1 2 3	Redistribution of the intra-acrosomal EGFP before acrosomal exocytosis in mouse spermatozoa
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34 Mammalian spermatozoa must undergo complex physiological and morphological 35 alterations within the female reproductive tract before they become fertilization-36 competent. Two important alterations are capacitation and the acrosome reaction (AR), 37 by which spermatozoa become capable of penetrating the zona pellucida (ZP) of the 38 oocyte. Although various biochemical stimulants have been reported to induce the AR, 39 the true physiological inducer *in vivo* remains to be identified. Previously, it was reported 40 that most fertilizing spermatozoa undergo the AR before contacting the ZP, and that only 41 a small fraction of *in vitro* capacitated spermatozoa can penetrate the ZP. Therefore, it is 42 important to identify which capacitating spermatozoa undergo the AR in response to 43 potential AR inducers such as progesterone. Here we show that spermatozoa undergo a 44 dynamic rearrangement of the acrosome during *in vitro* capacitation. This involves the 45 rapid movement of an artificially introduced soluble component of the acrosome, 46 enhanced green fluorescent protein (EGFP), from the acrosomal cap region to the 47 equatorial segment of the sperm head (EQ). Spermatozoa exhibiting the EQ pattern were 48 more sensitive to progesterone than those without it. We suggest that spermatozoa that 49 are ready to undergo acrosomal exocytosis can be detected by real-time EGFP imaging. 50 This offers a promising new method for identifying where spermatozoa undergo the AR 51 in the female reproductive tract in vivo.

#### 53 Introduction

54 Mammalian spermatozoa are not able to fertilize oocytes until they have resided in the 55 female reproductive tract for a certain time (Austin, 1951; Chang, 1951). The changes 56 that occur in spermatozoa during this period are collectively called capacitation. 57 Capacitated spermatozoa must then undergo the acrosome reaction (AR), another step 58 before they can pass through the oocyte extracellular matrix called the zona pellucida 59 (ZP) and fuse with the oolemma. It has long been thought that the AR is induced by 60 contact of the fertilizing spermatozoon with the zona pellucida (ZP) (Wassarman and 61 Litscher, 2001), although others reported in hamster and rabbit that spermatozoa could 62 undergo exocytosis within the cumulus (Yanagimachi, 1966; Kuzan et al., 1984). 63 However, recent studies using transgenic mice expressing enhanced green fluorescent 64 protein (EGFP) inside the acrosome as a method to visualize acrosomal exocytosis 65 revealed that: 1) simple sperm binding to the ZP is not sufficient to induce the AR 66 (Baibakov et al., 2007); 2) spermatozoa that have completed the AR are able to bind to 67 the ZP and penetrate it (Jin et al., 2011); and 3) acrosome-reacted spermatozoa collected 68 from the perivitelline space of an oocyte are still able to fertilize other cumulus-enclosed 69 oocytes (Kuzan et al., 1984; Inoue et al., 2011). These observations suggest that 70 fertilizing spermatozoa do not necessarily undergo the AR upon interaction with the ZP 71 proteins in their original three-dimensional structures.

Two obvious questions that has remained unanswered is where and when fertilizing spermatozoa begin their AR *in vivo* (Bedford, 2011; Yanagimachi, 2011; Buffone *et al.*, 2014). Although this question is important, examinations of the AR within oviducts have been difficult. Whereas spermatozoa with intact acrosomes are able to reach the

ampullary region of the oviduct following copulation (Chang and Suarez, 2012),
acrosome-reacted spermatozoa are present within the oviductal ampulla in vivo before
and near the time of fertilization (Yanagimachi, 1966; Yanagimachi and Mahi, 1976).

