

1 **Can a resting-state functional connectivity index identify patients with Alzheimer's**  
2 **disease and mild cognitive impairment across multiple sites?**

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14 **Running head:** Alzheimer's disease identification across sites

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24 **Acronyms**

25 AD: Alzheimer's disease

26 MCI: mild cognitive impairment

27 ADNI: the Alzheimer's Disease Neuroimaging Initiative

28 DMN: default mode network

29 MVPA: multi-variate pattern analysis

30 MMSE: mini-mental state examination

31 CDR: clinical dementia rating,

32 (C)ICA: (constrained) independent component analysis

33 MTL: medial temporal lobe

34

35

36 **Abstract**

37 Resting-state functional connectivity is one promising biomarker for Alzheimer's disease  
38 (AD) and mild cognitive impairment (MCI). However, it is still not known how accurately  
39 network analysis identifies AD and MCI across multiple sites. In this study, we examined  
40 whether resting-state functional connectivity data from the Alzheimer's Disease  
41 Neuroimaging Initiative (ADNI) could identify patients with AD and MCI at our site. We  
42 implemented an index based on the functional connectivity frequency distribution, and  
43 compared performance for AD and MCI identification with multi-voxel pattern analysis.  
44 The multi-voxel pattern analysis using a connectivity map of the default mode network  
45 showed good performance, with an accuracy of 81.9% for AD and MCI identification  
46 within the ADNI, but the classification model obtained from the ADNI failed to classify  
47 AD, MCI, and healthy elderly adults from our site, with an accuracy of only 43.1%. In  
48 contrast, a functional connectivity index of the medial temporal lobe based on the  
49 frequency distribution showed moderate performance, with an accuracy of 76.5 - 80.3%  
50 for AD identification within the ADNI. The performance of this index was similar for our  
51 data, with an accuracy of 73.9 - 82.6%. The frequency distribution-based index of  
52 functional connectivity could be a good biomarker for AD across multiple sites.

53

54

**55 Introduction**

56 Resting-state functional connectivity is a promising biomarker for Alzheimer's  
57 disease (AD). In 2004, Greicius et al. reported for the first time that AD patients showed  
58 decreased resting-state functional connectivity in the default mode network (DMN), and  
59 this connectivity may ultimately prove to be a sensitive and specific biomarker for  
60 incipient AD (Greicius et al. 2004). Later, Jin et al. revealed that mild cognitive  
61 impairment (MCI), which is the prodromal stage of AD, showed decreased functional  
62 connectivity of the medial temporal lobe (MTL), a DMN region, despite an absence of  
63 atrophy (Jin et al. 2012). Many resting-state functional magnetic resonance imaging  
64 (fMRI) studies have addressed issues pertaining to early detection, classification, and  
65 prediction of AD.

66 Previous resting-state fMRI studies seem to provide optimistic rates for the  
67 classification of AD, MCI, and healthy elderly individuals. A number of different  
68 approaches, such as region of interest (ROI) (Balthazar et al. 2014; Challis et al. 2015;  
69 Chen et al. 2011; Wang et al. 2006), graph theory (Li et al. 2013; Supekar et al. 2008),  
70 regional homogeneity (Zhang et al. 2012), and multi-modal analysis (Dai et al. 2012;  
71 Dyrba et al. 2015; Koch et al. 2012), have showed very high performance (72-94%  
72 accuracy) for identification of AD patients. However, most previous evidence has  
73 demonstrated their usability based on analysis of just one site or dataset, except for a  
74 recent study by Teipel et al (2017). Their ROI-based approach achieved 74% and 72 %  
75 accuracy for AD and MCI classification respectively, using data from five sites with  
76 different scanners and measurement parameters (Teipel et al. 2017). Significant and  
77 quantitatively important inter-site differences remained in the temporal signal-to-noise  
78 ratio of resting-state fMRI data, and these were plausibly driven by hardware and pulse  
79 sequence differences across scanners which could not be harmonized (Jovicich et al.  
80 2016). An AD identification model or index should be robust across these differences.  
81 Such robustness necessitates that the model or index obtained from a given database can  
82 identify AD in an individual from another site, given that all sites cannot necessarily  
83 prepare their own healthy control data.

