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Corresponding Author	Family Name	Hirade
	Particle	
	Given Name	Tomohiro
	Suffix	
	Division	Department of Pediatrics
	Organization	Shimane University School of Medicine
	Address	89-1 Enya-cho, Izumo, Shimane, 693-8501, Japan
	Email	hirade25@med.shimane-u.ac.jp

Corresponding Author	Family Name	Fukuda
	Particle	
	Given Name	Seiji
	Suffix	
	Division	Department of Pediatrics
	Organization	Shimane University School of Medicine
	Address	89-1 Enya-cho, Izumo, Shimane, 693-8501, Japan
	Email	sfukuda@med.shimane-u.ac.jp

Author	Family Name	Abe
	Particle	
	Given Name	Mariko
	Suffix	
	Division	Department of Pediatrics
	Organization	Shimane University School of Medicine
	Address	89-1 Enya-cho, Izumo, Shimane, 693-8501, Japan
	Email	

Author	Family Name	Onishi
	Particle	
	Given Name	Chie
	Suffix	
	Division	Department of Oncology/Hematology
	Organization	Shimane University School of Medicine
	Address	Izumo, Japan
	Email	

Author	Family Name	Taketani
	Particle	
	Given Name	Takeshi

Suffix
 Division Department of Pediatrics
 Organization Shimane University School of Medicine
 Address 89-1 Enya-cho, Izumo, Shimane, 693-8501, Japan
 Division Division of Blood Transfusion
 Organization Shimane University School of Medicine
 Address Izumo, Japan
 Email

Author Family Name **Yamaguchi**
 Particle
 Given Name **Seiji**
 Suffix
 Division Department of Pediatrics
 Organization Shimane University School of Medicine
 Address 89-1 Enya-cho, Izumo, Shimane, 693-8501, Japan
 Email

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Abstract Internal tandem duplication in the *FLT3* gene (*FLT3/ITD*), which is found in patients with acute myeloid leukemia (AML), causes resistance to FLT3 inhibitors. We found that RUNX1, a transcription factor that regulates normal hematopoiesis, is up-regulated in patients with *FLT3/ITD*⁺ AML. While RUNX1 can function as a tumor suppressor, recent data have shown that RUNX1 is required for AML cell survival. In the present study, we investigated the functional role of RUNX1 in *FLT3/ITD* signaling. *FLT3/ITD*-induced growth factor-independent proliferation and impaired G-CSF-mediated myeloid differentiation in 32D hematopoietic cells, coincident with up-regulation of RUNX1 expression. Silencing of RUNX1 expression significantly decreased proliferation and secondary colony formation, and partially abrogated the impaired myeloid differentiation of *FLT3/ITD*⁺ 32D cells. Although the number of *FLT3/ITD*⁺ 32D cells declined after incubation with the *FLT3/ITD* inhibitor AC220, the cells became refractory to AC220, concomitant with up-regulation of RUNX1. Silencing of RUNX1 abrogated the emergence and proliferation of AC220-resistant *FLT3/ITD*⁺ 32D cells in the presence of AC220. Our data indicate that *FLT3/ITD* deregulates cell proliferation and differentiation and confers resistance to AC220 by up-regulating RUNX1 expression. These findings suggest an oncogenic role for RUNX1 in *FLT3/ITD*⁺ cells and that inhibition of RUNX1 function represents a potential therapeutic strategy in patients with refractory *FLT3/ITD*⁺ AML.

Keywords (separated by '-') FLT3/ITD - AML - RUNX1 - AC220

Footnote Information

2 **Internal tandem duplication of FLT3 deregulates proliferation**
3 **and differentiation and confers resistance to the FLT3 inhibitor**
4 **AC220 by Up-regulating RUNX1 expression in hematopoietic cells**

5 Tomohiro Hirade¹ · Mariko Abe¹ · Chie Onishi² · Takeshi Taketani^{1,3} ·
6 Seiji Yamaguchi¹ · Seiji Fukuda¹

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9 **Abstract** Internal tandem duplication in the *FLT3* gene
10 (FLT3/ITD), which is found in patients with acute myeloid
11 leukemia (AML), causes resistance to FLT3 inhibitors. We
12 found that RUNX1, a transcription factor that regulates nor-
13 mal hematopoiesis, is up-regulated in patients with FLT3/
14 ITD⁺ AML. While RUNX1 can function as a tumor suppres-
15 sor, recent data have shown that RUNX1 is required for AML
16 cell survival. In the present study, we investigated the func-
17 tional role of RUNX1 in FLT3/ITD signaling. FLT3/ITD-
18 induced growth factor-independent proliferation and impaired
19 G-CSF-mediated myeloid differentiation in 32D hematopoi-
20 etic cells, coincident with up-regulation of RUNX1 expres-
21 sion. Silencing of RUNX1 expression significantly decreased
22 proliferation and secondary colony formation, and partially
23 abrogated the impaired myeloid differentiation of FLT3/ITD⁺
24 32D cells. Although the number of FLT3/ITD⁺ 32D cells
25 declined after incubation with the FLT3/ITD inhibitor AC220,
26 the cells became refractory to AC220, concomitant with up-
27 regulation of RUNX1. Silencing of RUNX1 abrogated the
28 emergence and proliferation of AC220-resistant FLT3/ITD⁺
29 32D cells in the presence of AC220. Our data indicate that
30 FLT3/ITD deregulates cell proliferation and differentiation

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expression. These findings suggest an oncogenic role for
RUNX1 in FLT3/ITD⁺ cells and that inhibition of RUNX1
function represents a potential therapeutic strategy in patients
with refractory FLT3/ITD⁺ AML.

Keywords FLT3/ITD · AML · RUNX1 · AC220

Introduction

FMS-like tyrosine kinase 3 (FLT3), a class III receptor
tyrosine kinase (RTK), has important roles in the survival,
proliferation and differentiation of hematopoietic stem cells
[1, 2]. The most common form of FLT3 mutation is Inter-
nal Tandem Duplication in the juxtamembrane domain of
the FLT3 gene (FLT3/ITD) that induces constitutive activa-
tion of the FLT3-kinase by destroying the auto-inhibitory
function of the kinase domain. FLT3/ITDs are detected
in 10–15 % of children and 30 % of adult patients with
acute myeloid leukemia (AML) and are associated with
extremely poor prognoses [1, 2]. Although a number of
antagonists against FLT3/ITD have been developed, few
inhibitors are effective for the treatment of FLT3/ITD⁺
AML because of the emergence of drug-resistant cells [3,
4]. For instance, AC220 (quizartinib), a second-generation
class III tyrosine kinase inhibitor (TKI) used in phase II
clinical trials [5, 6], is a very potent and specific inhibitor
of FLT3/ITD compared with other TKIs; however, FLT3/
ITD⁺ cells can become refractory to AC220 [7]. These
findings underscore the need to develop additional thera-
peutic strategies to overcome the resistance of FLT3/ITD⁺
AML to TKIs. The mechanisms responsible for drug resist-
ance include the acquisition of mutations in the FLT3 gene,
activation of other pro-survival pathways, growth factors

A1 ✉ Tomohiro Hirade
A2 hirade25@med.shimane-u.ac.jp

A3 ✉ Seiji Fukuda
A4 sfukuda@med.shimane-u.ac.jp

A5 ¹ Department of Pediatrics, Shimane University School
A6 of Medicine, 89-1 Enya-cho, Izumo, Shimane 693-8501,
A7 Japan

A8 ² Department of Oncology/Hematology, Shimane University
A9 School of Medicine, Izumo, Japan

A10 ³ Division of Blood Transfusion, Shimane University School
A11 of Medicine, Izumo, Japan



62 such as the FLT3 ligand, and microenvironment-mediated
63 resistance [8–12]. However, the additional mechanisms
64 responsible for the drug resistance of FLT3/ITD⁺ AML
65 cells remain to be investigated.