79 Another unresolved question concerns the real inducer(s) of the AR in fertilizing 80 spermatozoa in vivo. Although the cumulus oophorus surrounding oocytes has been 81 implicated as the site of the AR in some mammalian species such as human (Stock et al., 82 1989) and shrew (Kaneko et al., 2001) there is no unequivocal evidence to support this 83 view in mouse. In a previous work from our group using *in vitro* fertilization (IVF), we 84 observed that the AR, as shown by a loss of EGFP fluorescence in the acrosome, rarely 85 begins while spermatozoa are traveling through the cumulus (Hirohashi et al., 2011). An 86 inherent problem of using spermatozoa treated in capacitation medium is that only a 87 small fraction of cells are functionally capacitated and others fail to progress through the 88 steps of sperm-egg interaction, suggesting that the changes occurring in the majority of 89 the spermatozoa do not necessary represent what actually happens during fertilization. 90 This feature may also explain why only a small fraction of the sperm undergoes 91 acrosomal exocytosis when stimulated by progesterone. Therefore, we attempted to 92 identify those spermatozoa whose acrosomes are ready to undergo AR in response to 93 progesterone, one of the major secretory products from the cumulus cells and a potential 94 physiological inducer of the AR (Parinaud et al., 1992; Roldan et al., 1994). It is well 95 established that during capacitation, acrosomal swelling is essential for exocytosis to 96 occur (Zanetti and Mayorga, 2009); however, these changes are difficult to observe using 97 standard microcopy methods. Our hypothesis is that acrosomal swelling can be visualized 98 using transgenic EGFP sperm, and therefore we might be able to determine spermatozoa 99 whose acrosomes are ready to undergo AR in response to progesterone. In this report, we 100 studied the relationship between pre-AR changes in spermatozoa expressing EGFP in 101 their acrosomes and their response to progesterone.

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#### 103 Materials and Methods

104 Materials

Human tubal fluid (HTF) medium and Hepes-buffered HTF designed for human IVF and
intracytoplasmic sperm injection (ICSI) were purchased from InVitroCare (Frederick,
MD, USA). Bovine serum albumin (BSA) and progesterone were obtained from SigmaAldrich (St Louis, MO, USA). Progesterone was dissolved in dimethyl sulfoxide
(DMSO) for experimental use.

110 Transgenic Mouse Spermatozoa

111 Spermatozoa of double-gene knock-in male mice [BDF1-Tg (CAG-mtDsRed2, Acr-112 EGFP) RBGS0020sb] have acrosomes expressing EGFP fluorescence and midpiece 113 mitochondria displaying Ds-Red2 fluorescence (Hasuwa et al., 2010). The EGFP was 114 designed to be expressed as a soluble protein under the acrosin promoter with a 115 proacrosin signal sequence. These male mice were crossed with imprinting control region 116 (ICR) strain females and their gametes were used for our observations. All experiments 117 were performed with the approval of the Animal Care and Use Committee of 118 Ochanomizu University and IBYME. In addition, investigations using experimental 119 animals were conducted in accordance with the NIH specific guidelines (Guide for the 120 Care and Use of Laboratory Animals, 1996).

# 121 Sperm Capacitation

122 Spermatozoa recovered from the cauda epididymidis were induced to capacitate by 123 suspending them in a 100  $\mu$ L droplet of HTF–BSA medium at ~10<sup>5</sup> cells/mL and 124 incubating them for 1–4 h at 37°C under a humidified atmosphere of 5% CO<sub>2</sub> and 95% 125 air. In some experiment, spermatozoa were incubated with 2 mg/mL propidium iodide to

126 determine the viability of the cells, and observe using fluorescence microscopy.

# 127 Analysis of the AR Using EGFP-expressing Spermatozoa

128 To determine the percentage of spermatozoa with EGFP in their acrosomes, 10 µL 129 aliquots of the sperm suspensions were placed on poly-L-lysine-coated glass slides and 130 covered with coverslips. To detect the presence of EGFP in the acrosomes, the cells were 131 quantified using a Nikon TE2000 inverted fluorescence microscope with fluorescence 132 optics (excitation 480 nm, emission 515 nm) as previously described (Buffone et al., 133 2009a, b). In other experiments, acrosome reaction was quantified as previously 134 described using flow cytometry using a FACSCanto II flow cytometer (BD) [21]. The 135 viable sperm were selected by staining with propidium iodide (final concentration, 10 136  $\mu$ g/ml), and their acrossomal integrity was determined by the presence of acrossomal EGFP 137 as previously reported (Muro et al., 2012).