84 Recently, multi-variate pattern analysis (MVPA) using machine learning  
85 (Mahmoudi et al. 2012) has been frequently used for AD identification. However, it is not  
86 clear whether the MVPA identification model at a given site or dataset can accurately

87 classify AD, MCI, and healthy elderly adults from other sites. One of the aims of our  
88 study was to undertake a performance evaluation of AD and MCI identification based on  
89 MVPA across databases. In addition, we propose a simpler index based on the functional  
90 connectivity frequency distribution. Decreased functional connectivity of the DMN has  
91 been repeatedly reported in AD (Joo et al. 2016; Krajcovicova et al. 2014; Sheline and  
92 Raichle 2013), and is expected to be a good marker for AD identification across databases.  
93 More voxels within the DMN are presumed to show decreased functional connectivity in  
94 AD, but the spatial distribution differs according to individuals or databases. To cancel  
95 out spatial differences between connectivity changes within the DMN, we applied an  
96 analysis based on the functional connectivity frequency distribution. This analysis  
97 depends on the notion that the mean connectivity of lower-ranked voxels in the frequency  
98 distribution would be smaller for AD than for healthy elderly adults, and might be more  
99 sensitive compared to the overall mean of the voxels within the local regions of the DMN.  
100 Our second aim for this study was to evaluate the performance of frequency distribution-  
101 based analysis for AD and MCI identification across different databases.

102

## 103 **Materials and Methods**

104

### 105 **Subjects**

106 **ADNI (Patients and Controls):** The first dataset used in this study was obtained  
107 from the Alzheimer' Disease Neuroimaging Initiative (ADNI) database  
108 ([adni.loni.usc.edu](http://adni.loni.usc.edu)). The ADNI was launched in 2003, and the primary goal has been to  
109 test whether imaging, other biological markers, and clinical and neuropsychological  
110 assessment can be combined to measure the progression of early dementia (see  
111 [www.adni-info.org](http://www.adni-info.org) for up-to-date information). Detailed inclusion criteria for the  
112 diagnostic categories can be found at the ADNI website  
113 (<http://adni.loni.usc.edu/methods/>). Thirty-three patients with AD (mean age = 72.5 years  
114 old, 16 females), 46 patients with amnesic MCI (late MCI in ADNI data, 72.9 y. o., 17  
115 female), and 48 healthy controls (HC: 74.7 y. o., 28 female) from the database were  
116 analyzed in this study. Data were selected based on the availability of resting-state fMRI  
117 datasets for patients with AD, MCI, and age-matched healthy subjects. AD patients with

118 CDR  $\geq 2$  were not included in our analysis. One additional AD patient was excluded  
119 because of excessive head movement during resting-state fMRI measurement (see  
120 preprocessing section). The demographic information for the ADNI subjects is  
121 summarized in Table 1. There were no significant age and sex differences between the  
122 groups.

123 **SHIMANE (Patients, Controls, and Young):** We recruited patients at Shimane  
124 University hospital to provide test data for AD and MCI identification. The inclusion  
125 criteria were defined as follows: 1) age  $> 60$ , 2) no signs of depression, 3) no presence or  
126 history of neurological or psychiatric disorders except for MCI or AD, 4) no presence or  
127 history of alcohol or drug abuse. Twenty-six patients with AD (mean age = 73.4 y. o., 12  
128 females), 19 patients with MCI (73.4 y. o., 9 females), and 20 HCs (71.3 y. o., 8 females)  
129 provided data for this study. The AD patients met the National Institute of Neurological  
130 and Communicative Disorders and Stroke and the Alzheimer's Disease and Related  
131 Disorders Association criteria for probable AD. The MCI patients fulfilled the criteria  
132 developed at a workshop convened by the National Institute on Aging and the  
133 Alzheimer's Association (Albert et al. 2011). During the selection of age-matched control  
134 subjects, we recruited from local communities and excluded subjects with a MMSE score  
135 of  $\leq 26$ , CDR of  $\geq 0.5$ , and a history of neurological and/or psychiatric disease. Many  
136 additional subjects were tested but excluded because of missing data (1) or CDR = 2 (7),  
137 or excessive head movements during fMRI (3). The demographic information for these  
138 subjects are also summarized in Table 1. There were again no significant group  
139 differences for age or sex.

