

**Comparison of macular pigment and serum lutein concentration changes between
free lutein and lutein esters supplements in Japanese subjects**

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ABSTRACT

Purpose: To compare changes in macular pigment optical density (MPOD) and serum lutein concentration between free lutein and lutein esters supplements in healthy Japanese individuals.

Methods: Twenty healthy subjects (age range, 22-47 years) were recruited into this prospective, randomized, doubled-blind comparative study. Individuals were evenly divided into two groups: free lutein group, supplementation with 10 mg of free lutein; or lutein esters group, supplementation with 20 mg of lutein esters equivalent to 10 mg of free lutein. Each participant took either type of oral lutein daily for 3 months. The serum lutein concentrations and MPOD levels were measured at baseline and 3 and 6 months after the start of supplementation.

Results: There were no significant differences in the serum lutein concentrations and MPOD levels at baseline between the groups. The increased serum lutein concentration and MPOD levels at 3 months were, respectively, 89% and 38% in the free lutein group and 97% and 17% in the lutein esters group. The serum lutein concentrations in both groups and MPOD levels in the free lutein group increased significantly ($p < 0.05$) from baseline. No significant differences in serum lutein concentrations and MPOD levels were seen between the groups. Three months after supplementation ended, the serum

lutein concentration decreased; the MPOD remained elevated in both groups.

Conclusions: The serum lutein concentrations and MPOD levels increased significantly with either free lutein or lutein esters, and no significant differences were found between the two. Both were considered useful as lutein supplements.

Key words: free lutein -- lutein esters -- macular pigment optical density (MPOD) -- serum lutein concentration

Introduction

In 1980s, macular pigment was defined chemically as a mixture of two carotenoids, lutein and zeaxanthin (Bone et al. 1985; Snodderly et al. 1984), which are concentrated in the macula lutea, absorb blue light, and act as a filter that may attenuate photochemical damage caused by short-wavelength visible light (blue light). These carotenoids are also antioxidants that may protect against light-induced oxidative damage in the retina by quenching oxygen radicals (Sommerburg et al. 1999; Rapp et al. 2000).

Age-related macular degeneration (AMD) is a multifactorial disease, and oxidative stress caused by short wavelength blue light is considered an important factor in the disease (Nicolas et al. 1996). Since macular pigment protects against the blue light hazard, numerous studies of macular pigments and AMD have been undertaken (Puell et al. 2013; Tsika et al. 2011; Thurnham et al. 2015). Some studies have found that MPOD levels in AMD eyes were significantly lower than in normal eyes (Kaya et al. 2012; Beatty et al. 2001; Bernstein et al. 2002), and our previous study in a Japanese population suggested that lower MPOD levels may be a risk factor for AMD progression (Obana et al. 2008). The ability of lutein and zeaxanthin supplements to prevent AMD has been investigated (Krinsky & Johnson 2005; Krinsky et al. 2003;

Landrum & Bone 2001) and a large clinical study (Age-Related Eye Disease Study 2 Research Group, 2013) recommended antioxidant supplements containing lutein and zeaxanthin.

Humans cannot synthesize lutein in the body; it must be obtained from ingestion of vegetables and fruits or supplements. Lutein can be present in fruits and vegetables both in the free form and the more stable fatty acid esterified form (Dugo et al. 2008). In the case of lutein esters, ester is cleaved from lutein molecule and unesterified free lutein is generated during the absorption process in the intestines. Free lutein is incorporated into micelles and absorbed into blood via scavenger receptor class B type 1 (SR-B1) in the intestinal epithelial cells, where it binds mainly with high-density lipoprotein (HDL) (Li et al. 2010) and is transported in the blood vessels. Free lutein is taken into the retinal pigment epithelium by SR-B1 and then into the photoreceptor cells by inter-photoreceptor retinoid binding protein. In the retina, lutein binds steroidogenic acute regulatory domain protein 3 (Li et al. 2011) and is stored mainly in the inner and outer plexiform layers. Some investigators have suggested that the absorption rate in the intestine differs between free lutein and lutein esters, and some studies showed different serum lutein concentrations and MPOD levels after supplementation of free lutein and lutein esters. Bowen et al. (2002) reported that the

serum lutein concentrations in subjects taking lutein esters supplementation were higher than in those taking free lutein and concluded that the lutein esters form was more bioavailable than the free lutein. In contrast, Norkus et al. (2010) reported that the serum lutein response was higher with free lutein than with lutein esters. Few reports have been published about the response of the MPOD levels to lutein supplementation compared with each type of lutein (Landrum et al. 2012). Therefore, it remains unclear which type of lutein supplementation increases the MPOD levels. In the current study, we investigated the response in the serum lutein concentrations and MPOD levels to two forms of lutein in healthy Japanese individuals. This is not a study to prove the equivalence of both forms.