66 While FLT3/ITDs are classified as a “class I mutation”
67 that drives the proliferation of leukemia cells, they also
68 impair the myeloid differentiation of hematopoietic cells
69 by modulating transcriptional factor C/EBP α and Pu.1 [13,
70 14]. However, in addition to FLT3/ITDs, a “class II muta-
71 tion” that impairs hematopoietic differentiation is generally
72 required for the development of AML. A previous report
73 demonstrated that the combination of FLT3/ITD and domi-
74 nant negative RUNX1/ETO induces acute leukemia in mice
75 [15]. RUNX1 is a core-binding transcription factor that plays
76 an important role in hematopoietic homeostasis, particularly
77 in differentiation and proliferation [16, 17]. RUNX1-defi-
78 cient cells showed increased susceptibility to AML devel-
79 opment in collaboration with MLL-ENL, N-Ras and EVI5
80 [18–20], suggesting that RUNX1 can function as a tumor
81 suppressor in myeloid malignancies. In contrast, RUNX1
82 also promotes the survival of AML cells and lymphoma
83 development and can function as an oncogene in cancer cells
84 [21–24]. These data suggest the RUNX1 has a dual function
85 that promotes and attenuates the proliferation of hematology-
86 cal malignant cells. In this study, we investigated the func-
87 tional role of RUNX1 in aberrant cell proliferation, differ-
88 entiation and drug resistance to an FLT3 inhibitor in FLT3/
89 ITD⁺ cells. Our data demonstrate that RUNX1 functions as a
90 survival factor in the mouse FLT3/ITD⁺ cell line.

91 Materials and methods

92 Reagents

93 Recombinant murine granulocyte-colony stimulating fac-
94 tor (G-CSF) and IL-3 were purchased from R&D Systems
95 (Minneapolis, MN, USA). Phycoerythrin (PE)-conjugated
96 anti-mouse CD11b (Mac-1) and APC-conjugated anti-
97 mouse Ly-6G and Ly-6C (Gr-1) antibodies were pur-
98 chased from BD Biosciences (San Diego, CA, USA).
99 PE-conjugated anti-Runx1 antibody and its isotype rat
100 IgG2a were from eBioscience (San Diego, CA, USA). The
101 FLT3/ITD inhibitor AC220 (quizartinib) and doxycycline
102 were obtained from Selleckchem.com (Houston, TX) and
103 Sigma-Aldrich (St. Louis, MO, USA), respectively.

104 Cell culture, retroviral transduction of FLT3/ITD 105 and shRNA knockdown

106 The FLT3/ITD (N51)⁺ 32D cells and FLT3/ITD⁻ (wild-
107 type FLT3⁺) 32D cells were generated as described [25].
108 For shRNA knockdown of RUNX1, FLT3/ITD⁺ 32D

109 cells were electroporated with shRNA specific to RUNX1
110 cloned into the pSingle-tTS-shRNA vector (Clontech Lab-
111 oratories, Mountain View, CA, USA) using a Nucleofector
112 Kit V (Lonza, Basel, Switzerland). The shRNA sequence
113 for RUNX1 was designed by Takara Biotechnology (Otsu,
114 Japan) as follows: RUNX1-shRNA: 5-GTATTTACATA-
115 GACCCAAA-3; control shRNA: 5-TCTTAATCGCG-
116 TATAAGGC-3; tetracycline-inducible RUNX1 shRNA-A:
117 5-GGCAGAACTAGATGATCA-3; RUNX1 shRNA-B:
118 5'-GTATTTACATAGACCCAAA-3'. These shRNAs did
119 not show any homologous sequence over 75 % identity
120 with the mouse mRNA database except for RUNX1. Stable
121 transformants were selected with 1 mg/ml of geneticin
122 and frozen for storage. To activate the RNA interference
123 (RNAi) of RUNX1, 3 μ g/ml of doxycycline (Dox) was
124 added to the culture medium. The cells were cultured in
125 RPMI-1640 plus 10 % Tet System Approved FBS (Clon-
126 tech Laboratories, Mountain View, CA, USA). The reduc-
127 tion of RUNX1 mRNA and protein was validated by
128 quantitative RT-PCR and intracellular flow cytometry. To
129 generate the AC220-resistant cells, FLT3/ITD⁺ 32D cells
130 were exposed to stepwise increasing concentrations of
131 AC220 starting with an initial dose of 0.5 nM and ending
132 with a final dose of 10 nM. To determine the cell prolifera-
133 tion, the viable cells were counted with the FACSCalibur
134 cytometer based on the light scatter. For the CFU assay, a
135 total of 1×10^3 cells of FLT3/ITD⁻ 32D cells and FLT3/
136 ITD⁺ 32D cells containing control or RUNX1 shRNA were
137 plated on methylcellulose medium containing 30 % FBS
138 in the absence of hematopoietic growth factors. The CFUs
139 were enumerated on day 7 using microscopy.

140 cDNA microarray and quantitative RT-PCR

141 Ba/F3 cells containing wild-type FLT3, N51-FLT3/ITD
142 and N78-FLT3/ITD were subjected to cDNA microarray as
143 described [26]. The 40 modulated genes shared by FLT3/
144 ITD⁺ Ba/F3 cells, human FLT3/ITD⁺ AML cells and human
145 AML stem cells were classified based on their biological
146 process as defined by Gene Ontology terms using the DAVID
147 program [27], and the significantly enriched functional cat-
148 egories were connected and visualized using Cytoscape
149 [28]. Quantitative RT-PCR was performed as described
150 [26]. The primer sequences used for RT-PCR were as
151 follows: RUNX1-F, 5'-CTACTCTGCCGTCCATCTCC-3';
152 RUNX1-R, 5'-CGAGGCGCCGTAGTATAGAT-3'; HPRT-F,
153 5'-TGGACAGGACTGAAAGACTTGCTCG-3', and HPRT-
154 R, 5'-GGCCACAATGTGATGGCCTCCC-3'.

155 Statistical analysis

156 The data are expressed as the mean \pm standard error of
157 the mean (SEM), and statistical significance was evaluated



158 using the two-tailed Student *t* test in Microsoft Excel
159 (Microsoft Corp., Seattle, WA, USA).