140 In addition to the patients and healthy elderly controls, we used resting-state  
141 fMRI data from 44 healthy young subjects to make a template image of the DMN (see  
142 below). These individuals were  $25.7 \pm 3.1$  years old, and the gender ratio was 22 / 22. All  
143 of the young subjects had no neurological or psychiatric disease. The Shimane University  
144 medical ethics committee approved this study, and all subjects gave their written informed  
145 consent to participate.

146

### 147 **Image Acquisition**

148 Functional MRI data were acquired using Philips 3T scanners for the ADNI, a  
149 GE 3T scanner for the patients and elderly controls from SHIMANE, and a Siemens 1.5T

150 scanner for the young subjects from SHIMANE. Measurement parameter details are  
151 summarized in supplemental table 1.

152

### 153 **Overview of Analysis**

154 First, we performed preprocessing for all resting-state fMRI data. We used an  
155 independent component analysis (ICA) approach to evaluate functional connectivity  
156 because ICA yields more reliable DMN connectivity measurements relative to seed-based  
157 analysis (Jovicich et al. 2016). A multicentric resting-state fMRI study by Jovicich et al.  
158 (2016) revealed that test-retest reproducibility error for DMN connectivity in the elderly  
159 was lower for ICA than seed-based analysis. Moreover, ICA is relatively unaffected by  
160 different temporal sampling rates (De Luca et al. 2006). To make a DMN template, an  
161 independent component analysis (ICA) was applied to the preprocessed data from the  
162 SHIMANE young subjects group. Then, a constrained ICA (CICA) using a mask image  
163 of the DMN extracted by the first ICA was performed for each individual (except the  
164 young group). Using the DMN functional connectivity map, we examined whether AD  
165 and MCI identification models based on the ADNI data could identify the AD and MCI  
166 patients from SHIMANE. The identification methods in this study were multi-voxel  
167 pattern analysis and frequency distribution analysis of functional connectivity.

168

### 169 **Preprocessing of Functional Images**

170 Statistical Parametric Mapping (SPM12) was used for preprocessing. The  
171 functional images were realigned to remove any artifacts from head movement. Subjects  
172 who moved their head excessively (over 2 mm) were excluded from the following  
173 analysis. There were no head movement differences between the three groups for both  
174 ADNI and SHIMANE datasets (ADNI: AD,  $0.58 \pm 0.43$  mm, MCI,  $0.45 \pm 0.27$  mm, HC,  
175  $0.47 \pm 0.35$  mm; SHIMANE: AD,  $0.59 \pm 0.43$  mm, MCI,  $0.45 \pm 0.31$  mm, HC,  $0.41 \pm$   
176  $0.27$  mm). The images were corrected for differences in image acquisition time between  
177 slices, and were normalized to a Montreal Neurological Institute (MNI) template space  
178 by using DARTEL method. The effect of head movement parameters (12) and mean  
179 BOLD signals from whole brain, white matter and cerebrospinal fluids were removed at  
180 each voxel. Spatial smoothing was applied with full-wide half maxima equal to 6 mm.

181

**182 DMN template**

183 In order to develop templates of resting-state networks, datasets were used from  
184 younger individuals. This technique was used because many previous studies have  
185 reported aging effects on DMN (Biswal et al. 2010), suggesting that not only AD but also  
186 healthy elderly exhibit altered DMN. We performed a spatial ICA for the SHIMANE  
187 young group data using the Group ICA of the fMRI toolbox (GIFT). ICA is a data-driven  
188 multivariate signal-processing approach. In ICA, the signal observed at a given voxel is  
189 assumed to be the sum of the contributions of all the independent components (ICs). The  
190 spatial distributions of the IC voxel values are statistically independent from each other;  
191 the degree of contribution reflects the functional connectivity of the IC network. GIFT  
192 can confirm the contributions of all voxels to each IC as whole-brain images of  $z$ -scores.  
193 The maps were averaged to produce a component map, and a one-sample  $t$ -test was  
194 performed. An IC map including the medial prefrontal cortex, posterior cingulate cortex,  
195 precuneus, and inferior parietal lobe was selected as the DMN, and was binarized with  
196 the criteria of  $p < .05$  with family-wise error (FWE) correction and voxel size  $> 200$ .  
197 Because the CICA requires at least two templates, we made a frontoparietal network  
198 (FPN) map in the same way. The DMN and FPN are task-negative and task-positive  
199 networks, respectively, and they are basically in an exclusive relationship. The binarized  
200 DMN and FPN images were used as templates for the CICA.