Methods

The Institutional Review Board of Shimane University Hospital approved the study. Each subject received a full explanation of the study and signed an informed consent form in compliance with the tenets of the Declaration of Helsinki.

Twenty healthy Japanese subjects who ranged in age from 22 to 47 years (8 men, 12 women) were recruited into this prospective, randomized, doubled-blind study and received either 10 mg of oral free lutein (n = 10) or 20 mg of lutein esters (n = 10) daily

for 3 months. The subjects were randomized to a supplement by a computer-generated table of random numbers. No subjects had taken lutein, zeaxanthin, or vitamins before this study. No subjects had a history of smoking. Based on an interview at examination, no subject missed taking lutein supplements during the 3-month period.

Each capsule of free lutein supplement contained lutein equivalent to 10 mg of free lutein. One capsule of free lutein contained 50 mg of 20% free lutein suspension (Katra Phytochem India Pvt. Ltd., Bangalore, India) in a safflower oil suspension. Each capsule of lutein esters supplement contained 25 mg of the esterified form of lutein (Lutein-P80, Oryza Oil & Fat Chemical Co. Ltd., Aichi, Japan), which was equivalent to 10 mg of free lutein in safflower oil suspension. Both supplements, each capsule of which weighed 200 mg, were prepared by Biyon Co. Ltd and supplied free of charge. The contents of each supplement are shown in Table 1.

No subjects had ocular or systemic pathologies. The best-corrected logarithm of the minimum angle of resolution visual acuity (logMAR VA) and refractive error of each individual were measured at baseline and 3 and 6 months after the start of supplementation. Subjects underwent contrast and glare sensitivity testing, using a contrast glare-tester (Model CGT-2000, Takagi, Nagano, Japan) at the three time points. With the CGT-2000, contrast threshold values were assessed at six visual angles (sizes)

of the target (6.3, 4.0, 2.5, 1.6, 1.0, and 0.64 degrees) under mesopic (10 candelas/square meter) conditions. The thresholds also were assessed under glare (40,000 candelas/square meter) conditions using the same target sizes. MPOD levels were measured using a resonance Raman spectroscopy (RRS) at the three time points. The RRS device and measurement procedures were described previously (Bernstein et al. 2002; Ermakov et al. 2004). Blood samples were obtained from each subject at the three time points, and measurements of serum lutein concentration were conducted with high-performance liquid chromatography using methods previously described (Obana et al. 2015) by Oryza Oil & Fat Chemical Co. Ltd.

Statistical analysis

Statistical analyses were performed using JMP version 11 software (JMP Statistical Discovery, Cary, NC, USA). Subject age, logMAR VA, spherical equivalent refractive error, contrast and glare sensitivity, MPOD levels, and serum lutein concentrations between the two supplementation groups were compared using the Mann-Whitney U-test. Sex was compared using Fisher's exact probability test. VA, contrast and glare sensitivity, MPOD levels, and serum lutein concentrations were measured at baseline and 3 and 6 months in each individual and compared using the Wilcoxon signed-rank

test. $p < 0.05$ was considered significant.

Results

Table 2 shows the demographic data of the subjects at the baseline examination. Subject age, sex, spherical equivalent refractive error, serum lutein concentrations, and MPOD levels did not differ significantly between the groups. The mean logMAR VA was -0.08 ± 1.46 in free lutein group and -0.13 ± 0.08 in lutein ester group, which did not differ significantly. The logMAR VA was stable throughout the study and no significant changes were seen in both groups 3 and 6 months after the start of supplementation.

Figure 1 shows the contrast and glare sensitivities in both groups. Three and 6 months after the start of supplementation, there were no significant differences in contrast and glare sensitivities across all targets, except for the glare sensitivity at 4.0 degrees ($p = 0.04$) in the lutein esters group 6 months after supplementation.