160 Results

161 FLT3/ITD up-regulates RUNX1 in human AML cells 162 and mouse hematopoietic cells

163 We first identified genes that are potentially deregulated
164 and associated with aberrant proliferation and/or differentia-
165 tion by FLT3/ITD. A comparison of gene expression in
166 Ba/F3 cells expressing different FLT3/ITDs (N51 and N78)
167 [29] and those expressing wild-type FLT3 using microarray
168 analysis identified 1264 (4.1 %) and 569 (1.9 %) mRNAs
169 that are up-regulated and down-regulated by FLT3/ITD,
170 respectively. Similar analyses of the expression of genes
171 that are differentially regulated between FLT3/ITD⁺ AML
172 (*N* = 78) and FLT3/ITD⁻ AML (*N* = 190) using a public
173 gene expression profile database ([www.ncbi.nlm.nih.gov/](http://www.ncbi.nlm.nih.gov/geo)
174 geo, accession number GSE1159, HG-U133A) [30] dem-
175 onstrated that the expression of 2307 (17.4 %) genes was
176 significantly higher and the expression of 1683 (12.7 %) genes
177 was significantly lower in FLT3/ITD⁺ AML compared to
178 FLT3/ITD⁻ AML samples. A comparison of the shared genes
179 that are differentially regulated by FLT3/ITD in human AML
180 samples and Ba/F3 cells identified 189 and 93 mRNAs that are
181 up-regulated and down-regulated in both human AML and
182 Ba/F3 cells by FLT3/ITD, respectively. Among these differ-
183 entially regulated genes, 40 molecules were also deregulated
184 in human AML stem cells compared with the normal hemato-
185 poietic stem cells (HSC) [31] (Fig. 1a; Table 1). The func-
186 tional classification of the 40 genes using the Gene Ontology
187 database demonstrated that they are significantly enriched
188 for the regulation of mitosis, organ development, the im-
189 mune system, lipid metabolic processes, cell cycle regula-
190 tion and others (Fig. 1b). Among the 40 genes, RUNX1 mRNA
191 expression was significantly higher in FLT3/ITD⁺ AML (GSE1159,
192 HG-U133A) and FLT3/ITD⁺ Ba/F3 cells compared with their
193 FLT3/ITD negative counterparts as well as in AML stem
194 cells compared with HSCs. It is known that three vari-
195 ants of RUNX1 exist in humans (AML1), whereas four vari-
196 ants are present in mice. The Affymetrix Human Genome
197 U133A Array that was used in GSE1159 contains 10 probes
198 that detect either all three variants of RUNX1, 1b plus 1c or
199 1a alone. The array data demonstrated that all three splice
200 variants of RUNX1 (AML1a, AML1b and AML1c) were
201 up-regulated by in FLT3/ITD⁺ AML samples compared to
202 FLT3/ITD⁻ samples (Fig. 1c, top). AML1a expression was
203 elevated by 80 % and was the highest compared to other
204 isoforms. Although the up-regulation of RUNX1 by FLT3/
205 ITD was validated not only in Ba/F3 cells, but also in 32D

207 cells by Q-RT-PCR (Fig. 1c, bottom panel), the sequence
208 redundancy of the four variants of mouse RUNX1 mRNA
209 made it infeasible to specify which isoforms were induced
210 by FLT3/ITD in mouse cells. The overexpression of FLT3/
211 ITD in the IL-3-dependent, non-leukemic, murine mye-
212 loid 32D cell line allowed for IL-3-independent prolifera-
213 tion that coincided with a significant increase in RUNX1
214 mRNA levels (Fig. 1d).

215 Silencing of RUNX1 expression partially abrogated 216 the growth factor-independent proliferation 217 and differentiation block toward the myeloid lineage 218 induced by FLT3/ITD

219 Although the loss of RUNX1 functions as class II muta-
220 tions that deregulate the differentiation of leukemia cells
221 [16, 17], RUNX1 also promotes the survival of AML cells
222 and can function as an oncogene in cancer cells [21–24].
223 Because RUNX1 expression is up-regulated by FLT3/ITD
224 in AML cells, 32D cells and Ba/F3 cells, we investigated
225 the FLT3/ITD-induced function of RUNX1 in aberrant pro-
226 liferation, differentiation and drug resistance in 32D cells.
227 32D myeloid cells can differentiate into neutrophils in
228 response to G-CSF [32] in contrast to pro-B Ba/F3 cells,
229 which have little differentiation potential upon G-CSF
230 stimulation. Furthermore, FLT3/ITDs are normally identi-
231 fied in patients with AML, but not ALL. We therefore per-
232 formed the subsequent experiments using 32D cells.

233 Transduction of FLT3/ITD⁺ 32D cells with RUNX1
234 shRNA inhibited RUNX1 mRNA and protein expres-
235 sion compared with those transduced with control shRNA
236 (Fig. 2a, upper and middle panel), which coincided with
237 a significant reduction in the number of proliferating
238 cells in the absence of IL-3 (Fig. 2a, lower panel). Simi-
239 larly, RUNX1 shRNA significantly reduced the number of
240 colony-forming units (CFUs) of FLT3/ITD⁺ 32D cells in
241 the absence of growth factors (Fig. 2b, left panel). More-
242 over, RUNX1 shRNA inhibited the formation of secondary
243 CFUs derived from the primary CFUs over-expressing
244 FLT3/ITD (Fig. 2b, right panel).

245 Because RUNX1 also regulates the differentiation of
246 HSCs [16, 17], we next compared the differentiation of
247 FLT3/ITD⁺ 32D cells transduced with shRNA against
248 RUNX1 or control shRNA towards the myeloid line-
249 age in the presence of 10 ng/ml of G-CSF. Because IL-3
250 interferes with differentiation towards the myeloid line-
251 age, all of these experiments were performed in the absence
252 of IL-3 [32]. After 3 days of incubation, the numbers of
253 Gr-1⁺ and/or Mac-1⁺ cells, which represent differentiated
254 myeloid cells, increased by 80 % following G-CSF stim-
255 ulation in FLT3/ITD⁻ (wild-type FLT3⁺) 32D cells com-
256 pared to those before stimulation (Fig. 2c, upper panel).
257 However, G-CSF displayed little effect on the proportion