201

**202 Constrained Independent Component Analysis (CICA)**

203 CICA helps to eliminate order ambiguity in the standard ICA. CICA is capable  
204 of extracting the desired independent components by incorporating prior information into  
205 the ICA contrast function when rough templates are available (Lu and Rajapakse 2005).  
206 We performed CICA using the DMN and FPN templates for each individual. In this case,  
207 CICA allowed us to detect ICs for each individual in the same manner, and to obtain stable  
208 DMN and FPN as first and second ICs with fixed order. This is an advantage considering  
209 actual AD identification in clinical contexts, because it avoids manual IC selection. The  
210  $z$ -values of the DMN map were used for AD identification in this study. First, we  
211 compared the DMN map of the three groups in both datasets using a whole-brain ANOVA  
212 in SPM. The statistical criteria were set to uncorrected  $p < 0.001$  at the voxel level and  
213 FDR-corrected  $p < 0.05$  at the cluster level.

214

**215 Multi-voxel pattern analysis**

216 The Pattern Recognition for Neuroimaging Toolbox (PRoNTo) was used for  
217 MVPA. An MVPA flow chart is depicted in Figure 1. The z-value maps of the DMN were  
218 treated as spatial patterns, and statistical learning models were used to identify statistical  
219 properties of the data that could discriminate AD, MCI, and HC.

220 First, we examined whether the DMN map could identify patients within the  
221 ADNI database. The learning and classification process involves four steps: (i) dividing  
222 the subjects into training and test sets, (ii) selecting discriminative regions, (iii) training  
223 the classifier model using the training data, and (iv) evaluating the performance of the  
224 model using the test data (see Figure 1, left). To examine the performance of the classifier,  
225 a leave-one-out cross-validation approach was taken, and every subject was selected once  
226 as the test data, with the remaining subjects forming the training data. AD, MCI, and HC  
227 in the training data were compared using ANOVA. Binary mask images which had  
228 regions showing significant decreased functional connectivity ( $p < 0.05$  at voxel level)  
229 were created. The voxel values of the DMN map masked by the binary image were used  
230 as features. The support vector machine classifier (binary) and Gaussian process classifier  
231 model (multiclass) were trained by using the features of the training data. The default  
232 setting of PRoNTo was used as the parameter of the machine learning. The classifier  
233 models were applied to the test data to evaluate AD and MCI identification performance.

234 Next, we examined whether the classifier models based on the ADNI data could  
235 identify AD and MCI on the basis of the SHIMANE data. This analysis was similar to the  
236 above-described one, but the ADNI dataset was used as the training data. A whole brain  
237 ANOVA was applied to the training data (all subjects of ADNI) for feature selection, and  
238 all the voxels that showed a significant group difference ( $p < 0.05$  at the voxel level) were  
239 included as input features in the machine learning. The classifier models calculated from  
240 the training data were applied to each individual's data from SHIMANE to evaluate the  
241 performance of AD and MCI identification across databases (see Figure, 1 right).

242

**243 Frequency distribution-based analysis of functional connectivity**

244 We propose a new simple index to classify AD and MCI. Because the medial  
245 temporal lobe (MTL) of AD patients commonly showed decreased functional