The mean baseline serum lutein concentrations were 3.7 ± 1.05 $\mu\text{mol/L}$ in the free lutein group and 3.2 ± 1.21 $\mu\text{mol/L}$ in the lutein esters group, which did not differ significantly. Figure 2 shows the changes in the mean serum lutein concentrations. Three months after the start of supplementation, the serum lutein concentration increased to 6.4 ± 2.98 $\mu\text{mol/L}$ in the free lutein group and to 5.7 ± 1.63 $\mu\text{mol/L}$ in the

lutein esters group, both of which differed significantly from baseline. At 6 months, i.e., 3 months after the end of supplementation, the serum lutein concentrations decreased to 4.2 ± 1.02 $\mu\text{mol/L}$ in the free lutein group and to 4.0 ± 1.30 $\mu\text{mol/L}$ in the lutein esters group, but both were still significantly higher than baseline. Table 3 shows the increasing serum lutein concentrations in both groups. At 3 months, the rate was 89% in the free lutein group and 97% in the lutein esters group, which did not differ significantly.

The baseline MPOD levels were $1,322.9 \pm 568.8$ (Raman counts) in the free lutein group and $1,604.0 \pm 372.5$ in the lutein esters group, which did not differ significantly. Figure 3 shows the changes in the MPOD levels. At 3 months, the MPOD level increased to $1,660.6 \pm 583.1$ in the free lutein group, which differed significantly from baseline. At 6 months, i.e., 3 months after the end of supplementation, the MPOD level increased to $1,755.8 \pm 556.1$, which differed significantly from baseline. In the lutein esters group, the mean MPOD level at 3 months was $1,815.9 \pm 209.6$ and did not differ significantly from baseline, but the mean MPOD level was $2,301.7 \pm 744.5$ at 6 months. This was significantly higher than at baseline and 3 months. Table 4 shows the increasing MPOD levels in both groups. The increasing MPOD levels in the free lutein group were 38% at 3 months and 47% at 6 months. The increasing MPOD levels in the

lutein esters group were 17% at 3 months and 50% at 6 months. The increase in the lutein esters group at 3 months was lower than that of free lutein, but there was no significant difference at 6 month.

Discussion

The serum lutein concentrations increased 3 months after supplementation in both the free lutein and lutein esters group and the increasing levels did not differ between the two groups. The bioavailability of free lutein and lutein esters is not fully understood, and some studies have reported different effects on the increases in the serum lutein concentrations. In the current study, however, there was no significant difference between the two supplements that contained the same amount of free lutein. This result suggested that esterification did not affect intestinal absorption. Three months after cessation of the supplements, the serum lutein concentrations decreased in both groups. Landrum et al. (1997) also reported this rapid decrease. Lutein is generally stored in adipose tissue but not in the blood.

MPOD levels increased 38% with 3 months supplementation of free lutein; in contrast, the increase was 17% in the lutein ester group, but the MPOD levels in the lutein ester group at 6 months, i.e., 3 months after cessation of supplementation

increased 50%, which was equivalent to 47% in the free lutein group. The interpretation of this delayed increase in the lutein ester group was uncertain, but it is unrealistic that there is any difference in the uptake of the two supplements from the blood to the retina, because lutein esters are converted to free lutein in the intestine, and free lutein binding with HDL and other lipoproteins is transported to the choriocapillaris. Therefore, we speculated that the low increase in the lutein esters level at 3 months may have been due to the small number of subjects. In this study, we did not repeat the measurement of MPOD levels before the supplements were stopped. Another study is needed to interpret the current results.

The MPOD levels at 6 months were higher than at 3 months in both supplement groups, although the serum lutein concentrations decreased. These results suggested that the MPOD levels keep increasing for some period after supplementation stopped. Several studies have reported the tendency for a post-supplementation increase in the MPOD levels (Landrum et al. 1997; Hammond et al. 1997; Trieschmann et al. 2007). Wang et al. (2007) reported that lutein was selectively retained in the retina of chicks receiving a xanthophyll-free diet for 28 days; in contrast, the lutein concentrations in the plasma and other tissues decreased up to 90% of their original level. Some mechanisms have been considered. Landrum et al. (1997) suggested a very slow turnover of

carotenoids in the retina and a possible specific mechanism to maintain the MPOD levels in the retina. Li et al. (2014) reported that the binding affinities between human β , β -carotene-9', 10'-dioxygenase (BCO2) and lutein, zeaxanthin, and meso-zeaxanthin were 10- to 40-fold weaker in humans than in mice (in vitro). BCO2 is a xanthophyll carotenoid cleavage enzyme. The inactivity of BCO2 in humans may induce lengthy preservation of lutein in the retina. Generally, adipose tissue is a major storage organ of carotenoids (Parker 1989; Kaplan et al. 1990). Johnson et al. (2000) examined the relationships among the lutein concentration in serum and adipose tissue and the MPOD levels in subjects with addition of spinach (60 g/day) and corn (150 g/day) to the diet for 15 weeks. After cessation of the dietary modification, lutein concentration in the adipose tissue decreased, while the MOPD levels remained high. The authors suggested that macular pigment in the retina might be supplied from lutein stored in adipose tissue.