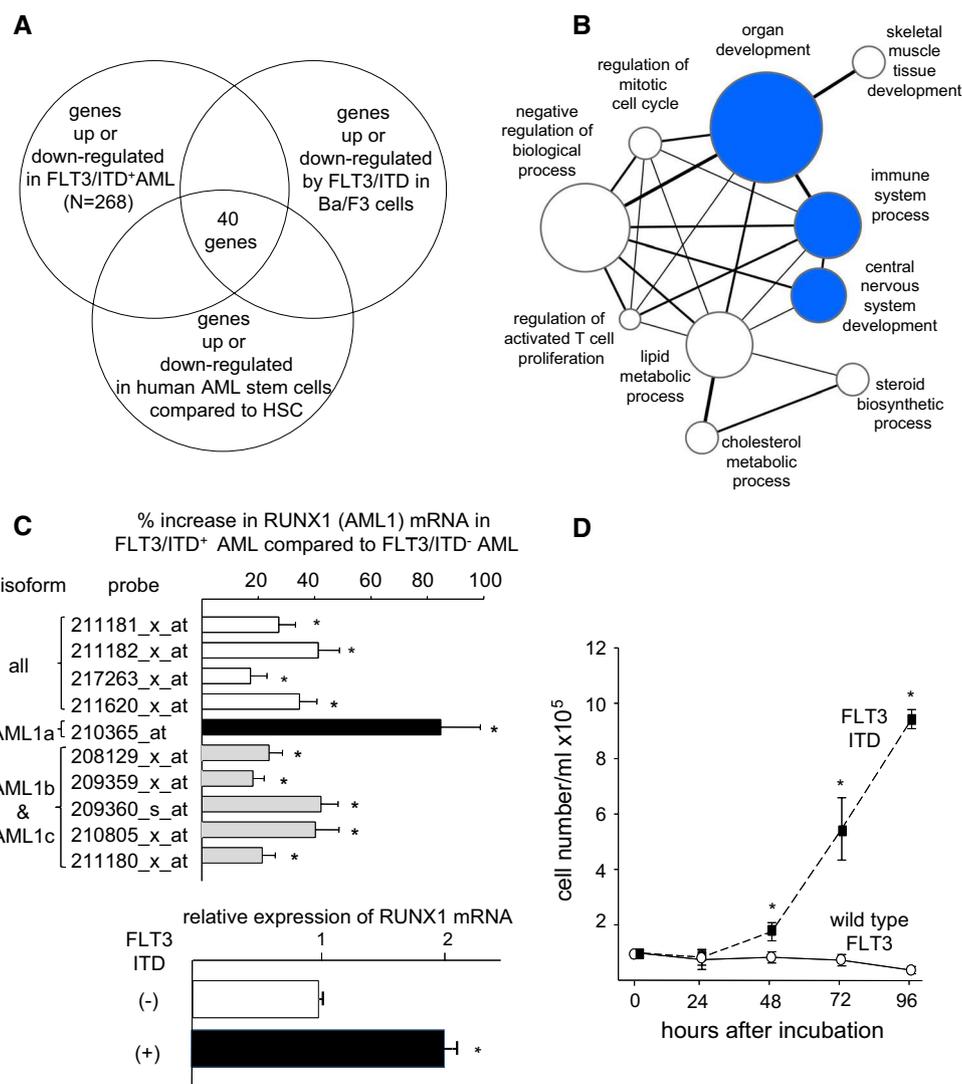


Fig. 1 FLT3/ITD up-regulates RUNX1 in human AML cells and mouse hematopoietic cells. **a** Aberrantly expressed genes by FLT3/ITD and AML stem cells were compared. The deregulated genes by N51-FLT3/ITD and N78-FLT3/ITD in Ba/F3 cells were compared to those differentially expressed between FLT3/ITD⁺ AML ($N = 78$) and FLT3/ITD⁻ AML patients ($N = 190$) that have been deposited in GSE1159. These shared genes were compared with the deregulated molecules in human AML stem cells [31], which led to the identification of 40 molecules. **b** The common 40 genes aberrantly regulated by FLT3/ITD and AML stem cells were functionally classified based on the biological process of Gene Ontology terms and visualized. The circles and the lines represent the functional groups and the presence of shared molecules between the functional groups, respectively. The size of the circle and the thickness of the lines demonstrate the

number of genes in each group and those of the shared molecules, respectively. The shaded circles indicate the functional groups in which RUNX1 was identified. **c** The top panel indicates the percentage increase in RUNX1 mRNA in the patients with FLT3/ITD⁺ AML ($N = 78$) compared to FLT3/ITD⁻ AML ($N = 190$) that are deposited in GSE1159 [30]. The level of different isoforms (all isoforms, AML1a, AML1b plus AML1c) that had been quantitated using different probes are shown ($*P < 0.05$). The bottom panel demonstrates the relative expression of RUNX1 mRNA in FLT3/ITD⁻ (wild-type FLT3⁺) 32D cells and FLT3/ITD⁺ 32D cells as determined by Q-RT-PCR ($*P < 0.05$). **D**: Proliferation of FLT3/ITD⁻ (wild-type FLT3⁺) 32D cells and FLT3/ITD⁺ 32D cells cultured in the absence of IL-3 for 96 h ($*P < 0.05$)

258 of Gr-1⁺ and/or Mac-1⁺ FLT3/ITD⁺ 32D cells (Fig. 2c, middle panel), indicating that FLT3/ITD inhibits G-CSF-
259 induced myeloid differentiation. In contrast, transduction
260 of RUNX1 shRNA into FLT3/ITD⁺ 32D cells increased the
261

proportion of Gr-1⁺ and/or Mac-1⁺ cells in the presence
of G-CSF (Fig. 2c, lower panel), indicating that silencing
RUNX1 expression partially abrogated the differentiation
blockage toward myeloid lineage induced by FLT3/ITD.

Table 1 Shared 40 genes that are deregulated by FLT3/ITD and AML stem cells

Symbol	Name of the gene	Up or down
Anp32b	Acidic leucine-rich nuclear phosphoprotein 32 family member B	Down
Cxcr4	Chemokine (C-X-C motif) receptor 4	Down
Flnb	Filamin B, beta (actin binding protein 278)	Down
Gprasp1	G protein-coupled receptor associated sorting protein 1	Down
Mbnl1	Muscleblind-like (Drosophila)	Down
Nap113	Nucleosome assembly protein 1-like 3	Down
Nfib	Nuclear factor I/B	Down
Ppt1	Palmitoyl-protein thioesterase 1	Down
Rasgrp1	RAS guanyl releasing protein 1	Down
Rgs2	Regulator of G-protein signaling 2, 24 kDa	Down
Stat5b	Signal transducer and activator of transcription 5B	Down
Stom	Stomatin	Down
Styk1	Serine/threonine/tyrosine kinase 1	Down
Vamp5	Vesicle-associated membrane protein 5	Down
Abhd2	Abhydrolase domain containing 2	Up
Cat	Catalase	Up
Ctsc	Cathepsin C	Up
Cul7	Cullin 7	Up
Ddx19a	DEAD (Asp-Glu-Ala-As) box polypeptide 19A	Up
Fdft1	Farnesyl-diphosphate farnesyltransferase 1	Up
Gart	Phosphoribosylglycinamide formyltransferase, phosphoribosylglycinamide synthetase, phosphoribosylaminoimidazole synthetase	Up
Glx2	Glutaredoxin 2	Up
Gnl3 1	Guanine nucleotide binding protein-like 3 (nucleolar)-like	Up
Hsd17b7	Hydroxysteroid (17-beta) dehydrogenase 7	Up
Hspa4	Heat shock 70 kDa protein 4	Up
Hus1	HUS1 checkpoint homolog (<i>S. pombe</i>)	Up
Ide	Insulin-degrading enzyme	Up
Il1rap	Interleukin 1 receptor accessory protein	Up
Il2ra	Interleukin 2 receptor, alpha	Up
Kctd13	Potassium channel tetramerization domain containing 13	Up
Lrp8	Low density lipoprotein receptor-related protein 8, apolipoprotein e receptor	Up
Lsm12	Lsm12 homolog (<i>S. cerevisiae</i>)	Up
Lss	Lanosterol-synthase	Up
Pacsin2	Protein kinase C and casein kinase substrate in neurons 2	Up
Ptbp1	Polypyrimidine tract binding protein 1	Up
Rab13	RAB, member of RAS oncogene family like 3	Up
Runx1	Runt-related transcription factor 1	Up
Slc7a6	Solute carrier family 7, member 6	Up
Spon2	Spondin 2, extracellular matrix protein	Up
Wdr74	WD repeat domain 74	Up

266 **Silencing of RUNX1 expression enhances the cytotoxic**
 267 **effect of AC220 and abrogates the proliferation**
 268 **of FLT3/ITD⁺ 32D cells refractory to AC220**

269 Long-term exposure of AC220 or other TKIs can induce
 270 the emergence of drug-resistant FLT3/ITD⁺ cells [3, 4, 7].

271 Because RUNX1 enhances the aberrant proliferation of
 272 FLT3/ITD⁺ cells, we investigated whether RUNX1 is
 273 involved in the resistance to AC220 in FLT3/ITD⁺ cells.
 274 The silencing of RUNX1 expression with shRNA resulted
 275 in a 70 % reduction of FLT3/ITD⁺ 32D cells that prolif-
 276 erated in the absence of growth factors at 96 h (Fig. 3a).