#### 138 Real-time Observations of Acrosomal EGFP

Spermatozoa were collected as described above. Coverslips were washed overnight with ethanol, rinsed with water and coated with laminin by adding a drop of 20 mg/mL laminin (Sigma-Aldrich) and allowing them to air-dry. The laminin-covered coverslips were placed into a Leiden chamber (Medical Systems, Greenvale, NY). Spermatozoa 143 were affixed to these coverslips for fluorescence imaging of acrosomal EGFP. The 144 coverslips were then washed twice with 400 µL of HTF to remove nonattached 145 spermatozoa. The chamber was placed onto the temperature-controlled stage of an 146 inverted epifluorescence Nikon TE2000 microscope at 37 °C equipped with a  $100 \times 0.5$ -147 1.3 NA S Fluor oil objective and a Princeton Instruments MicroMAX CCD camera 148 (Roper Scientific, Trenton, NJ, USA). Basal sperm fluorescence levels were recorded, 149 and-without interruption of imaging-a small volume of DMSO containing 150 progesterone (Sigma-Aldrich) was added to the coverslip with spermatozoa and 151 incubated for 30 sec at 37 °C for a final concentration of 0-20 µM progesterone. For 152 analysis, we selected spermatozoa that were oriented such that the side of the head could 153 be visualized and the apical, dorsal, and posterior regions of the sperm head could be 154 identified readily. The fluorescence signal was measured every 0.5 sec for 10 min. The 155 fluorescence intensity was quantified using ImageJ software 1.47 V (National Institute of 156 Health, USA). The intensity of fluorescence was calculated in regions of interest 157 localized in the sperm head. The background intensity was subtracted.

158 In some experiments, a Confocal microscope (Nikon Eclipse C1) was used to determine

the localization of EGFP in spermatozoa during the capacitating incubation.

## 160 **Observation of the sperm acrossomal status in the oviduct**

161 (C57BL/6J×BALB/c)F1 females were superovulated by intraperitoneal injection of 5U of
162 pregnant mare's serum gonadotropin followed 48 h later by 5U of human chorionic
163 gonadotropin (hCG). Superovulated females were caged together with *CAG-mtDsRed2*,
164 *Acr-EGFP* males 12 h after hCG injection. At 1 and 4 h after coitus, oviducts and uterus
165 were dissected out. Oviducts were gently manipulated and straightened out by cutting the

mesosalpinx. They were mounted on slides, covered with coverslips and examined by
confocal microscopy (Nikon Eclipse C1) to determine the presence of sperm containing
the acrosomal EGFP marker.

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# 170 Statistical analysis

Data are expressed as mean ± SEM of at least three experiments for all determinations.
Statistical analyses were performed using ANOVA and a Tukey's multiple comparisons
test using the GraphPad Prism 6 software (La Jolla, CA USA). Statistical significance is
indicated in the figure legends.

175

#### 176 **Results**

177 Progesterone has long been a candidate for inducing the AR (Parinaud *et al.*, 1992; 178 Roldan *et al.*, 1994). However, the reasons have remained elusive as to why only a small 179 proportion of capacitated spermatozoa can undergo the AR when exposed to progesterone 180 at physiological concentrations. Although most IVF protocols use 1-2 h incubation of 181 epididymal spermatozoa in a chemically-defined medium for sperm capacitation, we 182 wondered if longer incubation can result in better outcomes in the progesterone-induced 183 AR. A recent report showed that mouse spermatozoa remain in the lower part of the 184 oviduct for about 3 h before ascending to the ampulla (Miki and Clapham, 2013). We, 185 therefore, studied how spermatozoa would respond to progesterone after various times of 186 *in vitro* capacitation (1–4 h) with different concentrations of progesterone (0–20  $\mu$ M) 187 (Figure 1). The results showed a dose-dependent increase in the progesterone-induced 188 AR and the rate of such increase was accelerated when initiated following 4 h-incubation period compared to a 1 h-incubation (Figure 1). Thus, the ability of spermatozoa to undergo the AR in response to progesterone was enhanced after extended incubation under capacitating conditions.