There are several methods to measure the MOPD levels, such as heterochromatic flicker photometry (HFP), fundus autofluorescence imaging (AFI), fundus reflectance imaging, and RRS. HFP is used most widely and the consensus is that the method is accurate. However, since HFP is subjective, the MPOD levels cannot be measured in some subjects due to patient misunderstanding or poor response skills and it takes a

relatively long time to achieve measurement. RRS that is used only in approved clinical studies is an objective method, and MPOD levels can be measured in several seconds.

In a study using RRS, the MPOD levels increased 24% after 3-months supplementation of 10 mg/day of free lutein in healthy Japanese subjects (Tanito et al 2012). The increased rate in the current study was higher (38%); however, the small number of subjects makes it impossible to reach a conclusion. Obana et al. (2015) failed to show an increase in the mean MPOD levels by RRS with 6-months supplementation with 10 mg/day of free lutein. However, those authors reported three response patterns in the increase in the MPOD levels and serum lutein concentrations, i.e., “retinal responders” who had an increases in both the MPOD levels and serum lutein concentrations, “retinal non-responders” who had only increased serum concentrations and no change in the MPOD levels, and “retinal and serum non-responders” who had no increases in either the MPOD level or plasma concentration. In the current study, the small number of subjects made it difficult to examine the response patterns. In reports using a measurement technique other than RRS, the MPOD levels increased by 32% by HFP with 18 to 24 weeks of supplementation of 10 mg/day lutein, although seven patients with AMD were included among the 13 subjects (Koh et al. 2004). This value was similar to the current one. Trieschmann et al. (2007) reported an 11% increase in MPOD

levels measured by AFI with 24 weeks of supplementation with 12 mg/day lutein and 1 mg/day zeaxanthin supplementation mostly in patients with AMD. The increase in the MPOD level may be lower in patients with AMD than in healthy subjects.

MPOD levels and serum lutein concentrations were affected by many factors, such as race (Rock et al. 2002; Gruber et al. 2004), age (Obana et al. 2014), sex (Hammond Jr. et al. 1996), smoking habits (Rock et al. 2002; Gruber et al. 2004), axial length (Tong et al. 2013; Obana et al. 2014), refractive error (Tanito et al. 2012), iris color (Hammond Jr. et al. 1996), body fat and BMI (Bovier et al. 2013; Hammond Jr. et al. 2002; Nolan et al. 2004), serum lipid concentration (Renzi et al. 2012; Loane et al. 2010), dietary intake, and genetic background (Liew et al. 2005). In the current study, we investigated the refractive errors and smoking habits but not the other factors. No subjects mentioned marked changes in dietary habits during the study in the final interview, but the absence of dietary information and other factors such as serum lipid concentration and genetic background limit the relevance of this study. Further, this study was not designed to determine the equivalence of the two lutein supplements. A more detailed investigation with more subjects is needed to prove the effects of free lutein or lutein esters.

In the current study, serum lutein concentrations increased significantly 3 months after supplementation with either free lutein or lutein esters, and no significant

differences were detected between the two. The MPOD levels significantly increased 6 months after supplementation began with both free lutein or lutein esters. Both forms of lutein were considered useful for supplements to increase macular pigments that are useful to prevent development of AMD.

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Table 1. Contents of supplements tested in the current study

| | Free lutein | Lutein esters |
|--|---------------|---------------------|
| Weight of contents in one capsule (mg) | 200 | 200 |
| Lutein contained in one capsule (mg) | 10 | 10 (as free lutein) |
| Zeaxanthin contained in one capsule (mg) | 0 | 0 |
| Suspension | safflower oil | safflower oil |