246 connectivity in both databases (see results), we focused on the MTL in this analysis.  
247 Based on the notion that more voxels in the MTL of AD patients show decreased  
248 functional connectivity compared to HCs, we carried out a frequency distribution-based  
249 analysis. A flow of this analysis is depicted in Figure 2. In this approach, the  $z$ -value of  
250 voxel  $i$  of the DMN obtained via the CICA was normalized by using the mean ( $m$ ) and  
251 standard deviation ( $\sigma$ ) of the ADNI control group as follows:  $z_i' = (z_i - m_i) / \sigma_i$ . When  
252 normalizing individual data for the ADNI control group, the  $m$  and  $\sigma$  did not include data  
253 from the present individual. The normalized DMN map was masked to extract the  
254 functional connectivity change ( $z'$ ) of voxels within the MTL. The MTL was defined  
255 using Automated Anatomical Labeling (AAL), and consisted of the hippocampus,  
256 parahippocampal gyrus, and amygdala (1295 voxels in this study). The  $z'$  within MTL  
257 was reshaped to a one-dimensional array and were sorted in ascending order. The  
258 distribution of  $z'$  is depicted in the bottom right of Figure 2. Each voxel was ranked based  
259 on the order of sorted  $z'$ . We predicted that a distribution (histogram) of  $z'$  within the  
260 MTL would shift to a negative value in AD patients. To confirm the prediction, we  
261 calculated mean  $z'$  scores for the lower-ranked voxels (range: 10 - 100 % for MTL voxels,  
262 step: 10%), for each individual. The mean score for all voxels (100%) in the MTL  
263 corresponds with the normal ROI analysis. We performed receiver operated characteristic  
264 (ROC) analysis for mean  $z'$  scores of lower-ranked voxels for each range to assess AD  
265 and MCI identification performance in each dataset. Similarly, we performed this analysis  
266 for core regions of DMN including the posterior cingulate cortex/precuneus, medial  
267 prefrontal cortex, and inferior parietal cortex. These ROIs were defined by the DMN mask  
268 obtained by data from young individuals (see above).

269

## 270 **Results**

271

### 272 **Constrained Independent Component Analysis**

273 Figure 3 shows the group differences for DMN connectivity among AD, MCI,  
274 and HC subjects. A whole brain ANOVA revealed a significant main effect of group for  
275 the bilateral hippocampus, and the functional connectivity of the regions were decreased  
276 in AD compared with MCI and HC (Figure 3 and supplemental Table 2). MCI did not  
277 show decrements of functional connectivity in the region in both datasets. To test effects

278 of brain atrophy, we conducted re-analyses after adding the voxel-wise gray matter  
279 density map as a covariate using Biological Parametric Mapping (Casanova et al. 2007).  
280 The method permits solving a general linear model by incorporating information obtained  
281 from other modalities, such that we could investigate group differences after excluding  
282 the effect of brain atrophy. The differences among the groups were still significant even  
283 after controlling for the effects of brain atrophy (see supplemental table 3), which means  
284 that the decreased functional connectivity of the hippocampus is independent of any  
285 effects of regional atrophy.

286

### 287 **Multi-voxel-pattern analysis**

288 Figure 4 shows confusion matrixes and ROC curves obtained via MVPA. In the  
289 multiclass case, the model obtained by Gaussian process classifier identified patients with  
290 AD and MCI with high accuracy for the ADNI data (accuracy: 81.9%, Figure 4A).  
291 However, when applying the classifier model from the ADNI data to the SHIMANE data,  
292 AD and MCI identification accuracy decreased markedly (accuracy: 43.1%, Figure 4C).  
293 Similarly, the binary classification models between each group were able to precisely  
294 identify patients with AD or MCI in the ADNI data (accuracy of AD/HC: 91.4%,  
295 AD/MCI: 79.8%, MCI/HC: 90.4%), whereas the models failed to classify the SHIMANE  
296 data (accuracy of AD/HC: 58.7%, AD/MCI: 60.0%, MCI/HC: 51.3%).