192 When these spermatozoa from transgenic mice (Ho et al., 2009) were incubated for 4 h 193 and observed by epifluorescence microscopy, we noted that a significant number of 194 acrosome-intact spermatozoa exhibited an altered EGFP distribution, i.e., the EGFP 195 fluorescence originally restricted to the acrosomal cap region (Fig. 2A) was extended to 196 the equatorial segment of the acrosome (Fig. 2B), hereinafter referred to as "EQ sperm" 197 that are distinguishable from non-capacitated, acrosome-intact spermatozoa with a 198 distinct acrosomal cap staining pattern (AC sperm). The difference in EGFP distribution 199 between AC and EQ spermatozoa was not caused by a difference in the focal plane of the 200 microscope, because we observed the same difference when using a confocal microscope 201 (Fig. 2D, E). The EQ sperm were alive and motile, as judged by propidium iodide vital 202 staining and video motion analysis (Figure 3, which represents Supplementary Movie S1). 203 Population analysis of spermatozoa revealed that the transition from the AC to the EQ 204 pattern occurred gradually during 4 h of incubation (Fig. 4A). In a control experiment 205 where BSA was absent in medium (noncapacitating conditions), the transition was 206 seldom seen, suggesting its association with sperm capacitation (Fig. 4B). Interestingly, 207 single cell analysis revealed that the transition from the AC to the EQ pattern occurred 208 very rapidly (~10 s) (Fig. 5, Supplementary Movie S2).

We speculated that the change in the EGFP distribution pattern might reflect an important physiological consequence during sperm capacitation prior to the AR, which render spermatozoa more susceptible to progesterone. When spermatozoa were treated with 20

µM progesterone after 4 h incubation, (Figure 6A, Supplementary Movie S3 and S4),
both AC and EQ sperm underwent AR. However, the induced AR occurred to a greater
extent in EQ spermatozoa than in AC spermatozoa (Fig. 6B).

215 Next we addressed if sperm can evoke this change after being deposited in the female 216 reproductive tract by copulation. At 4h post-mating, the wild-type females were 217 sacrificed to isolate the reproductive organs (uterus and oviduct). Ejaculated spermatozoa 218 in the isolated organs were observed using epifluorescence or confocal microscopy. As 219 shown in figure 7A, spermatozoa migrated through the utero-tubal junction (UTJ) and 220 took up residence in the oviduct. The sperm density in the UTJ or in the lower parts of the 221 isthmus (close to the UTJ) was much higher than that in other parts of the oviduct, and 222 markedly decreased in the upper part (toward the ampulla) of the fallopian tube. 223 According to a previous report (Ho et al., 2009), spermatozoa arrived at the isthmus in 224 60-90 min after mating. After 4 h, the sperm density in each section of the oviduct was 225 quite similar to the distribution observed after 1 h (data not shown). We were unable to 226 find spermatozoa with the EQ pattern in the uterus or the UTJ (data not shown); however 227 some EQ sperm were evident in upper segments of the isthmus only after 4 h post mating 228 (Fig. 7B and C). However, an important limitation in quantifying accurately the 229 percentage of EQ sperm in this region is that very few cells arrive at this segment of the 230 oviduct, and some of them already lost their acrosomes.

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234 In mammals, many millions of spermatozoa are ejaculated into the vagina or the uterus, 235 yet only very few can reach the site of fertilization (the ampulla). An extreme case 236 reported in mice was that the sperm-to-egg ratio was nearly 1:1 in the ampulla (Stewart-237 Savage and Bavister, 1988), suggesting that every single sperm arrived at the fertilization 238 site have gained fertilization competence. However, the mechanism by which how such a 239 few spermatozoa are selectively transported has been a longstanding mystery. In contrast, 240 conventional IVF requires thousands of excess capacitated spermatozoa to ensure 241 fertilization. In standard IVF protocols, epididymal sperm are subjected to a minimum of 242 1 h incubation in a chemically defined capacitating medium and thereafter only 10~20% 243 of the population can undergo the AR by the inducers such as ZP3 or progesterone. These 244 results can draw a tentative conclusion that in vitro capacitated spermatozoa exhibit the 245 heterogeneous fertilizability due to chronological or susceptibility differences in 246 acquiring competence for exocytosis. Meanwhile, we realized during our IVF attempts 247 (Hirohashi et al., 2011; Jin et al., 2011) that mouse spermatozoa incubated for 4–5 h in a 248 capacitating medium gave better fertilization outcomes than 1 h. This observation 249 prompted us to examine the morphology of the acrosome in great detail during in vitro 250 capacitation. Because the rate of spontaneous AR does not correlate with fertilization 251 outcomes (data not shown) and fertilization readily occurs if the cumulus opphorus 252 remains intact, fertilizing spermatozoa are thought to have intact acrosomes during 253 capacitation and undergo the AR upon stimulation by some soluble or insoluble 254 component(s) from the cumulus matrix.