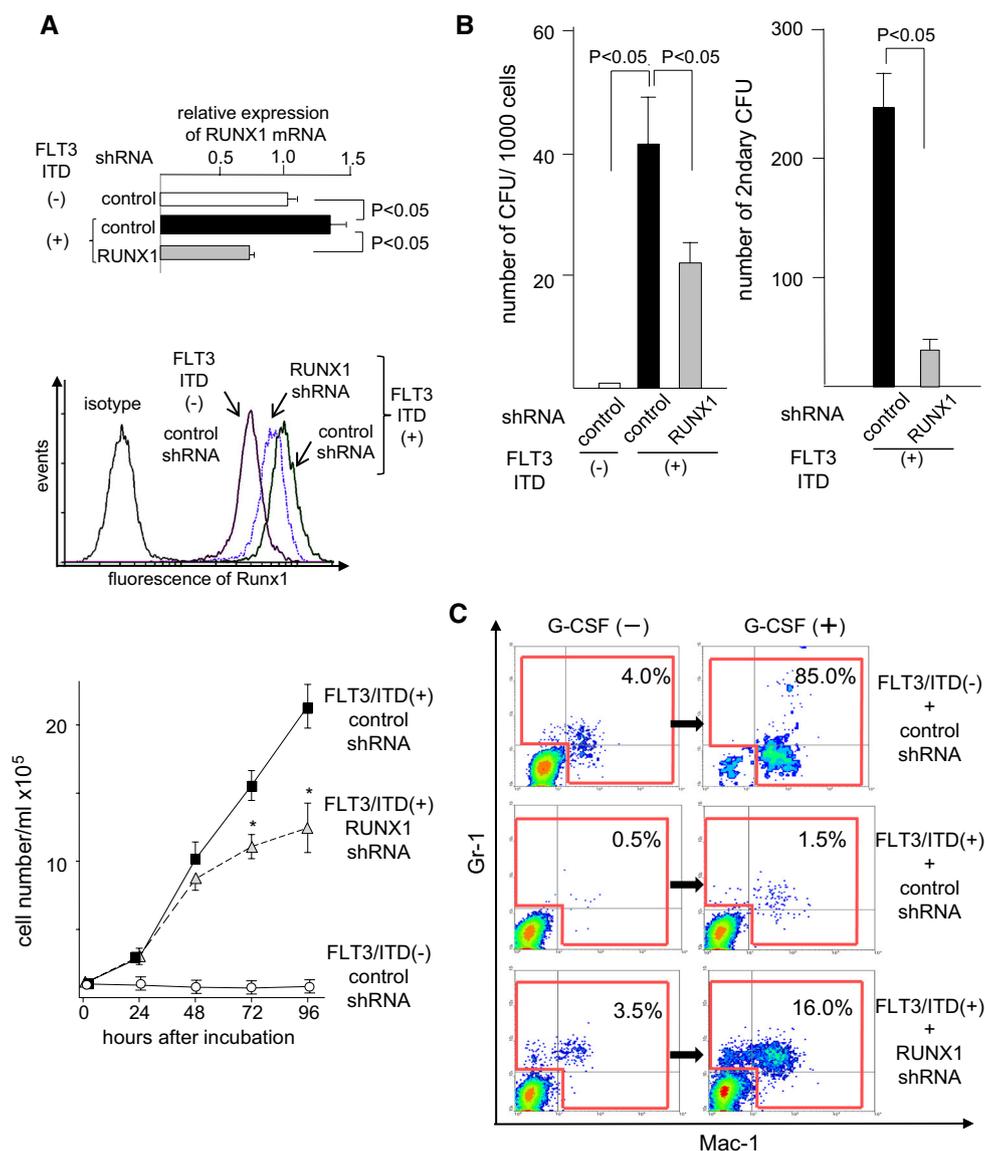


Fig. 2 Silencing of RUNX1 expression partially abrogated growth factor-independent proliferation and impaired differentiation toward the myeloid lineage induced by FLT3/ITD. **a** The proliferation of FLT3/ITD⁻ (wild-type FLT3⁺) 32D cells, FLT3/ITD⁺ 32D cells containing RUNX1 shRNA and control shRNA was examined in the absence of IL-3 (lower panel, **P* < 0.05). The *upper and middle panel* show the relative expression of RUNX1 mRNA and protein as determined by Q-RT-PCR and intracellular flow cytometry, respectively, in FLT3/ITD⁻ 32D cells, FLT3/ITD⁺ 32D cells containing control or RUNX1 shRNA. **b** A total of 1×10^3 cells of FLT3/ITD⁻ 32D cells, FLT3/ITD⁺ 32D cells containing control or RUNX1 shRNA were plated on methylcellulose medium containing 30 % FBS in the absence of hematopoietic growth factors. The CFUs were enumerated on day 7 by microscopy (*left panel*). The primary colo-

nies were scrambled and subjected to a secondary colony-forming assay in the absence of growth factors as shown in the right panel. The colonies were enumerated on day 7. The data shown are representative of three experiments with identical results. **c** Cells stained with Gr-1 and Mac-1 antibodies were subjected to flow cytometry using a FACScalibur flow cytometer (BD Biosciences) and analyzed by CellQuest Pro software (BD Biosciences). The proportion of Gr-1⁺ and/or Mac-1⁺ cells was quantitated using flow cytometry in FLT3/ITD⁻ or FLT3/ITD⁺ 32D cells containing control or RUNX1 shRNA following incubation with 10 ng/ml of G-CSF for 72 h. The *left column* represents the cells prior to incubation with G-CSF, and the *right column* indicates those after incubation with G-CSF. The data shown are representative plots from 2 experiments with identical results

277 Similarly, incubation of the FLT3/ITD⁺ 32D cells with
278 0.5 nM AC220 inhibited their factor-independent prolifera-
279 tion by 95 %, which was further increased to 99 % when
280 combined with shRNA against RUNX1 (Fig. 3a). These

281 data indicate that the silencing of RUNX1 enhances the
282 cytotoxic effects of AC220 in FLT3/ITD⁺ 32D cells. To
283 determine the function of RUNX1 in AC220 resistance
284 induced by FLT3/ITD, FLT3/ITD⁺ 32D cells containing

two different doxycycline-inducible shRNAs against RUNX1 and those harboring the control shRNA were exposed to AC220 along with doxycycline in the absence of IL-3. Incubation of the cells with 0.5 nM AC220 resulted in a gradual decline in the number of viable cells in all of the groups at 72 h, whereas FLT3/ITD⁺ 32D cells containing the control shRNA re-proliferated after 72 h. In contrast, transduction of two different RUNX1 shRNAs decreased the expression of RUNX1 mRNA and protein and inhibited the emergence of AC220-resistant FLT3/ITD⁺ cells (Fig. 3b). The data indicate that FLT3/ITD⁺ 32D cells became resistant to AC220, whereas RUNX1 shRNA abrogated the emergence of AC220-resistant FLT3/ITD⁺ 32D cells. Q-RT-PCR data demonstrated that the expression of RUNX1 in AC220-resistant cells was significantly higher compared to AC220 sensitive cells (Fig. 3c, left, $P < 0.05$). We therefore determined whether antagonizing RUNX1 could abolish the proliferation of refractory FLT3/ITD⁺ cells to AC220. Incubation of the FLT3/ITD⁺ 32D cells containing two different doxycycline-inducible shRNA for RUNX1 or control shRNA with stepwise increasing concentration of AC220 to 2 nM in the absence of doxycycline for 4 weeks allowed the cells proliferate in the presence of AC220 (Fig. 3d, top inset). These AC220-refractory cells were then incubated with 2 nM of AC220 in the presence of doxycycline to induce the shRNA against RUNX1 to decrease expression of RUNX1 mRNA and protein (Fig. 3b, inset). The number of FLT3/ITD⁺ 32D cells containing control shRNA gradually increased as expected; however, the number of those with two different shRNAs against RUNX1 was significantly reduced (Fig. 3d), indicating that silencing RUNX1 abrogates the proliferation of AC220-refractory FLT3/ITD⁺ cells. Moreover, escalating the dose of AC220 up to 10 nM allowed the refractory FLT3/ITD⁺ 32D cells to proliferate; however, cells in which RUNX1 expression is silenced failed to grow in the presence of AC220 greater than 2 nM.