297

### 298 **Frequency-distribution-based analysis of functional connectivity**

299 The DMN functional connectivity map ( $z$ ) was normalized for the ADNI healthy  
300 subjects, for both ADNI and SHIMANE data. Normalized functional connectivity ( $z'$ )  
301 within the MTL was extracted, and the frequency distribution of  $z'$  was examined (Figure  
302 5A&E). The distributions for the AD group shifted to the negative, which means that  
303 more voxels in the MTL tended to show decreased functional connectivity in AD  
304 compared to MCI and HC individuals. This tendency was same for ADNI and SHIMANE  
305 data. We calculated the  $z'$  averages within voxels, which showed stronger declines of  
306 functional connectivity among all voxels in the MTL, and compared the mean  
307 connectivity between the groups (Figure 5B&F). Mean connectivity was lower for AD  
308 than MCI and HC in each dataset ( $ps < 0.001$ ). We conducted ROC analyses of mean  
309 connectivity (Figure 5C), and accuracy performance for the ADNI data was 76.5 - 80.3%

310 across the voxel ratio for the classification of AD and HC. The classifications of AD/MCI  
311 and MCI/HC showed lower performances (under 70%). Similarly, the accuracy  
312 performance for mean connectivity in the SHIMANE data was 73.9 - 82.6% for  
313 classification of AD and HC (Figure 5G). Figure 5 D&H shows the ROC analysis result  
314 for mean functional connectivity of the lower-ranked 50% voxels: There was no  
315 decrement in AD identification performance from the ADNI data to the SHIMANE data.

316 Moreover, to compare the performance between MTL and other DMN core  
317 regions, we applied our approach to posterior cingulate cortex, medial temporal cortex,  
318 and inferior parietal lobe. Figure 6 shows the identification accuracies using normalized  
319 functional connectivity of each region in ADNI and SHIMANE datasets. In both datasets,  
320 the AD classification accuracy based MTL were higher than those of each DMN core  
321 region and the entire DMN.

322

## 323 **Discussion**

324 The aim of this study was to evaluate the AD and MCI identification  
325 performances of MVPA and frequency-distribution-based analysis across two distinct  
326 databases. Whereas MVPA failed to produce consistent identification across the databases,  
327 the frequency-distribution-based analysis maintained satisfactory AD identification  
328 performance. Our results suggest that AD and MCI identification using MVPA was  
329 overlearned for the test dataset (at least in a study with a small sample size), and a simpler  
330 index of functional connectivity distribution could yield a relatively robust identification  
331 index.

332 There is no doubt about the usability of MVPA in a database with abundant  
333 patients when healthy controls are available. For example, MVPA using the support vector  
334 machine indicated that network topology parameters (clustering coefficients, etc.) can  
335 classify AD patients and healthy elderly subjects with an accuracy of 63-93% (Li et al.  
336 2013). In addition, multi-modal approaches have been proposed. Dai et al. (2012)  
337 demonstrated that a combined model of gray matter volume and resting-state fMRI  
338 achieved an accuracy of 89%. Dyrba et al. (2015) also reported that a multi-kernel support  
339 vector machine using resting-state fMRI, diffusion tensor imaging, and gray matter  
340 volume showed excellent performance, with a classification accuracy of 82%. Challis et  
341 al. (2015) reported that a Bayesian Gaussian process logistic regression model including

342 age, MMSE, and the functional connectivity matrix achieved 97% accuracy for  
343 distinguishing AD patients from amnesic mild cognitive impairment subjects, and the  
344 performance of this model was better than that of the support vector machine. Although  
345 such results are very promising, all of these reports were based on just one site or one  
346 database. To the best of our knowledge, no previous study has examined MVPA  
347 performance for AD classification across multiple databases or sites. Similar to previous  
348 studies, MVPA for the DMN in the present study demonstrated high performance for AD  
349 identification within the ADNI database. However, when we applied that model to our  
350 database, AD identification performance remained at a chance level. This suggests that  
351 the AD identification model in question was overlearned and confined to the ADNI  
352 database. Such decreased performance of identifications across databases has also been  
353 found for other diseases. In a multi-site autism study using resting-state fMRI, some sites  
354 showed high accuracy (over 80%) in the leave-one-out cross validation of classification,  
355 but lower accuracy (60-65%) in the leave-one-site-out cross validation (Chen et al. 2016).  
356 Considering this evidence, MVPA might be useful only when a given site can prepare its  
357 own dataset.