255 Interestingly, we observed an increased rate of the AR after 4 h of preincubation in 256 capacitating condition compared to 1-2 h preincubation. In the extended incubation 257 condition, there was a dynamic and rapid redistribution of acrossomal EGFP. The EGFP 258 protein expanded from the apical region of the head (acrosomal crescent) to the equatorial 259 domain in a substantial proportion of spermatozoa. Although spermatozoa with either AC 260 or EQ patterns were capable of triggering the AR when exposed to progesterone, the EQ 261 spermatozoa underwent the AR more frequently. This change might be related to 262 acrosomal swelling (Zanetti and Mayorga, 2009), a prerequisite to the acrosomal 263 exocytosis that occur following sperm capacitation. According to Zanetti and Mayorga 264 (Zanetti and Mayorga, 2009), human spermatozoa increase their acrosomal volume 265 during capacitation, and this is of critical importance for membrane docking and hybrid 266 vesicle formation during the AR. It remains unknown how acrosomal swelling occurs 267 during sperm capacitation; however, alkalization of intra acrosomal milieu could be a 268 possible mechanism (isotonic swelling). Initially, acrosomal pH is maintained at acidic 269 levels thorough the homeostatic action of a vacuolar-type proton ATPase (V-ATPase), a 270 sodium-proton exchanger (NHE) and possibly a  $Cl^{-}/HCO_{3}^{-}$  exchanger (Nishigaki *et al.*, 271 2014). Upon stimulation with a capacitating medium, acrosomal pH gradually increases 272 over the incubation period of 120 min from pH 5.3  $\pm$  0.1 to pH 6.2  $\pm$  0.3 (Nakanishi *et al.*, 273 2001). However, because a rate of pH increase is so gradual, alkalosis-induced swelling 274 does not simply explain the observed dynamics of AC-to-EQ transition, rather the 275 transition must be set by a commitment threshold.

To investigate the physiological role of the capacitation-associated EGFP distribution, we investigated the acrosomal status of spermatozoa in the uterus and different parts of the

278 oviduct. We observed some EO sperm in the upper segments of the oviduct. However, 279 due to the limited sperm number arriving at this region, it was difficult to estimate the 280 accurate AC/EQ proportion. In addition, we met difficulty in distinguishing the EQ from 281 AC in some oviductal spermatozoa that preclude an equatorial view. When we compared 282 the sperm density in each part of the oviduct, there was no significant difference between 283 1 h and 4 h post mating except for the upper segments of the oviduct. A marked 284 difference was the absence of EQ sperm at any part of the oviduct at 1 h post mating. 285 Therefore, EQ sperm could be at the primed state where the AR takes place by 286 physiological stimulation in the vicinity of the ampulla.

Transition from a nonexcitable (AC) to an excitable (EQ) state might also be a rapid process leading to acquired exocytotic competence as well as an increased sensitivity to progesterone. In this paper, we provide for the first time a non-invasive method by which live cell imaging of capacitated spermatozoa with an exocytotic competence could be performed. These findings are important not only for dissecting the molecular events that occur prior to the AR, but also for identifying *bona fide* inducer(s) for the AR from the natural environment of female reproductive organs.

# **Declaration of interest**

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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# Figure legends

**Figure 1:** Spermatozoa are more responsive to progesterone after 4 h incubation in capacitating conditions (compared with 1 h). (A) After 1 h or 4 h incubation under capacitating conditions, the spermatozoa were exposed to increasing concentrations of progesterone (0.01–20  $\mu$ M) and the percentages of sperm with or without EGFP in the acrosome were observed and counted using a fluorescence microscope. As a control, the vehicle (DMSO) was added to the samples at both time points (0  $\mu$ M of Progesterone). The data represent the mean  $\pm$  standard error of the mean (SEM; n = 4 experiments). \*represents significant difference compared with 1h (P< 0.05).