322 Induction of RUNX1 in the AC220 resistant FLT3/ITD⁺ 323 32D cells is mediated by a non-mutational mechanism

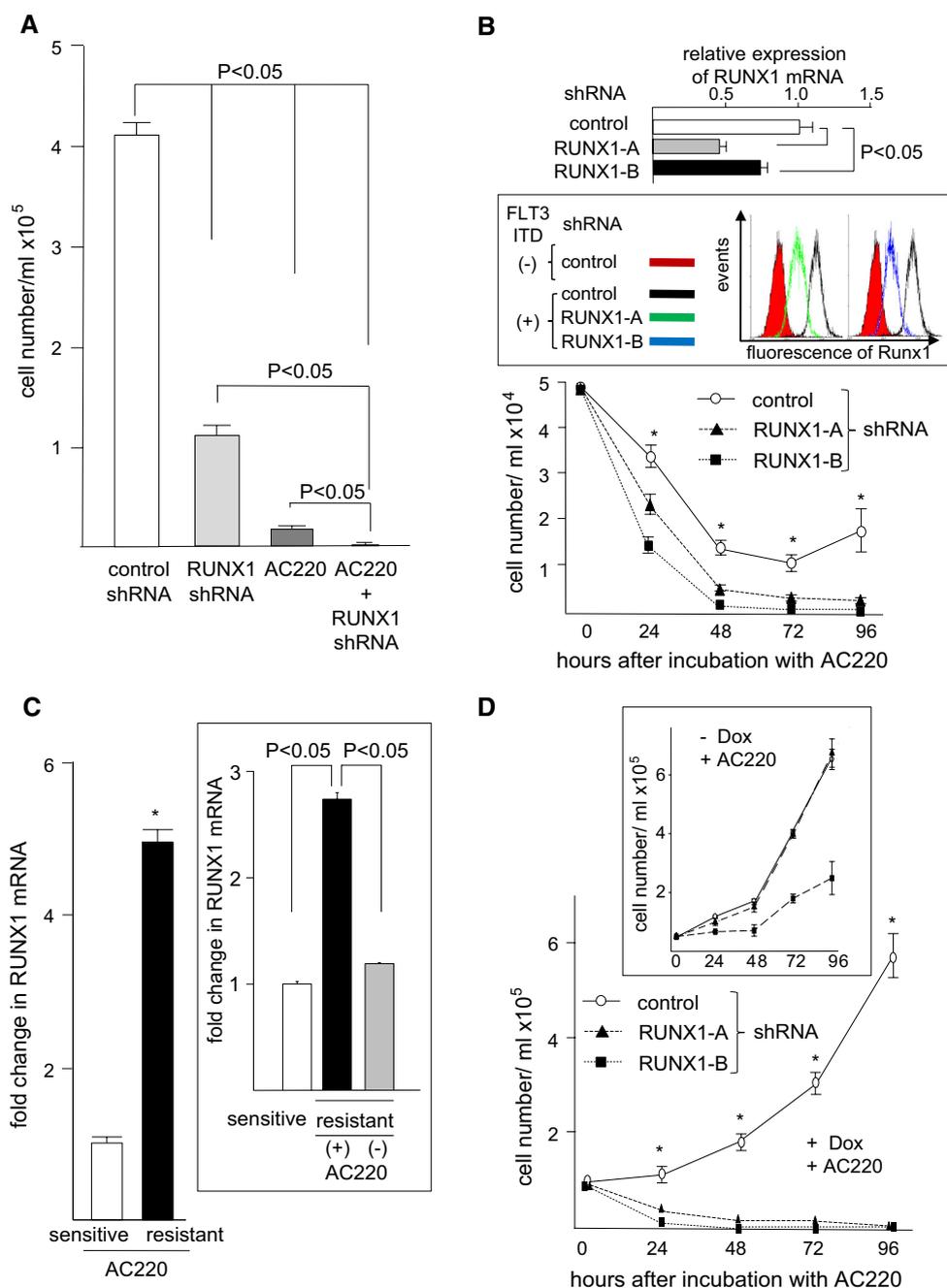
324 A previous report demonstrated that the resistance to
325 AC220 by FLT3/ITD⁺ AML cells was induced by the addi-
326 tional mutations in the kinase domain of the FLT3 gene [7-
327 12]. Because AC220 resistance was mediated by RUNX1
328 expression, we investigated whether additional DNA muta-
329 tions were responsible for the induction of RUNX1 expres-
330 sion in the resistant cells to AC220. The sequence of the
331 kinase domain of ectopic FLT3 in the AC220 refractory
332 FLT3/ITD⁺ 32D cells was identical to the parental FLT3/
333 ITD⁺ 32D cells. Moreover, the RUNX1 gene sequence
334 including all exons as well as exon/intron boundaries in
335 AC220 refractory FLT3/ITD⁺ 32D cells was identical to

the parental FLT3/ITD⁺ 32D cells and those reported in
C57BL/6 J mice (NCBI: NC_000082). To address whether
additional DNA mutations exist in the refractory cells, we
next determined if induction of RUNX1 expression by the
AC220 resistant cells was reversible upon withdrawal of
AC220. If mutations were responsible for the up-regulation
of RUNX1, its up-regulation should be irreversible even
after withdrawal of AC220. While RUNX1 mRNA expres-
sion was significantly elevated in the AC220 refractory
FLT3/ITD⁺ 32D cells cultured in the presence of AC220
compared to parental FLT3/ITD⁺ cells, AC220 withdrawal
significantly decreased its expression in the AC220 refrac-
tory FLT3/ITD⁺ 32D cells, which was comparable to those
in parental FLT3/ITD⁺ cells (Fig. 3c, right).

Discussion

The present study demonstrates that silencing RUNX1 in FLT3/ITD⁺ cells partially normalized impaired differentiation, decreased aberrant proliferation and secondary colony formation and enhanced the cytotoxic effects of AC220 in FLT3/ITD⁺ 32D cells. AC220 resistance was associated with a significant, but reversible elevation of RUNX1 expression in FLT3/ITD⁺ cells. Moreover, the silencing of RUNX1 abrogated the emergence and proliferation of AC220-resistant FLT3/ITD⁺ 32D cells, indicating that FLT3/ITD confers resistance to AC220 by up-regulating RUNX1 expression. These findings suggest that RUNX1 can function as an oncogene and that antagonizing RUNX1 may represent a potential therapeutic strategy in the patients with AC220-refractory FLT3/ITD⁺ AML.