358         One of our ultimate goal was to establish a robust index for AD identification  
359 useful across multiple databases. Regarding this, MVPA might be an inadequate candidate  
360 due to the small size of available data pool. We proposed a simpler index based on the  
361 frequency distribution of functional connectivity as a candidate. We focused on the MTL,  
362 including the hippocampus. Many resting-state fMRI studies report altered functional  
363 connectivity of the MTL (Joo et al. 2016; Krajcovicova et al. 2014; Sheline and Raichle  
364 2013). We replicated the finding of altered functional connectivity of the MTL in the  
365 direct comparison of the DMN using CICA in both databases, which means that the MTL  
366 connectivity can provide important information to classify AD and healthy elderly  
367 subjects. Using the normalized functional connectivity of the MTL, the means of lower-  
368 ranked voxels in the distribution were calculated. We found that the means of functional  
369 connectivity were lower for AD patients than healthy elderly subjects, in both datasets.  
370 ROC analysis revealed that the mean connectivity can distinguish AD patients and  
371 healthy elderly subjects with good performance for both database. AD identification for  
372 the SHIMANE database maintained moderately accurate despite initial development  
373 using a different database, which means that the frequency distribution-based analysis for

374 functional connectivity of the MTL could be a good tool in actual clinical settings.

375         With regard to MCI, we found that MVPA succeeded in identifying ADNI data  
376 (accuracy: 90.4%). Such high accuracy over 90% within a dataset has been reported in  
377 some rsfMRI studies, including those using an ROI-based approach (Article et al. 2014;  
378 Suk et al. 2015), multi-resting networks detected by ICA (Jiang et al. 2014), and a graph  
379 theory approach (Khazaei et al. 2017). Our results suggest that if the identification is  
380 performed only within one dataset or one site, MCI can be identified by only DMN with  
381 high accuracy. However, both MVPA and our approach failed to discriminate MCI from  
382 HC across datasets. This is because there were no common features of MCI in the two  
383 datasets, even in MTL. The absence of common features might result from the variety of  
384 MCI patients. MCI is considered as a prodromal state of AD; however the annual  
385 conversion rate is about 7% to AD (Mitchell and Shiri-Feshki 2009). Some patients  
386 appear to improve cognitive performance over time. For example, 19.5% of MCI had  
387 recovered and an additional 61% neither improved nor deteriorated (Wolf et al. 1998).  
388 MCI may not be a homogenous condition but may comprise several disease groups  
389 unified by the propensity to cause modest cognitive impairment. MCI patients in this  
390 study were of the amnesic type, but more detailed MCI selection based on amyloid  $\beta$   
391 and/or tau might contribute to improvement in MCI discrimination performance.

392         RsfMRI might be useful not only early detection of AD but also in differentiating  
393 between AD and other diseases including dementia with Lewy bodies (DLB) and  
394 frontotemporal dementia (FTD). Several studies have reported that DLB patients showed  
395 decreased functional connectivity of DMN compared with AD (Franciotti et al. 2013;  
396 Galvin et al. 2011; Kenny et al. 2012; Lowther et al. 2014). In contrast, FTD seems to be  
397 correlated with disrupted salience network consisting of the anterior cingulate cortex and  
398 anterior insula (Seeley et al. 2007), which is affected by aging (Onoda et al. 2012). It is  
399 reported that FTD patients showed decreased functional connectivity of this salience  
400 network (Filippi et al. 2013; Zhou et al. 2010). In addition, Zhou et al. (2010) suggests  
401 that the combined index of DMN and salience network discriminated AD and FTD with  
402 100% accuracy. Future studies will focus on examining the applicability of the frequent-  
403 distribution analysis for FTD and DLB.

404

405 **Conclusion**

406 In sum, we demonstrated that a simple index of MTL functional connectivity  
407 based on frequency distribution could be a better MRI biomarker for AD classification  
408 across datasets or sites. Such an index might be broadly applicable to resting-state fMRIs  
409 obtained in different sites and under different measurement conditions.

410

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435

#### 436 **Disclosure Statement**

437 No competing financial interests exist.



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- 546

547 **Figure legends**

548

549 Figure 1. Flowchart of the multi-voxel pattern analysis. ADNI: Alzheimer's Disease  
550 Neuroimaging Initiative, SVM: support vector machine.