(B) Representative experiments showing acrosomal exocytosis after progesterone treatment at 1 h or 4 h incubation under capacitating conditions. Live spermatozoa as judged by propidium iodide staining were used independently to determine the percentage of sperm showing the presence (AI) or absence (AR) of the GFP fluorescence using flow cytometry. After 1 h incubation in a capacitating medium, sperm were treated with or without 20  $\mu$ M progesterone (upper right and left panel, respectively). After 4 h incubation in a capacitating medium, spermatozoa were treated with or without 20  $\mu$ M progesterone (incubation in a capacitating medium).

**Figure 2:** Two different types of acrosome-intact spermatozoa: (A) acrosomal cap (AC) and (B) equatorial segment (EQ). (C) Acrosome-reacted sperm lacking EGFP. (D, E) Z-stack confocal images of AC (D) and EQ (E) spermatozoa, respectively. After 4 h of incubation, many spermatozoa showed expansion of the soluble acrosomal components—represented by EGFP presence in the equatorial region.

**Figure 3:** Representative time-lapse images of an EQ spermatozoon exhibiting active motility and no membrane permeability to propidium iodide. Images are taken from the Supplemental Movies S1.

**Figure 4:** The transition from the AC pattern to the EQ pattern depended on capacitating conditions. Time course analysis of the AC (black columns) and EQ (grey columns) patterns: (A) under capacitating conditions and (B) under non-capacitating conditions. Incubation of spermatozoa in medium lacking BSA did not permit the transition. Data represent the mean  $\pm$  SEM (n = 4 experiments). \*represents significant difference compared with AC pattern (P< 0.05).

**Figure 5:** Live imaging analysis of the transition between the AC and the EQ patterns. (A) Representative time-lapse images of a spermatozoon undergoing this transition. (B) The fluorescence intensity in the equatorial region area became stably higher after the transition, whereas upon the AC to EQ transition, the fluorescence in the acrosomal cap region increased transiently and returned to the original level. The fluorescence intensities of two arbitrary delimited areas of interest were measured over time.

**Figure 6:** Spermatozoa with both patterns of EGFP distribution could undergo the AR after the addition of progesterone. (A) Time-lapse images of EGFP-expressing spermatozoa with the AC pattern (upper panels) or the EQ pattern (lower panels) undergoing acrosomal exocytosis after the addition of 20  $\mu$ M of progesterone. (B) The

percentages of ARs of both AC- and EQ-type spermatozoa in the presence (induced AR) or absence (spontaneous AR) of 20  $\mu$ M of progesterone. As a control, the vehicle DMSO was added to the samples (Medium). Spermatozoa were attached to laminin-coated slides and recorded for 10 min. During this time, both patterns underwent spontaneous ARs at similar rates. However, in the presence of 20  $\mu$ M progesterone, more EQ sperm tended to undergo exocytosis than the AC sperm. Data represent the mean  $\pm$  SEM (n = 4 experiments). \*represents significant difference compared with AC pattern (P< 0.05).

**Figure 7:** Representative image of sperm inside the female reproductive tract after natural mating with transgenic males carrying Acr-EGFP and Ds-Red2. The photographs show spermatozoa migrating through the female reproductive tract detected by Ds-Red2 using an epi-fluorescence microscope(A). B-C: Representative confocal images of a cross section of the upper isthmus isolated 4 h after mating containing EQ (B) and AC (C) spermatozoon. UTJ: utero-tubal junction; LI: lower isthmus; UI: upper isthmus.

**Supplementary video 1:** A representative EQ spermatozoon exhibiting active motility and no membrane permeability to propidium iodide.

Supplementary video 2: Examples of the transition from the AC to the EQ patterns.

Supplementary video 3: A representative AC-type spermatozoon undergoing the AR in response to 20  $\mu$ M of progesterone.

**Supplementary video 4:** A representative EQ spermatozoon undergoing the AR in response to  $20 \mu$ M of progesterone.

Figure 1







Figure 4

А

В



# Α





Time (sec)