Table 2. Demographic data

| | Free lutein | Lutein ester | P value |
|--|--------------------|--------------------|---------------------|
| No. subjects | 10 | 10 | |
| Age | | | |
| Mean \pm SEM | 33.8 \pm 7.4 | 30.7 \pm 7.1 | 0.3516 [*] |
| Range | 22 to 47 | 22 to 41 | |
| Sex | | | |
| Men | 3 (30%) | 5 (50%) | 0.3613 [†] |
| Women | 7(70%) | 5 (50%) | |
| Spherical equivalent (D) | | | |
| Mean \pm SEM | -3.1 \pm 1.8 | -2.6 \pm 2.5 | 0.6355 [*] |
| Range | -0.5 ~ -6.0 | 0.25 ~ -7.75 | |
| Serum lutein concentration at baseline (μ mol/L) | | | |
| Mean \pm SEM | 3.7 \pm 0.6 | 3.2 \pm 0.7 | 0.3551 [*] |
| Range | 2.16 ~ 5.79 | 1.73 ~ 5.06 | |
| MPOD at baseline (Raman counts) | | | |
| Mean \pm SEM | 1322.9 \pm 179.9 | 1604.0 \pm 117.8 | 0.2076 [*] |
| Range | 508 ~ 2317 | 1139 ~ 2364 | |

SEM: standard error of the mean

*Comparison between the free and esters groups by the unpaired t-test.

†Comparison between the free and esters groups by Fisher's exact probability test.

Table 3. Increasing serum lutein concentrations in both groups

| Lutein type | 3 months | 6 months |
|-------------|--------------|--------------------------|
| Free | 1.89 ± 1.11* | 1.20 ± 0.32 |
| Ester | 1.97 ± 0.79* | 1.40 ± 0.63 [†] |

The serum lutein level after 3 or 6 months/serum lutein level at baseline.

The data are expressed as the mean ± standard deviation.

*p<0.05 vs baseline by the Wilcoxon signed-rank test.

[†]p<0.05 vs. 3 months by the Wilcoxon signed-rank test.

Table 4. Increasing MPOD levels in both groups

| Lutein type | 3 months | 6 months |
|-------------|--------------------------|---------------------------|
| Free | 1.38 ± 0.68 [*] | 1.47 ± 0.58 [*] |
| Ester | 1.17 ± 0.24 | 1.50 ± 0.66 ^{*†} |

The MPOD levels after 3 or 6 months/MPOD levels at baseline.

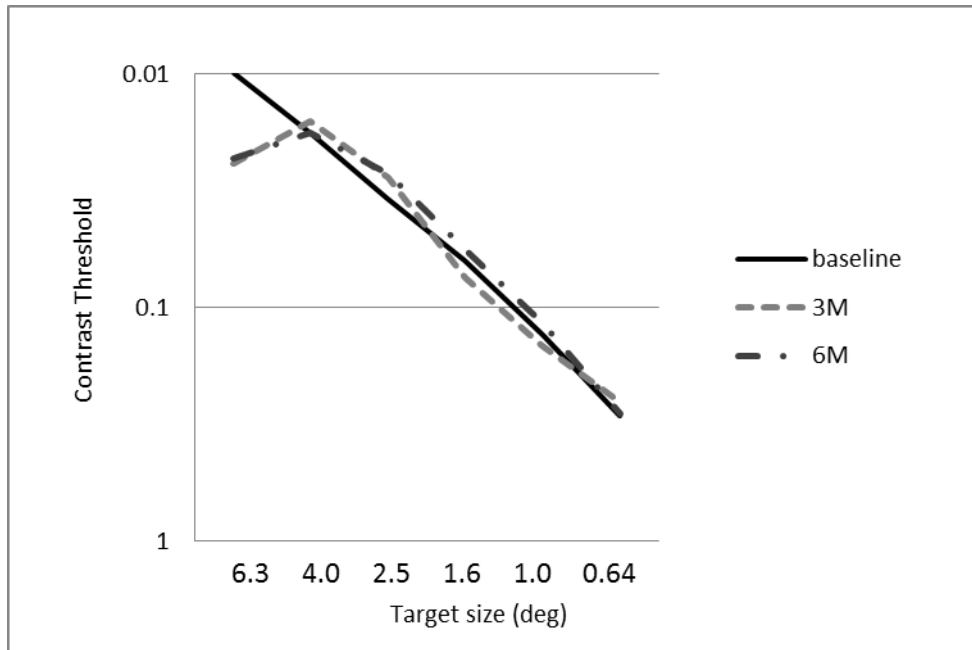
The data are expressed as the mean ± standard deviation.

*p<0.05 vs. baseline by the Wilcoxon signed-rank test.