551

552 Figure 2. Flowchart of analysis based on frequency distribution of functional connectivity.

553

554 Figure 3. Comparison of functional connectivity in default mode network. (A) average  
555 functional connectivity map of all subjects in ADNI and SHIMANE datasets (FDR  
556 corrected  $p < 0.05$  at cluster and voxel levels). (B) Significant main effect of group in  
557 whole brain ANOVA (FDR-corrected  $p < 0.05$  at cluster level and uncorrected  $p < 0.001$   
558 at voxel level). (C) Functional connectivity of bilateral hippocampus for each group and  
559 two datasets. Data are shown as eigenvariate means within each significant cluster.  
560 Errorbar denotes standard error.

561

562 Figure 4. Multi-voxel pattern analysis (MVPA) results. (A) Multiclass Gaussian process  
563 classification for ADNI data. (B) ROC analysis based on binary support vector machine  
564 for ADNI data. (C) Multiclass Gaussian process classification using ADNI model for  
565 SHIMANE data. (D) ROC analysis based on binary support vector machine using leave-  
566 one-out method, for ADNI model for SHIMANE data.

567

568 Figure 5. Frequency distribution analysis results for functional connectivity in the medial  
569 temporal lobe (Top: ADNI; Bottom: SHIMANE). Left plots (A & E) show the frequency  
570 distribution of normalized functional connectivity in the medial temporal lobe. Middle  
571 left plots (B & F) show the group comparisons for mean normalized functional  
572 connectivity as a function of lower-ranked voxel ratio. Middle right plots (C & G) show  
573 identification accuracy as a function of the voxel ratio. Right plots (D & H) show receiver  
574 ROC analyses for the index in the lower-ranked voxels of 50%.

575

576 Figure 6. Accuracy of identification using frequency distribution analysis for functional  
577 connectivity in regions of default mode network (DMN) (Top: ADNI; Bottom:

578 SHIMANE). PCC: posterior cingulate cortex, MPFC: medial prefrontal cortex, IPL:  
579 inferior cingulate cortex, MTL: medial temporal lobe.  
580

581 Table 1. Demographic and clinical data of subjects.

|      | ADNI     |          |          | SHIMANE  |          |          | <i>Statistics</i> |
|------|----------|----------|----------|----------|----------|----------|-------------------|
|      | AD       | MCI      | HC       | AD       | MCI      | HC       |                   |
| N    | 33       | 46       | 48       | 26       | 19       | 20       |                   |
| Age  | 72.5±7.6 | 72.9±8.6 | 74.7±5.9 | 73.4±3.0 | 73.4±5.9 | 71.3±4.6 | <i>n.s.</i>       |
| Sex  | 17/16    | 29/17    | 20/28    | 14/12    | 10/9     | 12/8     | <i>n.s.</i>       |
| MMSE | 21.7±3.1 | 27.2±2.5 | 29.4±0.9 | 20.0±5.4 | 27.2±1.8 | 29.2±1.2 | AD<MCI<HC         |
| CDR  | 0.9±0.3  | 0.5      | 0        | 0.9±0.2  | 0.5      | 0        |                   |

582 AD: Alzheimer's disease, MCI: mild cognitive impairment, HC: healthy controls, MMSE:

583 Mini-mental State Examination, CDR: Clinical Dementia Rating Scale.

584

585

586 **Table 2. Accuracy of classification for ADNI and SHIMANE datasets**

|  | Multiclass   | AD/HC        | AD/MCI       | MCI/HC       |
|--|--------------|--------------|--------------|--------------|
| Multi voxel pattern analysis                           |              |              |              |              |
| ADNI   | 81.9 (0.001) | 91.4 (0.001) | 79.8 (0.001) | 90.4 (0.001) |
| SHIMANE  | 43.1 (0.001) | 58.7(0.093)  | 60.0 (0.073) | 51.3 (0.476) |
| Frequency-distribution analysis (Low-ranked voxel 50%) |              |              |              |              |
| ADNI   | -            | 80.3 (0.001) | 68.4 (0.007) | 63.8 (0.020) |
| SHIMANE  | -            | 78.3 (0.001) | 75.6 (0.001) | 69.2 (0.028) |

587 Values within () denote p-values of permutation tests, and the iteration was 1000.

588

589