Our study identified the shared molecules that are deregulated by FLT3/ITD in patients with AML and in murine cell lines. A comparison of these molecules with those deregulated by human AML stem cells identified 40 molecules, which were associated with the immune system process, organ development, cell cycle, lipid metabolic process and other functional categories, suggesting that these functions may be crucial for FLT3/ITD⁺ AML cells. RUNX1, a transcription factor that regulates hematopoietic homeostasis, particularly differentiation and proliferation, was up-regulated by FLT3/ITD in AML, Ba/F3, and 32D cells. Consistent with our data, up-regulation of RUNX1 by FLT3/ITD was also reported [33]. In human FLT3/ITD⁺ AML analysis, all three variants of RUNX1 (AML1a, 1b and 1c) were up-regulated. However, AML1a expression, an antagonistic isoform for AML1b and 1c that negatively regulates proliferation and differentiation of normal hematopoietic cells [34], was higher compared to the other two isoforms. The dominant increase of AML1a in FLT3/ITD⁺ AML may be associated with enhanced proliferation and/or blocked differentiation in AML cells.



386 The reduction in the number of enhanced factor-inde-
 387 pendently proliferating FLT3/ITD⁺ cells, in which RUNX1
 388 expression is silenced, demonstrates that RUNX1 pro-
 389 motes the factor-independent proliferation of hematopoietic cells
 390 that are induced by FLT3/ITD, which supports a pro-sur-
 391 vival role of RUNX1 in FLT3/ITD⁺ cells. This is consistent
 392 with a recent report demonstrating that shRNA-mediated
 393 inhibition of RUNX1 reduced the colony-forming ability of
 394 human FLT3/ITD⁺ MV4-11 cells, but not in THP-1 cells
 395 containing wild-type FLT3 [23], although this is in contrast
 396 with the accelerated death caused by loss of the RUNX1

gene in FLT3/ITD knock-in mice (FLT3/ITD/RUNX1^{fx/fx}/
 Cre mice) [35]. While inactivating mutations of RUNX1,
 such as RUNX1-ETO, TEL-RUNX1 and RUNX1 point
 mutations are associated with leukemia [22, 36–38], recent
 reports demonstrated the dual role of RUNX1 in “promot-
 ing” and “attenuating” proliferation of leukemia or cancer
 cells. For instance, Down syndrome-related acute mega-
 karyoblastic leukemia may be caused by an extra copy of
 RUNX1 because RUNX1 is located on chromosome 21
 [39]. Amplification of RUNX1 was also reported in pedi-
 atric ALL and associated with a higher risk of relapse and



◀ **Fig. 3** Silencing of RUNX1 expression enhances the cytotoxic effect of AC220 and abrogates the proliferation of FLT3/ITD⁺ 32D cells refractory to AC220. **a** The cell number of FLT3/ITD⁺ 32D cells containing RUNX1 shRNA and/or those incubated with 0.5 nM AC220 were compared with FLT3/ITD⁺ 32D cells containing control shRNA incubated with DMSO control. The cells were incubated for 96 h in the absence of growth factors. Data are representative of three experiments with identical results. **b** The proliferation of FLT3/ITD⁺ 32D cells containing control shRNA and FLT3/ITD⁺ 32D cells transduced with two different tetracycline-inducible shRNAs for RUNX1 (**a**, **b**) was determined after incubation with 0.5 nM of AC220 and 3 μg/ml of doxycycline in the absence of IL-3 for 96 h (**P* < 0.05). The *inset* shows the expression of RUNX1 mRNA and the protein that was analyzed by intracellular flow cytometry in the cells incubated with 3 μg/ml of doxycycline. The green and blue line represents the expression of RUNX1 protein in the cells transfected with Runx1-A and Runx1-B shRNA, respectively. **c** The expression of RUNX1 mRNA in AC220-resistant FLT3/ITD⁺ 32D cells was compared with AC220-sensitive FLT3/ITD⁺ cells using Q-RT-PCR (**P* < 0.05). The *right inset* shows the expression of RUNX1 mRNA in the FLT3/ITD⁺ cells that became refractory to 2 nM AC220, but incubated without AC220 for 96 h, compared to those maintained in 2 nM AC220. The RUNX1 mRNA expression in the AC220 sensitive cells is shown as the control. **d** Following incubation with escalating doses of AC220 in the absence of doxycycline and IL-3, FLT3/ITD⁺ 32D cells that are refractory to 2 nM of AC220 were established, each of which contains control shRNA, RUNX1 shRNA-A or RUNX1 shRNA-B under the control of a tetracycline-inducible element. Their proliferation was quantitated in the presence of 3 μg/ml of doxycycline and 2 nM AC220 without IL-3 (**P* < 0.05). The *inset* shows proliferation of the same cells incubated in the presence of AC220 without doxycycline

408 death [40–43]. Another study demonstrated that RUNX1
409 promotes lymphoma development and other types of can-
410 cers [24, 44]. Overexpression of RUNX1 correlates with
411 poor prognosis of the patients with breast cancers [45].
412 Suppressing RUNX1 expression using shRNA inhibited the
413 proliferation of MLL-AF4 leukemia cells [23] and AML
414 cells [21]. These reports clearly demonstrate that in addi-
415 tion to acting as a tumor suppressor, RUNX1 has onco-
416 genic functions and promotes the survival of hematopoietic
417 malignancies.

418 Similar to human RUNX1, mouse RUNX1 mRNA con-
419 tains 4 isoforms, although their functional role remains
420 unknown. Some isoforms may antagonize others, as
421 reported in human RUNX1 [34]. In this regard, complete
422 deletion of RUNX1 in FLT3/ITD/RUNX1^{flx/flx}/Cre mice
423 can entirely eliminate the function of RUNX1, includ-
424 ing inhibitory isoforms as well as antagonistic isoforms,
425 whereas shRNA-mediated inhibition of RUNX1, which did
426 not completely eliminate the expression of RUNX1, may
427 alter the relative ratio of each isoform that may be mutu-
428 ally antagonistic. If this was the case, depending on the remain-
429 ing level of each isoform, the overall function of RUNX1
430 may not be identical with the complete RUNX1 deficient
431 cells. Although our shRNAs were designed to inhibit all 4
432 isoforms of mouse RUNX1 mRNA, their sequence redun-
433 dancy did not allow quantitation of each variant before and

after shRNA induction in the FLT3/ITD⁺ cells. Alterna- 434
tively, the differential phenotype may be explained by the 435
dosage effect of RUNX1, as suggested in a previous report 436
[21]. Complete loss of RUNX1 may transcriptionally abro- 437
gate the tumor suppressor gene expression or enhance the 438
expression of genes that promote tumor progression. For 439
instance, it was reported that knocking out RUNX1 deletion 440
increases the expression of RUNX2 that could compensate 441
for the loss of RUNX1, which may increase leukemia cell 442
proliferation [21], whereas shRNA-mediated inhibition 443
of RUNX1 may not be sufficient for the induction or sup- 444
pression of other genes that can enhance cell proliferation. 445
Finally, both shRNAs used in our experiments that tar- 446
get two different regions of RUNX1 mRNA successfully 447
decreased RUNX1 protein and mRNA and displayed an 448
identical inhibitory effect on proliferation of FLT3/ITD⁺ 449
cells. Although an off-target effect was not completely 450
ruled out, searching the mouse mRNA database deposited 451
in GenBank did not show any homologous sequence over 452
75 % identity with our RUNX1 shRNAs. Taken together, 453
our data provide additional evidence that RUNX1 can func- 454
tion as an oncogene to support the proliferation and sur- 455
vival of FLT3/ITD⁺ cells, although the detailed mechanism 456
that confers its dual function remains a future subject of 457
investigation. 458