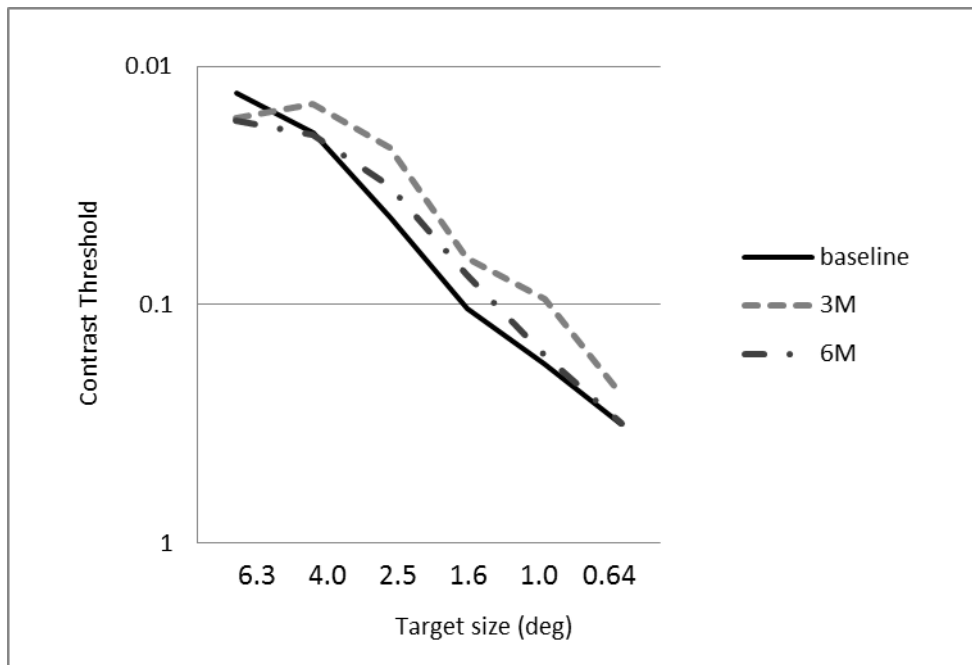
†p<0.05 vs. 3 months by the Wilcoxon signed-rank test.

