 theory approach (Khazaee et al. 2017). Our results suggest that if the identification is
380 performed only within one dataset or one site, MCI can be identified by only DMN with
381 high accuracy. However, both MVPA and our approach failed to discriminate MCI from
382 HC across datasets. This is because there were no common features of MCI in the two
383 datasets, even in MTL. The absence of common features might result from the variety of
384 MCI patients. MCI is considered as a prodromal state of AD; however the annual
385 conversion rate is about 7% to AD (Mitchell and Shiri-Feshki 2009). Some patients
386 appear to improve cognitive performance over time. For example, 19.5% of MCI had
387 recovered and an additional 61% neither improved nor deteriorated (Wolf et al. 1998).
388 MCI may not be a homogenous condition but may comprise several disease groups
389 unified by the propensity to cause modest cognitive impairment. MCI patients in this
390 study were of the amnesic type, but more detailed MCI selection based on amyloid β
391 and/or tau might contribute to improvement in MCI discrimination performance.

392 RsfMRI might be useful not only early detection of AD but also in differentiating
393 between AD and other diseases including dementia with Lewy bodies (DLB) and
394 frontotemporal dementia (FTD). Several studies have reported that DLB patients showed
395 decreased functional connectivity of DMN compared with AD (Franciotti et al. 2013;
396 Galvin et al. 2011; Kenny et al. 2012; Lowther et al. 2014). In contrast, FTD seems to be
397 correlated with disrupted salience network consisting of the anterior cingulate cortex and
398 anterior insula (Seeley et al. 2007), which is affected by aging (Onoda et al. 2012). It is
399 reported that FTD patients showed decreased functional connectivity of this salience
400 network (Filippi et al. 2013; Zhou et al. 2010). In addition, Zhou et al. (2010) suggests
401 that the combined index of DMN and salience network discriminated AD and FTD with
402 100% accuracy. Future studies will focus on examining the applicability of the frequent-
403 distribution analysis for FTD and DLB.

404

405 **Conclusion**

406 In sum, we demonstrated that a simple index of MTL functional connectivity
407 based on frequency distribution could be a better MRI biomarker for AD classification
408 across datasets or sites. Such an index might be broadly applicable to resting-state fMRIs
409 obtained in different sites and under different measurement conditions.

410

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435

436 **Disclosure Statement**

437 No competing financial interests exist.

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547 **Figure legends**

548

549 Figure 1. Flowchart of the multi-voxel pattern analysis. ADNI: Alzheimer's Disease
550 Neuroimaging Initiative, SVM: support vector machine.

551

552 Figure 2. Flowchart of analysis based on frequency distribution of functional connectivity.

553

554 Figure 3. Comparison of functional connectivity in default mode network. (A) average
555 functional connectivity map of all subjects in ADNI and SHIMANE datasets (FDR
556 corrected $p < 0.05$ at cluster and voxel levels). (B) Significant main effect of group in
557 whole brain ANOVA (FDR-corrected $p < 0.05$ at cluster level and uncorrected $p < 0.001$
558 at voxel level). (C) Functional connectivity of bilateral hippocampus for each group and
559 two datasets. Data are shown as eigenvariate means within each significant cluster.
560 Errorbar denotes standard error.

561

562 Figure 4. Multi-voxel pattern analysis (MVPA) results. (A) Multiclass Gaussian process
563 classification for ADNI data. (B) ROC analysis based on binary support vector machine
564 for ADNI data. (C) Multiclass Gaussian process classification using ADNI model for
565 SHIMANE data. (D) ROC analysis based on binary support vector machine using leave-
566 one-out method, for ADNI model for SHIMANE data.

567

568 Figure 5. Frequency distribution analysis results for functional connectivity in the medial
569 temporal lobe (Top: ADNI; Bottom: SHIMANE). Left plots (A & E) show the frequency
570 distribution of normalized functional connectivity in the medial temporal lobe. Middle
571 left plots (B & F) show the group comparisons for mean normalized functional
572 connectivity as a function of lower-ranked voxel ratio. Middle right plots (C & G) show
573 identification accuracy as a function of the voxel ratio. Right plots (D & H) show receiver
574 ROC analyses for the index in the lower-ranked voxels of 50%.

575

576 Figure 6. Accuracy of identification using frequency distribution analysis for functional
577 connectivity in regions of default mode network (DMN) (Top: ADNI; Bottom:

578 SHIMANE). PCC: posterior cingulate cortex, MPFC: medial prefrontal cortex, IPL:
579 inferior cingulate cortex, MTL: medial temporal lobe.
580

581 Table 1. Demographic and clinical data of subjects.

	ADNI			SHIMANE			<i>Statistics</i>
	AD	MCI	HC	AD	MCI	HC	
N	33	46	48	26	19	20	
Age	72.5±7.6	72.9±8.6	74.7±5.9	73.4±3.0	73.4±5.9	71.3±4.6	<i>n.s.</i>
Sex	17/16	29/17	20/28	14/12	10/9	12/8	<i>n.s.</i>
MMSE	21.7±3.1	27.2±2.5	29.4±0.9	20.0±5.4	27.2±1.8	29.2±1.2	AD<MCI<HC
CDR	0.9±0.3	0.5	0	0.9±0.2	0.5	0	

582 AD: Alzheimer's disease, MCI: mild cognitive impairment, HC: healthy controls, MMSE:

583 Mini-mental State Examination, CDR: Clinical Dementia Rating Scale.

584

585

586 **Table 2. Accuracy of classification for ADNI and SHIMANE datasets**

	Multiclass	AD/HC	AD/MCI	MCI/HC
Multi voxel pattern analysis				
ADNI	81.9 (0.001)	91.4 (0.001)	79.8 (0.001)	90.4 (0.001)
SHIMANE	43.1 (0.001)	58.7(0.093)	60.0 (0.073)	51.3 (0.476)
Frequency-distribution analysis (Low-ranked voxel 50%)				
ADNI	-	80.3 (0.001)	68.4 (0.007)	63.8 (0.020)
SHIMANE	-	78.3 (0.001)	75.6 (0.001)	69.2 (0.028)

587 Values within () denote p-values of permutation tests, and the iteration was 1000.

588

589