In addition to promoting cell proliferation, FLT3/ 459
ITD also inhibits the myeloid differentiation induced by 460
G-CSF by down-regulating the expression of C/EBPα and 461
PU.1, [13, 14]. Our data demonstrate that the knockdown 462
of RUNX1 partially normalized the differentiation block 463
toward myeloid lineage, indicating that FLT3/ITD inhibits 464
myeloid differentiation through RUNX1 up-regulation. The 465
inhibition of terminal differentiation mediated by RUNX1 466
in FLT3/ITD⁺ cells is in contrast to the cell differentiation- 467
inducing role of RUNX1 in normal hematopoiesis, suggest- 468
ing that the function of RUNX1 in FLT3/ITD⁺ cells may be 469
distinct from normal hematopoietic cells. Alternatively, the 470
shRNA in the present study might have altered the relative 471
expression level of isoforms that may be mutually antago- 472
nistic, which may be associated with the opposing phe- 473
notype in FLT3/ITD⁺ cells versus normal hematopoietic 474
cells. A significant decrease in secondary colonies derived 475
from primary FLT3/ITD⁺ CFU by the silencing of RUNX1 476
suggests that RUNX1 facilitates the self-renewal of FLT3/ 477
ITD⁺ cells, another important biological behavior of AML 478
associated with disease progression. The enhancement of 479
self-renewal by RUNX1 may represent one of the mecha- 480
nisms responsible for its involvement in blocking the termi- 481
nal differentiation of FLT3/ITD⁺ cells. 482

Although AC220 is one of the most effective TKIs for 483
FLT3/ITD⁺ AML that is used in phase II clinical trials [5, 484
6], FLT3/ITD⁺ 32D cells developed resistance to AC220 485
in our experiments, which is consistent with a previous 486

487 report [7–12]. Our data indicate that antagonizing RUNX1
 488 not only increases the sensitivity of FLT3/ITD⁺ cells to
 489 AC220, but also inhibits the emergence of FLT3/ITD⁺
 490 32D cells that are refractory to AC220, indicating that the
 491 FLT3/ITD-mediated up-regulation of RUNX1 confers
 492 resistance to AC220 in FLT3/ITD⁺ cells. Interestingly, the
 493 expression of RUNX1 in AC220 was significantly elevated
 494 in the AC220-resistant FLT3/ITD⁺ 32D cells compared to
 495 the sensitive cells. A previous report demonstrated that the
 496 resistance to AC220 by FLT3/ITD⁺ AML cells was induced
 497 by the additional mutations in the kinase domain of FLT3
 498 gene [7–12]; however, our data suggest that RUNX1 up-
 499 regulation by AC220 resistant cells was unlikely to be a
 500 consequence of additional mutations because up-regulation
 501 of RUNX1 by the AC220 refractory cells was reversible. In
 502 this regard, an epigenetic mechanism is likely involved in
 503 the up-regulation of RUNX1 by the AC220-refractory cells.
 504 Similar to FLT3/ITD, FLT3-ligand may also induce expres-
 505 sion of RUNX1, which is one of the factors related to drug
 506 resistance [11]. Given that RUNX1 is a transcription factor,
 507 it is highly likely that down-stream molecules of RUNX1
 508 are also responsible for the resistant phenotype. Consistent
 509 with the up-regulation of RUNX1 expression in the resist-
 510 ant cells, the silencing of RUNX1 abrogated the prolifera-
 511 tion of AC220-resistant FLT3/ITD⁺ 32D cells cultured in
 512 the presence of AC220, indicating that RUNX1 inhibition
 513 can sensitize the AC220-resistant FLT3/ITD⁺ AML cells
 514 to AC220. However, the IC₅₀ of AC220 in the patients'
 515 plasma may be higher than 2 nM. While FLT3/ITD⁺ cells
 516 became resistant to 10 nM of AC220 in in vitro culture, cells
 517 in which RUNX1 expression is silenced failed to proliferate
 518 at any concentration of AC220 over 2 nM. These data
 519 suggest that the resistance of FLT3/ITD⁺ cells to a higher
 520 concentration of AC220 is also mediated by RUNX1 and
 521 that a higher dose of AC220 unlikely overcomes the resist-
 522 ance that is mediated by RUNX1. In this regard, RUNX1
 523 may represent a novel therapeutic target for FLT3/ITD⁺
 524 AMLs (Fig. 4). However, targeting RUNX1 may cause sev-
 525 eral adverse effects in vivo [46]. Although loss of RUNX1
 526 minimally impacts long-term HSC [47], deletion of RUNX1
 527 in adult HSCs causes multi-lineage blocks in B and T lym-
 528 phoid development and megakaryocyte maturation [16].
 529 Because of the possible adverse effects that may be caused
 530 by RUNX1 inhibition, targeting the transcriptional targets
 531 of RUNX1 may represent an alternative therapeutic strategy.
 532 In summary, FLT3/ITD blocks myeloid differentiation,
 533 enhances aberrant proliferation and self-renewal, and con-
 534 fers resistance to AC220 by up-regulating RUNX1 expres-
 535 sion (Fig. 4). Our data suggest that RUNX1 functions as
 536 a survival factor in FLT3/ITD⁺ cells and that targeting
 537 RUNX1 may represent an additional therapeutic strategy
 538 for patients with FLT3/ITD⁺ AML, in particular for those
 539 who become resistant to AC220 or other TKIs.

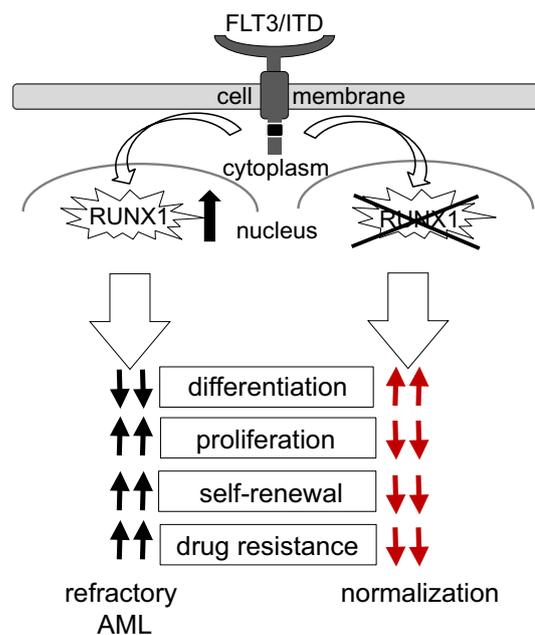


Fig. 4 Suggested model for the refractory phenotype of FLT3/ITD⁺ cells regulated by RUNX1. Our data demonstrate that FLT3/ITD blocks differentiation toward the myeloid lineage, enhances factor-independent proliferation, promotes self-renewal and increases resistance to AC220 by up-regulating RUNX1 expression, all of which are associated with the refractory phenotype of AML. Conversely, antagonizing RUNX1 expression facilitates differentiation and decreases the proliferation, self-renewal and AC220-resistance of FLT3/ITD⁺ cells

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Compliance with ethical standards

Conflict of interest The authors have no conflicts of interest to declare.

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