Figure 1

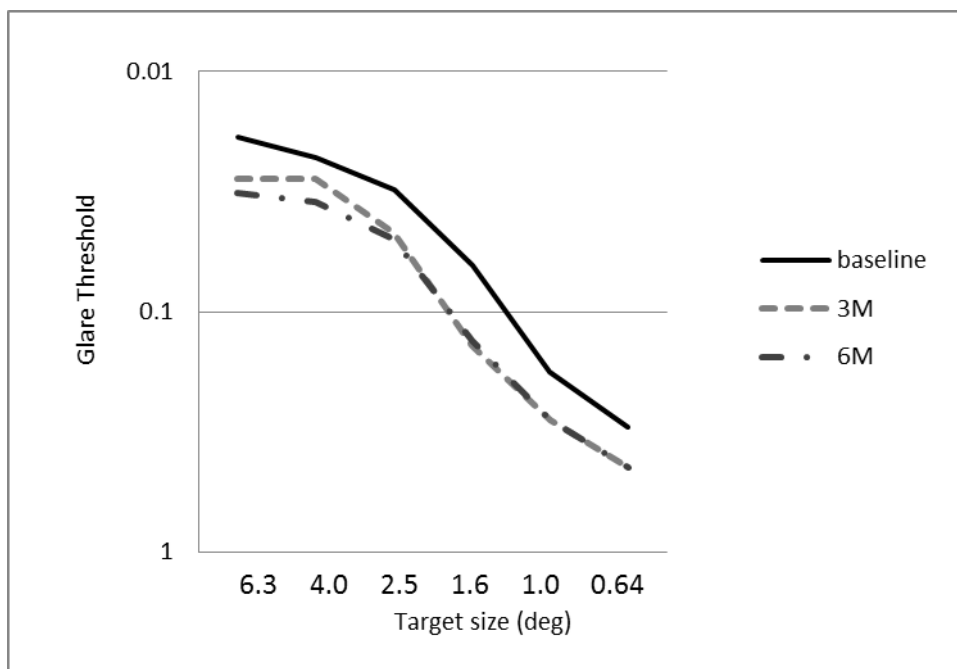
A



B



C



D

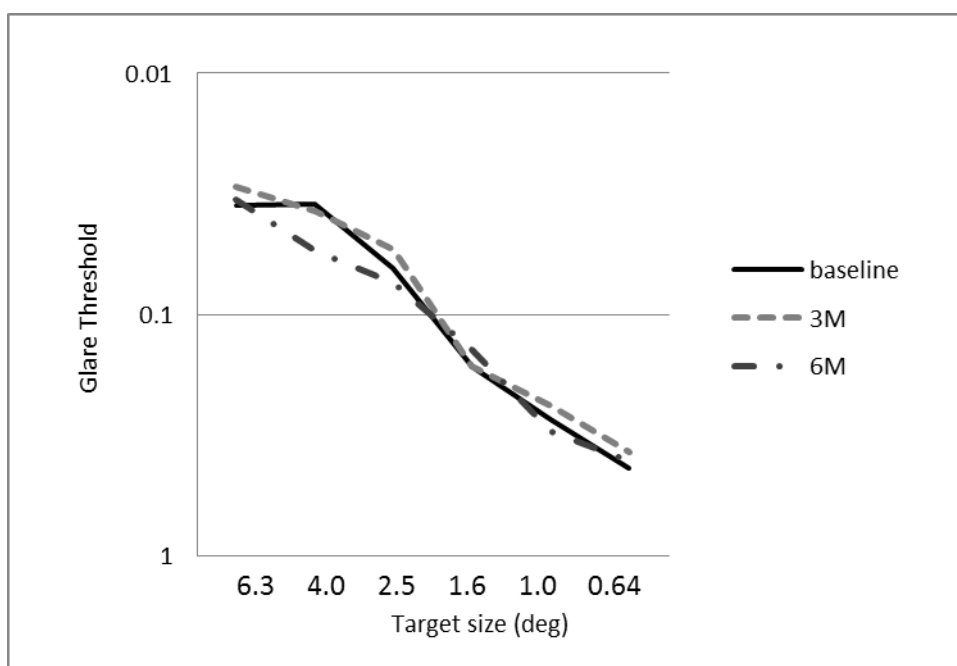


Fig. 1. Contrast and glare sensitivity. A, B, There are no significant differences in contrast sensitivity across all targets 3 and 6 months after the start of supplementation. C, D, Glare sensitivities in both groups 3 and 6 months after supplementation do not significantly change at all targets except for 4.0 degrees ($p = 0.04$) in the lutein esters group 6 months after supplementation. Deg = degrees; 3M = 3 months; 6M = 6 months. A and B, respectively, show measurements of the contrast sensitivity in the

free lutein group and lutein esters group. C and D, respectively, show measurements of the glare sensitivity in the free lutein group and lutein esters group.

Figure 2

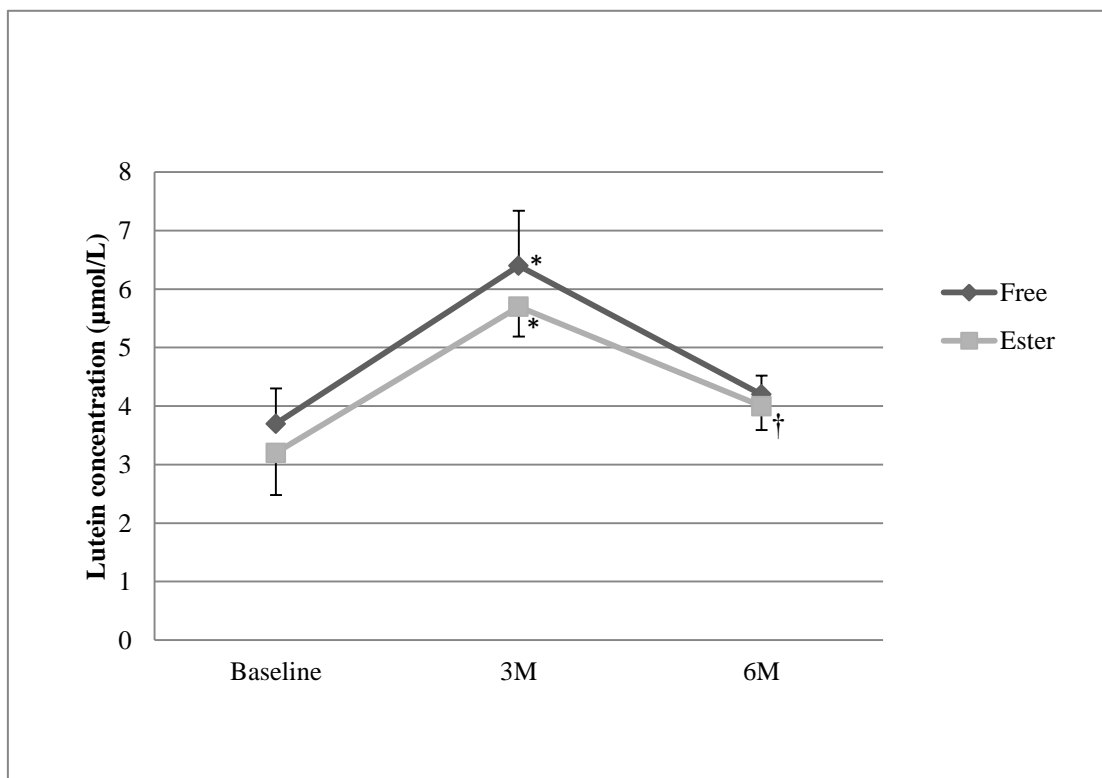


Fig. 2. Serum lutein concentration. Three months after the start of lutein supplementation, the serum lutein concentration significantly increases in both groups compared with baseline. Six months after the start of supplementation, the serum lutein concentration decreases in both groups. The data are expressed as the mean \pm standard error of the mean ($\mu\text{mol/L}$). 3M = 3months; 6M = 6months. * $p < 0.05$ vs. baseline by the Wilcoxon signed-rank test. † $p < 0.05$ vs. 3 months by the Wilcoxon signed-rank test.

Figure 3

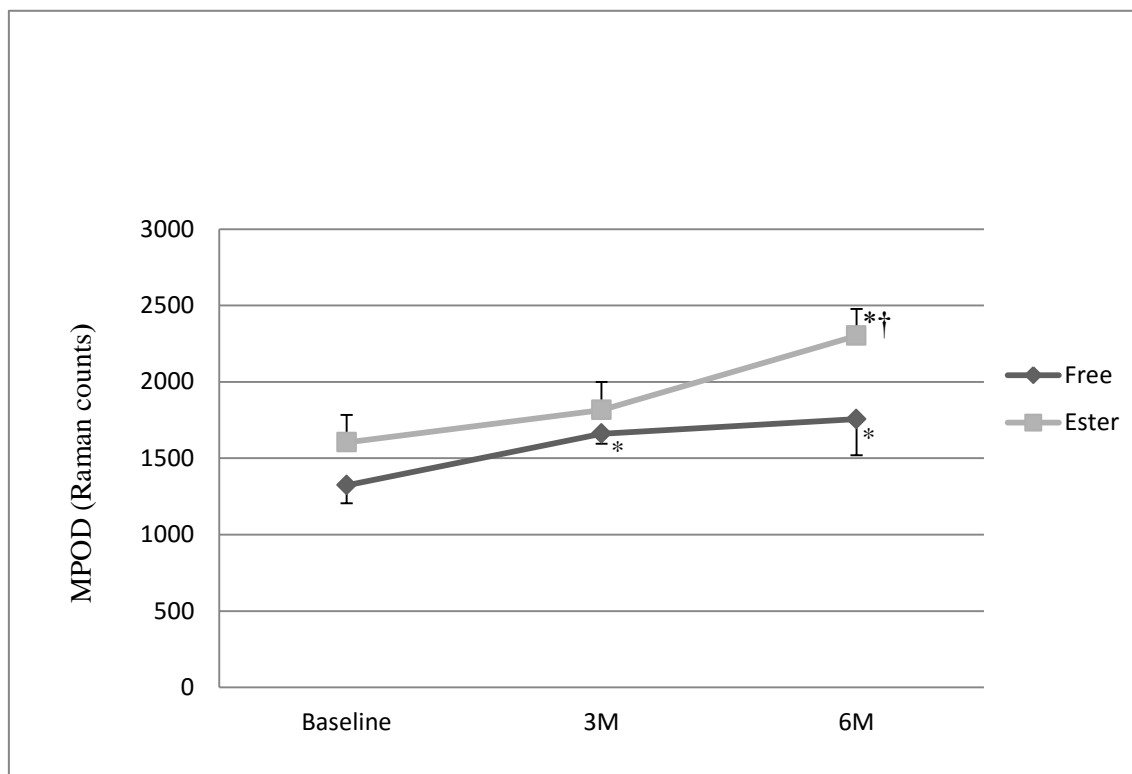


Fig. 3. Changes in the MPOD levels. Three months after the start of supplementation, the MPOD levels increased in both groups compared with baseline. After the end of supplementation, the MPOD levels remained elevated in both groups. The data are expressed as the mean \pm standard error of mean (Raman counts). 3M = 3 months; 6M = 6 months. * $p < 0.05$ vs. baseline by the Wilcoxon signed-rank test. † $p < 0.05$ vs. 3 months by the Wilcoxon signed-rank test.